



**San Joaquin Council of Governments  
ANNUAL FINANCIAL PLAN  
Fiscal Year 2021/22**

**Final March 25, 2021  
Amendment #1 October 28, 2021  
Amendment #2 February 24, 2022**

**CHAIR**

Councilmember Leo Zuber, City of Ripon

**VICE-CHAIR**

Supervisor Robert Rickman, San Joaquin County

**BOARD OF DIRECTORS**

Councilmember Doug Kuehne	City of Lodi
Councilmember Diane Lazard	City of Lathrop
Vice Mayor Gary Singh	City of Manteca
Councilmember Paul Canepa	City of Stockton
Councilmember Sol Jobrack	City of Stockton
Councilmember Dan Wright	City of Stockton
Supervisor Kathy Miller	County of San Joaquin
Supervisor chuck Winn	County of San Joaquin
Supervisor Tom Patti	County of San Joaquin
Mayor Nancy Young	City of Tracy
Councilmember David Bellinger	City of Escalon

**EX OFFICIO DIRECTORS**

Dennis Agar, Director	Caltrans District 10
Gary Giovanetti, Director	San Joaquin Regional Transit District
Anthony Barkett	Port of Stockton

**SUBMITTED BY:**

Diane Nguyen  
Executive Director

Steve Dial  
Deputy Executive Director/  
Chief Financial Officer

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REVENUES	FY 2021-22 Final	FY 2021-22 Amd #1	FY 2021-22 Amd #2	+/- Change	+/- % Change
Federal Grants	7,215,222.00	7,124,319.58	7,259,319.58	135,000.00	1.89%
State Grants	6,174,573.33	12,217,767.20	13,851,972.27	1,634,205.07	13.38%
Local	5,453,491.00	5,472,391.00	5,522,391.00	50,000.00	0.91%
Interest	20,000.00	20,000.00	20,000.00	-	0.00%
Other	60,000.00	51,000.00	51,000.00	-	0.00%
<b>SJCOG OPERATING REVENUE</b>	<b>18,923,286.33</b>	<b>24,885,477.78</b>	<b>26,704,682.85</b>	<b>1,819,205.07</b>	<b>7.31%</b>
<b>EXPENDITURES</b>					
Salaries & Benefits	5,153,228.26	5,153,228.26	5,153,228.26	-	0.00%
Services & Supplies	1,121,300.00	1,121,300.00	1,121,300.00	-	0.00%
Office Expense	261,500.00	261,500.00	261,500.00	-	0.00%
Communications	60,000.00	60,000.00	60,000.00	-	0.00%
Memberships	45,000.00	45,000.00	45,000.00	-	0.00%
Maintenance - Equipment	10,000.00	10,000.00	10,000.00	-	0.00%
Rents & Leases - Equipment	125,000.00	125,000.00	125,000.00	-	0.00%
Transportation, Travel & Training (In & Out of State)	66,000.00	66,000.00	66,000.00	-	0.00%
Publications & Legal Notices	7,500.00	7,500.00	7,500.00	-	0.00%
Insurance	146,300.00	146,300.00	146,300.00	-	0.00%
Building Operations & Maintenance	200,000.00	200,000.00	200,000.00	-	0.00%
SJCOG Building Debt Service Principal and Interest	200,000.00	200,000.00	200,000.00	-	0.00%
Professional Services	12,453,258.07	18,415,449.52	20,234,654.59	1,819,205.07	9.88%
Capital Outlay	195,500.00	195,500.00	195,500.00	-	0.00%
Unallocated/Reserve					
<b>SJCOG OPERATING EXPENDITURES</b>	<b>18,923,286.33</b>	<b>24,885,477.78</b>	<b>26,704,682.85</b>	<b>1,819,205.07</b>	<b>7.31%</b>

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**REVENUE**

Revenue Source	FY 2021-22 Final	FY 2021-22 Amd #1	FY 2021-22 Amd #2	+/- Change
<b>FEDERAL GRANTS</b>				
<i>U.S. Department of Transportation:</i>				
Federal Highway Administration (PL)	\$ 1,383,630	\$ 1,383,630	\$ 1,383,630	\$ -
Federal Highway Administration (PL FY 20/21 C/O)	\$ -	\$ 3,885	\$ 3,885	\$ -
Federal Transit Administration MPO Planning (FTA 5303)	\$ 378,781	\$ 378,781	\$ 378,781	\$ -
Federal Transit Administration MPO Planning (FTA 5303 FY 19/20 C/O)	\$ -	\$ 72,392	\$ 72,392	\$ -
Federal Transit Administration MPO Planning (FTA 5303 FY 20/21 C/O)	\$ -	\$ 45,769	\$ 45,769	\$ -
RSTPCML 6088(068) I-205 Managed Lanes Widening	\$ 2,421,802	\$ 2,421,802	\$ 2,421,802	\$ -
TDM - Merced CAG CMAQ	\$ 85,000	\$ 66,800	\$ 201,800	\$ 135,000
TDM - Merced CAG CMAQ	\$ 75,000	\$ 48,365	\$ 48,365	\$ -
TDM - StanCOG CMAQ	\$ 245,800	\$ 580,958	\$ 580,958	\$ -
CMAQ TDM	\$ 2,625,209	\$ 2,121,937	\$ 2,121,937	\$ -
	\$ 7,215,222	\$ 7,124,320	\$ 7,259,320	\$ 135,000
<b>STATE GRANTS</b>				
<i>California Department of Transportation:</i>				
STIP Planning & Programming	\$ 290,000	\$ 290,000	\$ 290,000	\$ -
STIP Planning & Programming FY 20/21 Carryover	\$ 100,000	\$ 41,342	\$ 41,342	\$ -
FY 17/18 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ -	\$ -	\$ -	\$ -
FY 18/19 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ -	\$ -	\$ -	\$ -
FY 19/20 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 100,000	\$ 181,488	\$ 181,488	\$ -
FY 19/20 Caltrans Adaptation Planning Grant (SB1)	\$ 125,000	\$ 99,198	\$ 99,198	\$ -
FY 20/21 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 150,000	\$ 132,325	\$ 132,325	\$ -
FY 21/22 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 341,671	\$ 347,369	\$ 347,369	\$ -
Sub-recipient of SB1 Competitive Planning Grant (City of Lodi)	\$ 97,350	\$ 92,513	\$ 92,513	\$ -
State Planning & Research (Fmrly CT Rural Planning Assistance)	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
Caltrans-FSP (I-205) FY 18-19 Carryover FSP19-6088(064)	\$ -	\$ -	\$ -	\$ -
Caltrans-FSP (I-205) FY 19-20 allocation FSP20-6088(069)	\$ 100,000	\$ 362,262	\$ 362,262	\$ -
Caltrans-FSP (I-205) FY 20-21 allocation FSP21-6088(073)	\$ 546,122	\$ 546,122	\$ 546,122	\$ -
Caltrans-FSP (I-205) FY 21-22 allocation FSP21-6088(076)	\$ -	\$ 547,781	\$ 547,781	\$ -
Freeway Service Patrol (FSP18 SB1 6088-063) Carryover	\$ -	\$ 35,831	\$ 35,831	\$ -
Caltrans-FSP18SB1 FY 18-19 allocation FSP19 SB1-6088(066)	\$ -	\$ 11,679	\$ 11,679	\$ -
Caltrans-FSP19SB1 (6088-070) FY 19-20 allocation	\$ 490,646	\$ 490,646	\$ 490,646	\$ -
Caltrans-FSP21 SB1 (6088-074) FY 20-21 allocation	\$ 454,873	\$ 454,873	\$ 454,873	\$ -
Caltrans-FSP21 SB1 (6088-077) FY 21-22 allocation	\$ -	\$ -	\$ 584,555	\$ 584,555.00
Affordable Housing & Sustainable Communities FY 19/20 Enterprise	\$ -	\$ -	\$ -	\$ -
Affordable Housing & Sustainable Communities FY 20/21 Enterprise	\$ 20,000	\$ 32,777	\$ 32,777	\$ -
State Transit Assistance	\$ 134,391	\$ 132,850	\$ 132,850	\$ -
Clean Mobility Options Program(EV Car Share)	\$ -	\$ 998,614	\$ 998,614	\$ -
SJV Regional Early Action Planning Committee for Housing (REAP)	\$ 845,097	\$ 970,097	\$ 970,097	\$ -
Sustainable Transportation Equity Project (STEP)	\$ 2,329,423	\$ 6,400,000	\$ 7,449,650	\$ 1,049,650.07
<b>SUBTOTAL</b>	<b>\$ 6,174,573</b>	<b>\$ 12,217,767</b>	<b>\$ 13,851,972</b>	<b>\$ 1,634,205.07</b>
<b>LOCAL</b>				
TDA (LTF Planning + TDA Administration)	\$ 1,423,900	\$ 1,423,900	\$ 1,473,900	\$ 50,000
Measure K Project Management	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ -
Measure K Administration	\$ 671,000	\$ 671,000	\$ 671,000	\$ -
Measure K dibs	\$ 864,000	\$ 864,000	\$ 864,000	\$ -
RTIF	\$ 341,491	\$ 341,491	\$ 341,491	\$ -
Valley MPOs Air Quality Planning	\$ 181,100	\$ 200,000	\$ 200,000	\$ -
Tri-County Travel Demand (Merced, Stanislaus, San Joaquin)	\$ -	\$ -	\$ -	\$ -
SACOG TDM (Trip Planning System, 511, dibs)	\$ 150,000	\$ 150,000	\$ 150,000	\$ -
Merced- Modeling	\$ -	\$ -	\$ -	\$ -
SACOG FSP Match	\$ 207,000	\$ 207,000	\$ 207,000	\$ -
COG Fees	\$ 10,000	\$ 10,000	\$ 10,000	\$ -
SJCOGI	\$ 605,000	\$ 605,000	\$ 605,000	\$ -
<b>SUBTOTAL</b>	<b>\$ 5,453,491</b>	<b>\$ 5,472,391</b>	<b>\$ 5,522,391</b>	<b>\$ 50,000.00</b>
<b>OTHER</b>				
Interest	\$ 20,000	\$ 20,000	\$ 20,000	\$ -
Other (ALUC Fees+doc fees)	\$ 60,000	\$ 51,000	\$ 51,000	\$ -
<b>SUBTOTAL</b>	<b>\$ 80,000</b>	<b>\$ 71,000</b>	<b>\$ 71,000</b>	<b>\$ -</b>
<b>TOTAL</b>	<b>\$ 18,923,286.33</b>	<b>\$ 24,885,477.78</b>	<b>\$ 26,704,682.85</b>	<b>\$ 1,819,205.07</b>

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SERVICE AND SUPPLIES

		FY 2021-22	FY 2021-22	FY 2021-22	+/-
Title	Line Item Description	Final	Amd #1	Amd #2	Change
Office Expense - General	General Supplies	\$ 35,000	\$ 35,000	\$ 35,000	\$ -
	Recognitions	\$ 500	\$ 500	\$ 500	\$ -
	Printing	\$ 4,000	\$ 4,000	\$ 4,000	\$ -
	Noncapital Equip/Furniture	\$ 20,000	\$ 20,000	\$ 20,000	\$ -
	Computer Software & License	\$ 195,000	\$ 195,000	\$ 195,000	\$ -
<b>Office Expense - General Subtotal</b>		<b>\$ 254,500</b>	<b>\$ 254,500</b>	<b>\$ 254,500</b>	<b>\$ -</b>
<b>Office Expense - Postage Subtotal</b>		<b>\$ 3,000</b>	<b>\$ 3,000</b>	<b>\$ 3,000</b>	<b>\$ -</b>
<b>Office Expense - Subscriptions Subtotal</b>		<b>\$ 4,000</b>	<b>\$ 4,000</b>	<b>\$ 4,000</b>	<b>\$ -</b>
<b>Office Expense - Subtotal</b>		<b>\$ 261,500</b>	<b>\$ 261,500</b>	<b>\$ 261,500</b>	<b>\$ -</b>
<b>Communications-Subtotal</b>		<b>\$ 60,000</b>	<b>\$ 60,000</b>	<b>\$ 60,000</b>	<b>\$ -</b>
<b>Memberships - Subtotal</b>		<b>\$ 45,000</b>	<b>\$ 45,000</b>	<b>\$ 45,000</b>	<b>\$ -</b>
<b>Maintenance - Equipment - Subtotal</b>		<b>\$ 10,000</b>	<b>\$ 10,000</b>	<b>\$ 10,000</b>	<b>\$ -</b>
<b>Rents &amp; Leases - Equipment - Subtotal</b>		<b>\$ 125,000</b>	<b>\$ 125,000</b>	<b>\$ 125,000</b>	<b>\$ -</b>
<b>Publications &amp; Legal Notices - Subtotal</b>		<b>\$ 7,500</b>	<b>\$ 7,500</b>	<b>\$ 7,500</b>	<b>\$ -</b>
<b>Insurances - Subtotal</b>		<b>\$ 146,300</b>	<b>\$ 146,300</b>	<b>\$ 146,300</b>	<b>\$ -</b>
<b>Building Maintenance - Subtotal</b>		<b>\$ 200,000</b>	<b>\$ 200,000</b>	<b>\$ 200,000</b>	<b>\$ -</b>
<b>BuildingDebt Service - Principle &amp; Interest</b>		<b>\$ 200,000</b>	<b>\$ 200,000</b>	<b>\$ 200,000</b>	<b>\$ -</b>
<b>TOTAL SERVICES &amp; SUPPLIES</b>		<b>\$ 1,055,300</b>	<b>\$ 1,055,300</b>	<b>\$ 1,055,300</b>	<b>\$ -</b>

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**Transportation Travel & Training**

	FY 2021-22	FY 2021-22	FY 2021-22	+/-
	Final	Amd #1	Amd #2	Change
In and Out of State Travel	\$ 45,000	\$ 45,000	\$ 45,000	\$ -
Training	\$ 20,000	\$ 20,000	\$ 20,000	\$ -
Rideshare Incentive	\$ 1,000	\$ 1,000	\$ 1,000	\$ -
<b>Transportation &amp; Travel - Subtotal</b>	<b>\$ 66,000</b>	<b>\$ 66,000</b>	<b>\$ 66,000</b>	<b>\$ -</b>

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**PROFESSIONAL SERVICES**

<b>Work Element No./Project Description</b>	<b>FY 2021-22 Final</b>	<b>FY 2021-22 Amd #1</b>	<b>FY 2021-22 Amd #2</b>	<b>+/- Change</b>
601.01--Regional Transportation Plan	\$ 471,883	\$ 471,883	\$ 471,883	\$ -
601.011--Regional Transportation Plan (AHSC)	\$ 32,000	\$ 32,000	\$ 32,000	\$ -
601.012--SCS Implementation FY 17/18 SB1 grant	\$ -	\$ -	\$ -	\$ -
601.013--SCS Implementation FY 18/19 SB1 grant	\$ -	\$ -	\$ -	\$ -
601.014--SCS Implementation FY 19/20 SB1 grant	\$ 75,000	\$ 200,000	\$ 200,000	\$ -
601.015--19/20 Caltrans Adaptation Planning grant SB1	\$ 100,000	\$ 100,000	\$ 100,000	\$ -
601.016--SCS Implementation FY 20/21 SB1 grant	\$ 200,000	\$ 141,074	\$ 141,074	\$ -
601.017--SCS Implementation FY 21/22 SB1 grant	\$ -	\$ -	\$ -	\$ -
601.01--SCS Implementation FY 20/21 SB1 grant	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
601.017--SCS Implementation FY 21/22 SB1 grant	\$ 325,000	\$ 347,369	\$ 347,369	\$ -
601.02--Regional Planning Studies	\$ 30,000	\$ 30,000	\$ 30,000	\$ -
602.01--RTIP	\$ -	\$ -	\$ -	\$ -
603.01--Road & Street Monitoring	\$ 900,000	\$ 900,000	\$ 900,000	\$ -
603.015--I-205 Managed Lanes Widening Project	\$ 2,000,000	\$ 2,000,000	\$ 2,000,000	\$ -
603.02--Transit Coordination	\$ -	\$ -	\$ -	\$ -
603.03--Transportation Air Quality	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
603.04--Goods Movement	\$ -	\$ -	\$ -	\$ -
603.041 --Goods Movement Partnership Planning Grant	\$ -	\$ -	\$ -	\$ -
701.01--Technical Assistance	\$ 97,350	\$ 97,350	\$ 97,350	\$ -
801.01--Intergovernmental Coordination	\$ 130,000	\$ 130,000	\$ 130,000	\$ -
801.012--Intergovernmental Coordination (St. Pln. & Reas)	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
801.02--Projections & Forecasts	\$ 140,000	\$ 140,000	\$ 140,000	\$ -
801.03--Airport Land Use Commission	\$ 10,000	\$ 10,000	\$ 10,000	\$ -
801.04--Congestion Management	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
801.05--Regional Planning	\$ 15,000	\$ 15,000	\$ 15,000	\$ -
801.06--Valley MPO Coordination	\$ 45,000	\$ 45,000	\$ 45,000	\$ -
801.09--SJCOG Inc	\$ 85,000	\$ 85,000	\$ 85,000	\$ -
901.01--Measure K	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
901.02--RTIF	\$ 15,000	\$ 15,000	\$ 15,000	\$ -
901.03--Smart Growth	\$ -	\$ -	\$ -	\$ -
1001.01--COG OWP	\$ 5,000	\$ 5,000	\$ 5,000	\$ -
1001.02--TDA Administration	\$ 250,000	\$ 250,000	\$ 300,000	\$ 50,000
1001.03--Community Involvement	\$ 25,000	\$ 25,000	\$ 25,000	\$ -
1001.04--FAST ACT Management	\$ -	\$ -	\$ -	\$ -
1101.01--Transportation Demand Management	\$ 1,900,515	\$ 2,000,713	\$ 2,135,713	\$ 135,000
1101.03--Transportation Demand Management	\$ -	\$ -	\$ -	\$ -
1201.01--Freeway Service Patrol	\$ 1,720,027	\$ 2,450,000	\$ 3,034,555	\$ 584,555
1201.03 - SJCOG Interns	\$ -	\$ -	\$ -	\$ -
1301.01 - Performance Based Planning and Programming	\$ -	\$ -	\$ -	\$ -
1350.01 - SJV Regional Early Action Planning Committee for Housing (REAP)	\$ 724,097	\$ 849,097	\$ 849,097	\$ -
1375.01 - Sustainable Transportation Equity Project (STEP)	\$ 2,079,423	\$ 6,000,000	\$ 7,049,650	\$ 1,049,650
1380.01 - CMO voucher program	\$ -	\$ 998,000	\$ 998,000	\$ -
Indirect	\$ 527,963	\$ 527,963	\$ 527,963	\$ -
<b>TOTAL</b>	<b>\$ 12,453,258</b>	<b>\$ 18,415,450</b>	<b>\$ 20,234,655</b>	<b>\$ 1,819,205</b>

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**FIXED ASSETS**

<i>Title</i>	<i>Description</i>	FY 2021-22	FY 2021-22	FY 2021-22	+/-
		Final	Amd #1	Amd #2	
	Office Furniture/Equipment	\$ 10,000	\$ 10,000	\$ 10,000	\$ -
	Replacement Printers (2)	\$ -	\$ -	\$ -	\$ -
	Server Upgrade	\$ 20,500	\$ 20,500	\$ 20,500	\$ -
	ERP Accounting System	\$ -	\$ -	\$ -	\$ -
	Customer Relation Management	\$ 25,000	\$ 25,000	\$ 25,000	\$ -
	Computer Upgrades	\$ 20,000	\$ 20,000	\$ 20,000	\$ -
	Capitalized Building Maintenance/Upgrades	\$ 120,000	\$ 120,000	\$ 120,000	\$ -
<b>TOTAL</b>		\$ 195,500	\$ 195,500	\$ 195,500	\$ -