



**San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2021/22**

**Final March 25, 2021
Amendment #1 October 28, 2021**

CHAIR

Councilmember Leo Zuber, City of Ripon

VICE-CHAIR

Supervisor Robert Rickman, San Joaquin County

BOARD OF DIRECTORS

Councilmember Doug Kuehne
Councilmember Diane Lazard
Vice Mayor Gary Singh
Councilmember Paul Canepa
Councilmember Sol Jobrack
Councilmember Dan Wright
Supervisor Tom Patti
Supervisor Miguel Villapudua
Supervisor Tom Patti
Mayor Nancy Young
Councilmember David Bellinger

City of Lodi
City of Lathrop
City of Manteca
City of Stockton
City of Stockton
City of Stockton
County of San Joaquin
County of San Joaquin
County of San Joaquin
City of Tracy
City of Escalon

EX OFFICIO DIRECTORS

Dennis Agar, Director
Gary Giovanetti, Director
Anthony Barkett

Caltrans District 10
San Joaquin Regional Transit District
Port of Stockton

SUBMITTED BY:

Diane Nguyen
Executive Director

Steve Dial
Deputy Executive Director/
Chief Financial Officer

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REVENUES	FY 2021-22 Final	FY 2021-22 Amd #1	+/- Change	+/- % Change
Federal Grants	7,215,222.00	7,124,319.58	(90,902.42)	-1.26%
State Grants	6,174,573.33	12,217,767.20	6,043,193.87	97.87%
Local	5,453,491.00	5,472,391.00	18,900.00	0.35%
Interest	20,000.00	20,000.00	-	0.00%
Other	60,000.00	51,000.00	(9,000.00)	-15.00%
SJCOG OPERATING REVENUE	18,923,286.33	24,885,477.78	5,962,191.45	31.51%
EXPENDITURES				
Salaries & Benefits	5,153,228.26	5,153,228.26	-	0.00%
Services & Supplies	1,121,300.00	1,121,300.00	-	0.00%
Office Expense	261,500.00	261,500.00	-	0.00%
Communications	60,000.00	60,000.00	-	0.00%
Memberships	45,000.00	45,000.00	-	0.00%
Maintenance - Equipment	10,000.00	10,000.00	-	0.00%
Rents & Leases - Equipment	125,000.00	125,000.00	-	0.00%
Transportation, Travel & Training (In & Out of State)	66,000.00	66,000.00	-	0.00%
Publications & Legal Notices	7,500.00	7,500.00	-	0.00%
Insurance	146,300.00	146,300.00	-	0.00%
Building Operations & Maintenance	200,000.00	200,000.00	-	0.00%
SJCOG Building Debt Service Principal and Interest	200,000.00	200,000.00	-	0.00%
Professional Services	12,453,258.07	18,415,449.52	5,962,191.45	47.88%
Capital Outlay	195,500.00	195,500.00	-	0.00%
Unallocated/Reserve				
SJCOG OPERATING EXPENDITURES	18,923,286.33	24,885,477.78	5,962,191.45	31.51%

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REVENUE

Revenue Source	FY 2021-22	FY 2021-22	+/-
	Final	Amd #1	Change
FEDERAL GRANTS			
<i>U.S. Department of Transportation:</i>			
Federal Highway Administration (PL)	\$ 1,383,630	\$ 1,383,630	\$ -
Federal Highway Administration (PL FY 20/21 C/O)	\$ -	\$ 3,885	\$ 3,885
Federal Transit Administration MPO Planning (FTA 5303)	\$ 378,781	\$ 378,781	\$ -
Federal Transit Administration MPO Planning (FTA 5303 FY 19/20 C/O)	\$ -	\$ 72,392	\$ 72,392
Federal Transit Administration MPO Planning (FTA 5303 FY 20/21 C/O)	\$ -	\$ 45,769	\$ 45,769
RSTPCML 6088(068) I-205 Managed Lanes Widening	\$ 2,421,802	\$ 2,421,802	\$ -
TDM - Merced CAG CMAQ	\$ 85,000	\$ 66,800	\$ (18,200)
TDM - Merced CAG CMAQ	\$ 75,000	\$ 48,365	\$ (26,635)
TDM - StanCOG CMAQ	\$ 245,800	\$ 580,958	\$ 335,158
CMAQ TDM	\$ 2,625,209	\$ 2,121,937	\$ (503,272)
			\$ -
	\$ 7,215,222	\$ 7,124,320	\$ (90,902)
STATE GRANTS			
<i>California Department of Transportation:</i>			
STIP Planning & Programming	\$ 290,000	\$ 290,000	\$ -
STIP Planning & Programming FY 20/21 Carryover	\$ 100,000	\$ 41,342	\$ (58,658)
FY 17/18 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ -	\$ -	\$ -
FY 18/19 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ -	\$ -	\$ -
FY 19/20 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 100,000	\$ 181,488	\$ 81,488.37
FY 19/20 Caltrans Adaptation Planning Grant (SB1)	\$ 125,000	\$ 99,198	\$ (25,802.25)
FY 20/21 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 150,000	\$ 132,325	\$ (17,675.28)
FY 21/22 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 341,671	\$ 347,369	\$ 5,698.00
Sub-recipient of SB1 Competitive Planning Grant (City of Lodi)	\$ 97,350	\$ 92,513	\$ (4,837.00)
State Planning & Research (Fmrly CT Rural Planning Assistance)	\$ 50,000	\$ 50,000	\$ -
Caltrans-FSP (I-205) FY 18-19 Carryover FSP19-6088(064)	\$ -	\$ -	\$ -
Caltrans-FSP (I-205) FY 19-20 allocation FSP20-6088(069)	\$ 100,000	\$ 362,262	\$ 262,262
Caltrans-FSP (I-205) FY 20-21 allocation FSP21-6088(073)	\$ 546,122	\$ 546,122	\$ -
Caltrans-FSP (I-205) FY 21-22 allocation FSP21-6088(076)	\$ -	\$ 547,781	\$ 547,781
Freeway Service Patrol (FSP18 SB1 6088-063) Carryover	\$ -	\$ 35,831	\$ 35,831
Caltrans-FSP18SB1 FY 18-19 allocation FSP19 SB1-6088(066)	\$ -	\$ 11,679	\$ 11,679
Caltrans-FSP19SB1 (6088-070) FY 19-20 allocation	\$ 490,646	\$ 490,646	\$ -
Caltrans-FSP21 SB1 (6088-074) FY 20-21 allocation	\$ 454,873	\$ 454,873	\$ -
Affordable Housing & Sustainable Communities FY 19/20 Enterprise	\$ -	\$ -	\$ -
Affordable Housing & Sustainable Communities FY 20/21 Enterprise	\$ 20,000	\$ 32,777	\$ 12,777
State Transit Assistance	\$ 134,391	\$ 132,850	\$ (1,541)
Clean Mobility Options Program(EV Car Share)	\$ -	\$ 998,614	\$ 998,614
SJV Regional Early Action Planning Committee for Housing (REAP)	\$ 845,097	\$ 970,097	\$ 125,000
Sustainable Transportation Equity Project (STEP)	\$ 2,329,423	\$ 6,400,000	\$ 4,070,577
SUBTOTAL	\$ 6,174,573	\$ 12,217,767	\$ 6,043,193.87
LOCAL			
TDA (LTF Planning + TDA Administration)	\$ 1,423,900	\$ 1,423,900	\$ -
Measure K Project Management	\$ 1,000,000	\$ 1,000,000	\$ -
Measure K Administration	\$ 671,000	\$ 671,000	\$ -
Measure K dibs	\$ 864,000	\$ 864,000	\$ -
RTIF	\$ 341,491	\$ 341,491	\$ -
Valley MPOs Air Quality Planning	\$ 181,100	\$ 200,000	\$ 18,900
Tri-County Travel Demand (Merced, Stanislaus, San Joaquin)	\$ -	\$ -	\$ -
SACOG TDM (Trip Planning System, 511, dibs)	\$ 150,000	\$ 150,000	\$ -
Merced- Modeling	\$ -	\$ -	\$ -
SACOG FSP Match	\$ 207,000	\$ 207,000	\$ -
COG Fees	\$ 10,000	\$ 10,000	\$ -
SJCOGI	\$ 605,000	\$ 605,000	\$ -
SUBTOTAL	\$ 5,453,491	\$ 5,472,391	\$ 18,900.00
OTHER			
Interest	\$ 20,000	\$ 20,000	\$ -
Other (ALUC Fees+doc fees)	\$ 60,000	\$ 51,000	\$ (9,000)
SUBTOTAL	\$ 80,000	\$ 71,000	\$ (9,000)
TOTAL	\$ 18,923,286.33	\$ 24,885,477.78	\$ 5,962,191.45

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SERVICE AND SUPPLIES

Title	Line Item Description	FY 2021-22	FY 2021-22	+/-
		Final	Amd #1	Change
Office Expense - General	General Supplies	\$ 35,000	\$ 35,000	\$ -
	Recognitions	\$ 500	\$ 500	\$ -
	Printing	\$ 4,000	\$ 4,000	\$ -
	Noncapital Equip/Furniture	\$ 20,000	\$ 20,000	\$ -
	Computer Software & License	\$ 195,000	\$ 195,000	\$ -
Office Expense - General Subtotal		\$ 254,500	\$ 254,500	\$ -
Office Expense - Postage Subtotal		\$ 3,000	\$ 3,000	\$ -
Office Expense - Subscriptions Subtotal		\$ 4,000	\$ 4,000	\$ -
Office Expense - Subtotal		\$ 261,500	\$ 261,500	\$ -
Communications-Subtotal		\$ 60,000	\$ 60,000	\$ -
Memberships - Subtotal		\$ 45,000	\$ 45,000	\$ -
Maintenance - Equipment - Subtotal		\$ 10,000	\$ 10,000	\$ -
Rents & Leases - Equipment - Subtotal		\$ 125,000	\$ 125,000	\$ -
Publications & Legal Notices - Subtotal		\$ 7,500	\$ 7,500	\$ -
Insurances - Subtotal		\$ 146,300	\$ 146,300	\$ -
Building Maintenance - Subtotal		\$ 200,000	\$ 200,000	\$ -
BuildingDebt Service - Principle & Interest		\$ 200,000	\$ 200,000	\$ -
TOTAL SERVICES & SUPPLIES		\$ 1,055,300	\$ 1,055,300	\$ -

POSITION CLASSIFICATIONS & SALARY SCHEDULE
ANNUAL

Approved March 25, 2021 - Effective July 1, 2021

Position	12 month Change in CPI	Minimum		Maximum	
		Previous	New	Previous	New
<u>Executive Director</u>		CONTRACT	CONTRACT	CONTRACT	CONTRACT
<u>GROUP A</u>			CONTRACT	CONTRACT	CONTRACT
Deputy Executive Director/Chief Financial Officer					
<u>GROUP B</u>					
Deputy Director Planning Deputy Director Programming & Project Delivery			\$ 153,946.00		\$ 215,525.00
<u>GROUP C</u>					
(?)			\$ 136,235.00		\$ 190,730.00
<u>GROUP D</u>					
Regional Planning or Programming Manager(New)			\$ 121,098.00		\$ 169,537.00
<u>GROUP E</u>		94,361.05	\$ 109,097.00	145,000.00	\$ 152,736.00
Habitat Conservation Program Manager Manager of Administrative Services Project Manager Information Technology Manager(Moved from Group F) Communications Manager(New) Finance Manager(New)					
<u>GROUP F</u>		79,465.47	\$ 98,286.00	135,236.54	\$ 137,600.00
Chief Accountant Payroll Specialist/HR Assistant Senior Regional Planner Senior Program Specialist Information Technology Manager					
<u>GROUP G</u>					
(?)			\$ 88,546.00		\$ 123,964.00
<u>GROUP H</u>		70,317.48	\$ 80,496.00	105,075.50	\$ 112,695.00
Associate Regional Planner Associate Habitat Planner Associate Program Specialist Staff Accountant Public Information Officer					
<u>GROUP I</u>					
Human Resources Assistant(Moved from Group F) Office Administrator(Moved from Group L)			\$ 73,178.00		\$ 102,450.00
<u>GROUP J</u>					
Associate Program Specialist(Moved from Group H) Staff Accountant(Moved from group H) Contract/Grants Specialist(Moved from Group K)			\$ 66,526.00		\$ 93,136.00
<u>GROUP K</u>		62,090.48	\$ 60,478.00	88,306.47	\$ 84,669.00
Junior Accountant Contract/Grants Specialist Assistant Regional Planner Assistant Program Specialist Assistant Habitat Planner Administrative Analyst					
<u>GROUP L</u>		56,094.49	\$ 54,980.00	74,470.65	\$ 76,972.00
Office Administrator					
<u>GROUP M</u>		48,686.99	\$ 49,982.00	71,269.53	\$ 69,975.00
Administrative Technician Accounting Assistant II Planning Technician					
<u>GROUP N</u>		41,720.51	\$ 45,320.00	58,984.81	\$ 63,448.00
Accounting Assistant I Administrative Clerk II					
<u>GROUP O</u>		36,693.66	\$ 41,963.00	50,991.54	\$ 58,748.00
Accounting Assistant I (Moved from Group N) Administrative Clerk I					

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Tranportation Travel & Training

	FY 2021-22	FY 2021-22	+/-
	Final	Amd #1	Change
In and Out of State Travel	\$ 45,000	\$ 45,000	\$ -
Training	\$ 20,000	\$ 20,000	\$ -
Rideshare Incentive	\$ 1,000	\$ 1,000	\$ -
Transportation & Travel - Subtotal	\$ 66,000	\$ 66,000	\$ -

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PROFESSIONAL SERVICES

Work Element No./Project Description	FY 2021-22 Final	FY 2021-22 Amd #1	+/- Change
601.01--Regional Transportation Plan	\$ 471,883	\$ 471,883	\$ -
601.011--Regional Transportation Plan (AHSC)	\$ 32,000	\$ 32,000	\$ -
601.012--SCS Implementation FY 17/18 SB1 grant	\$ -	\$ -	\$ -
601.013--SCS Implementation FY 18/19 SB1 grant	\$ -	\$ -	\$ -
601.014--SCS Implementation FY 19/20 SB1 grant	\$ 75,000	\$ 200,000	\$ 125,000
601.015--19/20 Caltrans Adaptation Planning grant SB1	\$ 100,000	\$ 100,000	\$ -
601.016--SCS Implementation FY 20/21 SB1 grant	\$ 200,000	\$ 141,074	\$ (58,926)
601.017--SCS Implementation FY 21/22 SB1 grant			
601.01--SCS Implementation FY 20/21 SB1 grant	\$ 200,000	\$ 200,000	\$ -
601.017--SCS Implementation FY 21/22 SB1 grant	\$ 325,000	\$ 347,369	\$ 22,369
601.02--Regional Planning Studies	\$ 30,000	\$ 30,000	\$ -
602.01--RTIP			\$ -
603.01--Road & Street Monitoring	\$ 900,000	\$ 900,000	\$ -
603.015--I-205 Managed Lanes Widening Project	\$ 2,000,000	\$ 2,000,000	\$ -
603.02--Transit Coordination			\$ -
603.03--Transportation Air Quality	\$ 200,000	\$ 200,000	\$ -
603.04--Goods Movement			\$ -
603.041 --Goods Movement Partnership Planning Grant			\$ -
701.01--Technical Assistance	\$ 97,350	\$ 97,350	\$ -
801.01--Intergovernmental Coordination	\$ 130,000	\$ 130,000	\$ -
801.012--Intergovernmental Coordination (St. Pln. & Reas)	\$ 50,000	\$ 50,000	\$ -
801.02--Projections & Forecasts	\$ 140,000	\$ 140,000	\$ -
801.03--Airport Land Use Commission	\$ 10,000	\$ 10,000	\$ -
801.04--Congestion Management	\$ 50,000	\$ 50,000	\$ -
801.05--Regional Planning	\$ 15,000	\$ 15,000	\$ -
801.06--Valley MPO Coordination	\$ 45,000	\$ 45,000	\$ -
801.09--SJCOG Inc	\$ 85,000	\$ 85,000	\$ -
901.01--Measure K	\$ 50,000	\$ 50,000	\$ -
901.02--RTIF	\$ 15,000	\$ 15,000	\$ -
901.03--Smart Growth			\$ -
1001.01--COG OWP	\$ 5,000	\$ 5,000	\$ -
1001.02--TDA Administration	\$ 250,000	\$ 250,000	\$ -
1001.03--Community Involvement	\$ 25,000	\$ 25,000	\$ -
1001.04--FAST ACT Management			\$ -
1101.01--Transportation Demand Management	\$ 1,900,515	\$ 2,000,713	\$ 100,198
1101.03--Transportation Demand Management			\$ -
1201.01--Freeway Service Patrol	\$ 1,720,027	\$ 2,450,000	\$ 729,973
1201.03 - SJCOG Interns			\$ -
1301.01 - Performance Based Planning and Programming			\$ -
1350.01 - SJV Regional Early Action Planning Committee for Housing (REAP)	\$ 724,097	\$ 849,097	\$ 125,000
1375.01 - Sustainable Transportation Equity Project (STEP)	\$ 2,079,423	\$ 6,000,000	\$ 3,920,577
1380.01 - CMO voucher program		\$ 998,000	\$ 998,000
Indirect	\$ 527,963	\$ 527,963	\$ -
TOTAL	\$ 12,453,258	\$ 18,415,450	\$ 5,962,191

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FIXED ASSETS

<i>Title</i>	<i>Description</i>	FY 2021-22	FY 2021-22	+/-
		Final	Amd #1	Change
	Office Furniture/Equipment	\$ 10,000	\$ 10,000	\$ -
	Replacement Printers (2)	\$ -	\$ -	\$ -
	Server Upgrade	\$ 20,500	\$ 20,500	\$ -
	ERP Accounting System	\$ -	\$ -	\$ -
	Customer Relation Management	\$ 25,000	\$ 25,000	\$ -
	Computer Upgrades	\$ 20,000	\$ 20,000	\$ -
	Capitalized Building Maintenance/Upgrades	\$ 120,000	\$ 120,000	\$ -
<i>TOTAL</i>		\$ 195,500	\$ 195,500	\$ -

San Joaquin Council of Governments
STAFF ALLOCATION
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<u>Position</u>	3/25/2021	10/28/2021
	2021-22	2021-22
Executive Director	1	1
Deputy Executive Director/Chief Financial Officer	1	1
Deputy Director	2	2
Project Manager Habitat	1	1
Finance Manager		1
Public Communications Officer	1	1
Senior Regional Planner	4	4
Senior Program Specialist	1	1
Chief Accountant	1	1
Assistant/Associate Regional Transportation Planner	6	3
Assistant/Associate Program Specialist	2	2
Assistant/Associate Habitat Planner	1	1
Planner Tech	1	0
Administrative Analyst	0	0
Administrative Technician	3	4
Manager of Administrative Services	1	1
Information Technology Manager	1	1
Office Administrator	1	1
Administrative Clerk I/II	0	0
Senior Accountant	1	1
Junior Accountant	1	1
Contract/Grant Specialist	1	0
Payroll Specialist-HR Assistant	1	0
Accounting Assistants I/II	2	3
TOTAL	34	31
Regular Positions:	34	34
Interns/Temporary/Part-Time Positions:	3	0