



**San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2020/2021**

**Adopted April 16, 2020
Amendment #1 August 27, 2020
Amendment #2 December 3, 2020**

CHAIR

Councilmember Sol Jobrack, City of Stockton

VICE-CHAIR

Councilmember Leo Zuber, City of Ripon

BOARD OF DIRECTORS

Councilmember Walt Murken	City of Escalon
Mayor Sonny Dhaliwal	City of Lathrop
Councilmember Gary Singh	City of Manteca
Councilmember Jesus Andrade	City of Stockton
Vice Mayor Dan Wright	City of Stockton
Supervisor Bob Elliott	County of San Joaquin
Supervisor Chuck Winn	County of San Joaquin
Supervisor Katherine Miller	County of San Joaquin
Councilmember Leo Zuber	City of Ripon
Mayor Robert Rickman	City of Tracy

EX OFFICIO DIRECTORS

Dennis Agar, Director	Caltrans District 10
Gary Giovanetti, Director	San Joaquin Regional Transit District
Anthony Barkett	Port of Stockton

SUBMITTED BY:

Andrew T. Chesley
Executive Director

Steve Dial
Deputy Executive Director/
Chief Financial Officer

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REVENUES	FY 2020-21 Adopted 4/16/20	FY 2020-21 Amendment #1	FY 2020-21 Amendment #2	+/- Change	+/- % Change
Federal Grants	\$ 5,019,336	\$ 5,019,336	\$ 5,389,414	\$ 370,078	7.37%
State Grants	\$ 3,023,089	\$ 3,216,733	\$ 3,236,733	\$ 20,000	0.62%
Local	\$ 5,602,069	\$ 5,690,716	\$ 5,669,527	\$ (21,189)	-0.37%
Interest	\$ 20,000	\$ 20,000	\$ 20,000	\$ -	0.00%
Other	\$ 29,000	\$ 29,000	\$ 29,000	\$ -	0.00%
SJCOG OPERATING REVENUE	\$ 13,693,494	13,975,784.70	14,344,673.80	368,889.10	2.64%
EXPENDITURES					
Salaries & Benefits	\$ 4,641,882	4,641,882	4,641,882	\$ -	0.00%
Services & Supplies	\$ 1,207,300	1,207,300	1,154,800	\$ (52,500)	-4.35%
Office Expense	\$ 242,500	242,500	270,000	\$ 27,500	11.34%
Communications	\$ 60,000	60,000	60,000	\$ -	0.00%
Memberships	\$ 45,000	45,000	45,000	\$ -	0.00%
Maintenance - Equipment	\$ 10,000	10,000	10,000	\$ -	0.00%
Rents & Leases - Equipment	\$ 150,000	150,000	150,000	\$ -	0.00%
Transportation, Travel & Training (In & Out of State)	\$ 121,000	121,000	66,000	\$ (55,000)	-45.45%
Publications & Legal Notices	\$ 7,500	7,500	7,500	\$ -	0.00%
Insurance	\$ 146,300	146,300	146,300	\$ -	0.00%
Building Operations & Maintenance	\$ 225,000	225,000	200,000	\$ (25,000)	-11.11%
SJCOG Building Debt Service Principal and Interest	\$ 200,000	200,000	200,000	\$ -	0.00%
Professional Services	\$ 7,648,812	7,931,103	8,352,492	\$ 421,389	5.31%
Capital Outlay	\$ 195,500	195,500	195,500	\$ -	0.00%
Unallocated/Reserve					
SJCOG OPERATING EXPENDITURES	\$ 13,693,494	13,975,784.70	14,344,673.80	368,889.10	2.64%

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REVENUE

Revenue Source	FY 2020-21 Adopted 4/16/20	FY 2020-21 Amendment #1	FY 2020-21 Amendment #2	+/- Change
FEDERAL GRANTS				
<i>U.S. Department of Transportation:</i>				
Federal Highway Administration (PL)	\$ 1,406,262	\$ 1,406,262	\$ 1,406,262	\$ -
Federal Highway Administration (PL C/O)			\$ 85,785	\$ 85,785
Federal Transit Administration MPO Planning (FTA 5303)	\$ 346,272	\$ 346,272	\$ 346,272	\$ -
Federal Transit Administration MPO Planning (FTA 5303 C/O)			\$ 284,293	\$ 284,293
Regional Surface Transportation Program (RSTP) CMP Update				\$ -
RSTP SR99 and SR 120 Ramps: STPL 6088(057)	\$ -	\$ -	\$ -	\$ -
RSTPCML 6088(068) I-205 Managed Lanes Widening	\$ 2,421,802	\$ 2,421,802	\$ 2,421,802	\$ -
CMAQ TDM	\$ 845,000	\$ 845,000	\$ 845,000	\$ -
Federal Earmark Redistribution(DEM06UBL 6088-050)				\$ -
	\$ 5,019,336	\$ 5,019,336	\$ 5,389,414	\$ 370,078
STATE GRANTS				
<i>California Department of Transportation:</i>				
STIP Planning & Programming	\$ 342,000	\$ 290,000	\$ 290,000	\$ -
FY 17/18 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ -	\$ -	\$ -	\$ -
FY 18/19 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 185,000	\$ 121,583	\$ 121,583	\$ -
FY 19/20 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 125,000	\$ 335,077	\$ 335,077	\$ -
FY 19/20 Caltrans Adaptation Planning Grant (SB1)	\$ 100,000	\$ 188,614	\$ 188,614	\$ -
FY 20/21 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 341,671	\$ 353,812	\$ 353,812	\$ -
State Planning & Research (Fmrly CT Rural Planning Assistance)	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
Freeway Service Patrol (FSP13-6088-048)				\$ -
Freeway Service Patrol (FSP14-6088-053)				\$ -
Caltrans-FSP (I-205) FY 18-19 Carryover FSP19-6088(064)	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
Caltrans-FSP (I-205) FY 19-20 allocation FSP20-6088(069)	\$ 400,000	\$ 400,000	\$ 400,000	\$ -
Freeway Service Patrol (FSP18 SB1 6088-063) Carryover	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
Caltrans-FSP18SB1 FY 18-19 allocation FSP19 SB1-6088(066)	\$ 429,381	\$ 429,381	\$ 429,381	\$ -
Caltrans-FSP19SB1 (6088-070) FY 19-20 allocation	\$ 490,646	\$ 490,646	\$ 490,646	\$ -
Affordable Housing & Sustainable Communities Carryover				\$ -
Affordable Housing & Sustainable Communities FY 18/19 Carryover	\$ -	\$ -	\$ -	\$ -
Affordable Housing & Sustainable Communities FY 19/20 Enterprise	\$ 5,000	\$ 3,230	\$ 3,230	\$ -
Affordable Housing & Sustainable Communities FY 20/21 Enterprise	\$ 20,000	\$ 20,000	\$ 40,000	\$ 20,000
State Transit Assistance	\$ 134,391	\$ 134,391	\$ 134,391	\$ -
SUBTOTAL	\$ 3,023,089	\$ 3,216,733	\$ 3,236,733	20,000.00
LOCAL				
TDA (LTF Planning + TDA Administration)	\$ 1,401,289	\$ 1,401,289	\$ 1,389,100	\$ (12,189)
TDA (LTF ALUC Stockton Metro)				\$ -
Measure K Project Management	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ -
Measure K Administration	\$ 680,000	\$ 680,000	\$ 671,000	\$ (9,000)
Measure K dibs	\$ 695,000	\$ 695,000	\$ 695,000	\$ -
RTIF	\$ 341,491	\$ 341,491	\$ 341,491	\$ -
Valley MPOs Air Quality Planning	\$ 181,100	\$ 181,100	\$ 181,100	\$ -
Fresno Prop 84				\$ -
TDM - Merced CAG CMAQ	\$ 43,000	\$ 50,300	\$ 50,300	\$ -
TDM - Merced CAG CMAQ	\$ 75,000	\$ 75,000	\$ 75,000	\$ -
TDM - StanCOG CMAQ	\$ 200,000	\$ 245,800	\$ 245,800	\$ -
Tri-County Travel Demand (Merced, Stanislaus, San Joaquin)	\$ 13,189	\$ 13,189	\$ 13,189	\$ -
SACOG TDM (Trip Planning System, 511, dibs)	\$ 150,000	\$ 150,000	\$ 150,000	\$ -
Merced- Modeling		\$ 35,547	\$ 35,547	\$ -
Calaveras COG: RTPA Technical Support				\$ -
City of Manteca: SR 99 Project Management Support				\$ -
Cost of Issuance Reimbursement				\$ -
SACOG FSP Match	\$ 207,000	\$ 207,000	\$ 207,000	\$ -
COG Fees	\$ 10,000	\$ 10,000	\$ 10,000	\$ -
SJCOGI	\$ 605,000	\$ 605,000	\$ 605,000	\$ -
SUBTOTAL	\$ 5,602,069	\$ 5,690,716	\$ 5,669,527	(21,189.00)
OTHER				
Interest	\$ 20,000	\$ 20,000	\$ 20,000	\$ -
Other (ALUC Fees+doc fees)	\$ 29,000	\$ 29,000	\$ 29,000	\$ -
SUBTOTAL	\$ 49,000	\$ 49,000	\$ 49,000	\$ -
TOTAL	\$ 13,693,493.84	\$ 13,975,784.70	\$ 14,344,673.80	368,889.10

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SERVICE AND SUPPLIES

Title	Line Item Description	FY 2020-21	FY 2020-21	FY 2020-21	+/-
		Adopted 4/16/20	Amendment #1	Amendment #2	Change
Office Expense - General	General Supplies	\$ 55,000	\$ 55,000	\$ 40,000	\$ (15,000)
	Recognitions	\$ 2,000	\$ 2,000	\$ 500	\$ (1,500)
	Printing	\$ 10,000	\$ 10,000	\$ 4,000	\$ (6,000)
	Noncapital Equip/Furniture	\$ 20,000	\$ 20,000	\$ 20,000	\$ -
	Computer Software & License	\$ 140,000	\$ 140,000	\$ 190,000	\$ 50,000
Office Expense - General Subtotal		\$ 227,000	\$ 227,000	\$ 254,500	\$ 27,500
Office Expense - Postage Subtotal		\$ 7,500	\$ 7,500	\$ 7,500	\$ -
Office Expense - Subscriptions Subtotal		\$ 8,000	\$ 8,000	\$ 8,000	\$ -
Office Expense - Subtotal		\$ 242,500	\$ 242,500	\$ 270,000	\$ 27,500
Communications-Subtotal		\$ 60,000	\$ 60,000	\$ 60,000	\$ -
Memberships - Subtotal		\$ 45,000	\$ 45,000	\$ 45,000	\$ -
Maintenance - Equipment - Subtotal		\$ 10,000	\$ 10,000	\$ 10,000	\$ -
Rents & Leases - Equipment - Subtotal		\$ 150,000	\$ 150,000	\$ 150,000	\$ -
Publications & Legal Notices - Subtotal		\$ 7,500	\$ 7,500	\$ 7,500	\$ -
Insurances - Subtotal		\$ 146,300	\$ 146,300	\$ 146,300	\$ -
Building Maintenance - Subtotal		\$ 225,000	\$ 225,000	\$ 200,000	\$ (25,000)
BuildingDebt Service - Principle & Interest		\$ 200,000	\$ 200,000	\$ 200,000	\$ -
TOTAL SERVICES & SUPPLIES		\$ 1,086,300	\$ 1,086,300	\$ 1,088,800	\$ 2,500

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Transportation Travel & Training

	FY 2020-21 Adopted 4/16/20	FY 2020-21 Amendment #1	FY 2020-21 Amendment #2	+/- Change
In and Out of State Travel	\$ 85,000	\$ 85,000	\$ 45,000	\$ (40,000)
Training	\$ 33,000	\$ 33,000	\$ 20,000	\$ (13,000)
Rideshare Incentive	\$ 3,000	\$ 3,000	\$ 1,000	\$ (2,000)
Transportation & Travel - Subtotal	\$ 121,000	\$ 121,000	\$ 66,000	\$ (55,000)

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PROFESSIONAL SERVICES

Work Element No./Project Description	FY 2020-21 Adopted 4/16/20	FY 2020-21 Amendment #1	FY 2020-21 Amendment #2	+/- Change
601.01--Regional Transportation Plan	\$ 300,000	\$ 300,000	\$ 471,883	\$ 171,883
601.011--Regional Transportation Plan (AHSC)	\$ 32,000	\$ 32,000	\$ 32,000	\$ -
601.012--SCS Implementation FY 17/18 SB1 grant	\$ 135,494	\$ 135,494	\$ -	\$ (135,494)
601.013--SCS Implementation FY 18/19 SB1 grant	\$ 126,828	\$ 126,828	\$ 126,828	\$ -
601.014--SCS Implementation FY 19/20 SB1 grant	\$ 125,000	\$ 175,000	\$ 175,000	\$ -
601.015--19/20 Caltrans Adaptation Planning grant SB1	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
601.016--SCS Implementation FY 20/21 SB1 grant			\$ 325,000	\$ 325,000
601.01--SCS Implementation FY 20/21 SB1 grant		\$ 200,000	\$ 200,000	\$ -
601.02--Regional Planning Studies	\$ 30,000	\$ 30,000	\$ 30,000	\$ -
602.01--RTIP				\$ -
603.01--Road & Street Monitoring	\$ 900,000	\$ 900,000	\$ 900,000	\$ -
603.015--I-205 Managed Lanes Widening Project	\$ 2,000,000	\$ 2,000,000	\$ 2,000,000	\$ -
603.02--Transit Coordination				\$ -
603.03--Transportation Air Quality	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
603.04--Goods Movement				\$ -
603.041 --Goods Movement Partnership Planning Grant				\$ -
701.01--Technical Assistance				\$ -
801.01--Intergovernmental Coordination	\$ 130,000	\$ 130,000	\$ 130,000	\$ -
801.012--Intergovernmental Coordination (St. Pln. & Reas)	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
801.02--Projections & Forecasts	\$ 80,000	\$ 80,000	\$ 140,000	\$ 60,000
801.03--Airport Land Use Commission	\$ 10,000	\$ 10,000	\$ 10,000	\$ -
801.04--Congestion Management	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
801.05--Regional Planning	\$ 15,000	\$ 15,000	\$ 15,000	\$ -
801.06--Valley MPO Coordination	\$ 45,000	\$ 45,000	\$ 45,000	\$ -
801.09--SJCOG Inc	\$ 85,000	\$ 85,000	\$ 85,000	\$ -
901.01--Measure K	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
901.02--RTIF	\$ 15,000	\$ 15,000	\$ 15,000	\$ -
901.03--Smart Growth				\$ -
1001.01--COG OWP	\$ 5,000	\$ 5,000	\$ 5,000	\$ -
1001.02--TDA Administration	\$ 250,000	\$ 250,000	\$ 250,000	\$ -
1001.03--Community Involvement	\$ 25,000	\$ 25,000	\$ 25,000	\$ -
1001.04--FAST ACT Management				\$ -
1101.01--Transportation Demand Management	\$ 575,000	\$ 607,291	\$ 607,291	\$ -
1101.03--Transportation Demand Management				\$ -
1201.01--Freeway Service Patrol	\$ 1,720,027	\$ 1,720,027	\$ 1,720,027	\$ -
1201.015--Freeway Service Patrol (SB1)	\$ -	\$ -	\$ -	\$ -
1201.03 - SJCOG Interns				\$ -
1301.01 - Performance Based Planning and Programming				\$ -
Indirect	\$ 494,463	\$ 494,463	\$ 494,463	\$ -
TOTAL	\$ 7,648,812	\$ 7,931,103	\$ 8,352,492	\$ 421,389

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FIXED ASSETS

Title	Description	FY 2020-21 Adopted 4/16/20	FY 2020-21 Amendment #1	FY 2020-21 Amendment #2	+/- Change
	Office Furniture/Equipment	\$ 10,000	\$ 10,000	\$ 10,000	\$ -
	Replacement Printers (2)	\$ -	\$ -	\$ -	\$ -
	Server Upgrade	\$ 20,500	\$ 20,500	\$ 20,500	\$ -
	ERP Accounting System	\$ -	\$ -	\$ -	\$ -
	Customer Relation Management	\$ 25,000	\$ 25,000	\$ 25,000	\$ -
	Computer Upgrades	\$ 20,000	\$ 20,000	\$ 20,000	\$ -
	Capitalized Building Maintenance/Upgrades	\$ 120,000	\$ 120,000	\$ 120,000	\$ -
TOTAL		\$ 195,500	\$ 195,500	\$ 195,500	\$ -