



**San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2019/2020**

**Adopted Final March 28, 2019
Amendment #1 September 26, 2019
Amendment #2 January 23, 2020**

CHAIR

Mayor Pro Tempore Doug Kuehne, City of Lodi

VICE-CHAIR

Councilmember Jesus Andrade, City of Stockton

BOARD OF DIRECTORS

Councilmember Walt Murken
Mayor Sonny Dhaliwal
Councilmember Gary Singh
Councilmember Sol Jobrack
Vice Mayor Dan Wright
Supervisor Bob Elliott
Supervisor Chuck Winn
Supervisor Katherine Miller
Mayor Leo Zuber
Mayor Robert Rickman

City of Escalon
City of Lathrop
City of Manteca
City of Stockton
City of Stockton
County of San Joaquin
County of San Joaquin
County of San Joaquin
City of Ripon
City of Tracy

EX OFFICIO DIRECTORS

Dan McElhinney, Director
Gary Giovanetti, Director
Anthony Barkett

Caltrans District 10
San Joaquin Regional Transit District
Port of Stockton

SUBMITTED BY:

Andrew T. Chesley
Executive Director

Steve Dial
Deputy Executive Director/
Chief Financial Officer

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REVENUES	FY 2019-20		FY 2019-20		+/- Change	+/- % Change
	Adopted 3/28/19	Amendment #1	Amendment #2			
Federal Grants	\$ 2,836,633	\$ 2,836,633	\$ 4,787,233	\$ 1,950,600	40.75%	
State Grants	\$ 3,601,845	\$ 3,655,160	\$ 3,547,225	\$ (107,934)	-3.04%	
Local	\$ 4,331,209	\$ 4,515,209	\$ 4,744,609	\$ 229,400	4.83%	
Interest	\$ 5,000	\$ 5,000	\$ 5,000	\$ -	0.00%	
Other	\$ 15,000	\$ 15,000	\$ 15,000	\$ -	0.00%	
SJCOG OPERATING REVENUE	\$ 10,789,687	\$ 11,027,001.70	\$ 13,099,067.28	\$ 2,072,065.58	15.82%	
EXPENDITURES						
Salaries & Benefits	\$ 4,466,831	\$ 4,466,831	\$ 4,466,831	\$ -	0.00%	
Services & Supplies	\$ 1,255,470	\$ 1,268,470	\$ 1,282,470	\$ 14,000	1.09%	
Office Expense	\$ 262,470	\$ 262,470	\$ 262,470	\$ -	0.00%	
Communications	\$ 66,000	\$ 66,000	\$ 80,000	\$ 14,000	17.50%	
Memberships	\$ 50,000	\$ 50,000	\$ 50,000	\$ -	0.00%	
Maintenance - Equipment	\$ 10,000	\$ 10,000	\$ 10,000	\$ -	0.00%	
Rents & Leases - Equipment	\$ 225,000	\$ 225,000	\$ 225,000	\$ -	0.00%	
Transportation, Travel & Training (In & Out of State)	\$ 114,500	\$ 114,500	\$ 114,500	\$ -	0.00%	
Publications & Legal Notices	\$ 7,500	\$ 7,500	\$ 7,500	\$ -	0.00%	
Insurance	\$ 120,000	\$ 133,000	\$ 133,000	\$ -	0.00%	
Building Operations & Maintenance	\$ 200,000	\$ 200,000	\$ 200,000	\$ -	0.00%	
SJCOG Building Debt Service Principal and Interest	\$ 200,000	\$ 200,000	\$ 200,000	\$ -	0.00%	
Professional Services	\$ 4,871,886	\$ 5,096,200	\$ 7,154,266	\$ 2,058,066	28.77%	
Capital Outlay	\$ 195,500	\$ 195,500	\$ 195,500	\$ -	0.00%	
Unallocated/Reserve						
SJCOG OPERATING EXPENDITURES	\$ 10,789,687	\$ 11,027,002	\$ 13,099,067.28	\$ 2,072,065.58	15.82%	

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REVENUE

Revenue Source	FY 2019-20		FY 2019-20		+/- Change
	Adopted 3/28/19	Amendment #1	Amendment #2		
FEDERAL GRANTS					
<i>U.S. Department of Transportation:</i>					
Federal Highway Administration (PL)	\$ 1,320,000	\$ 1,320,000	\$ 1,320,000	\$ -	\$ -
Federal Highway Administration (PL C/O)				\$ -	\$ -
Federal Transit Administration MPO Planning (FTA 5303)	\$ 316,633	\$ 316,633	\$ 316,633	\$ -	\$ -
Federal Transit Administration MPO Planning (FTA 5303 C/O)				\$ -	\$ -
Regional Surface Transportation Program (RSTP) CMP Update				\$ -	\$ -
RSTP SR99 and SR 120 Ramps: STPL 6088(057)	\$ 100,000	\$ 100,000	\$ 100,000	\$ -	\$ -
RSTPCML 6088(068) I-205 Managed Lanes Widening			\$ 1,770,600	\$ 1,770,600.00	\$ 1,770,600.00
CMAQ TDM	\$ 1,100,000	\$ 1,100,000	\$ 1,280,000	\$ 180,000.00	\$ 180,000.00
Federal Earmark Redistribution(DEM06UBL 6088-050)				\$ -	\$ -
	\$ 2,836,633	\$ 2,836,633	\$ 4,787,233	\$ 1,950,600.00	\$ 1,950,600.00
STATE GRANTS					
<i>California Department of Transportation:</i>					
STIP Planning & Programming	\$ -	\$ -	\$ -	\$ -	\$ -
FY 17/18 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 193,000	\$ 225,994	\$ 225,994	\$ -	\$ -
FY 18/19 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 264,650	\$ 305,638	\$ 305,638	\$ -	\$ -
FY 19/20 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 353,812	\$ 353,812	\$ 353,812	\$ -	\$ -
FY 19/20 Caltrans Adaptation Planning Grant (SB1)		\$ 200,000	\$ 200,000	\$ -	\$ -
Caltrans Rural Planning Assistance (RPA)	\$ 250,000	\$ 150,000	\$ 50,000	\$ (100,000.00)	\$ (100,000.00)
Freeway Service Patrol (FSP13-6088-048)				\$ -	\$ -
Freeway Service Patrol (FSP14-6088-053)	\$ -	\$ -	\$ -	\$ -	\$ -
Caltrans-FSP (I-205) FY 18-19 Carryover FSP19-6088(064)	\$ 491,524	\$ 398,751	\$ 398,751	\$ -	\$ -
Caltrans-FSP (I-205) FY 19-20 allocation FSP20-6088(069)	\$ 491,524	\$ 570,246	\$ 570,246	\$ -	\$ -
Freeway Service Patrol (FSP18 SB1 6088-063)	\$ 437,740	\$ 403,022	\$ 403,022	\$ -	\$ -
Caltrans-FSP18SB1 FY 18-19 allocation FSP19 SB1-6088(066)	\$ 500,000	\$ 429,381	\$ 429,381	\$ -	\$ -
Caltrans-FSP19SB1 (6088-070) FY 19-20 allocation	\$ 500,000	\$ 490,646	\$ 490,646	\$ -	\$ -
Caltrans TDM Partnership Planning Grant				\$ -	\$ -
Affordable Housing & Sustainable Communities Carryover	\$ -	\$ -	\$ -	\$ -	\$ -
Affordable Housing & Sustainable Communities FY 18/19 Carryover	\$ 30,998	\$ 19,074	\$ 11,140	\$ (7,934.42)	\$ (7,934.42)
Affordable Housing & Sustainable Communities FY 19/20 Enterprise		\$ 20,000	\$ 20,000	\$ -	\$ -
State Transit Assistance	\$ 88,597	\$ 88,597	\$ 88,597	\$ -	\$ -
SUBTOTAL	\$ 3,601,845	\$ 3,655,160	\$ 3,547,225	\$ (107,934.42)	\$ (107,934.42)
LOCAL					
TDA (LTF Planning + TDA Administration)	\$ 1,299,200	\$ 1,299,200	\$ 1,299,200	\$ -	\$ -
TDA (LTF ALUC Stockton Metro)				\$ -	\$ -
Measure K Project Management	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ -	\$ -
Measure K Administration	\$ 662,000	\$ 662,000	\$ 662,000	\$ -	\$ -
RTIF	\$ 27,720	\$ 27,720	\$ 257,120	\$ 229,400.00	\$ 229,400.00
Valley MPOs Air Quality Planning	\$ 181,100	\$ 181,100	\$ 181,100	\$ -	\$ -
Fresno Prop 84				\$ -	\$ -
TDM - Merced CAG CMAQ		\$ 85,000	\$ 85,000	\$ -	\$ -
TDM - Merced CAG CMAQ	\$ 75,000	\$ 75,000	\$ 75,000	\$ -	\$ -
TDM - StanCOG CMAQ	\$ 200,000	\$ 200,000	\$ 200,000	\$ -	\$ -
Tri-County Travel Demand (Merced, Stanislaus, San Joaquin)	\$ 13,189	\$ 13,189	\$ 13,189	\$ -	\$ -
SACOG TDM (Trip Planning System)	\$ 95,000	\$ 150,000	\$ 150,000	\$ -	\$ -
StanCOG- Modeling				\$ -	\$ -
Calaveras COG: RTPA Technical Support				\$ -	\$ -
City of Manteca: SR 99 Project Management Support				\$ -	\$ -
Cost of Issuance Reimbursement				\$ -	\$ -
SAFE	\$ 207,000	\$ 207,000	\$ 207,000	\$ -	\$ -
COG Fees	\$ 10,000	\$ 10,000	\$ 10,000	\$ -	\$ -
SJCOGI	\$ 561,000	\$ 605,000	\$ 605,000	\$ -	\$ -
SUBTOTAL	\$ 4,331,209	\$ 4,515,209	\$ 4,744,609	\$ 229,400.00	\$ 229,400.00
OTHER					
Sales Tax Line of Credit and 2011 Bond Issuance					
Interest	\$ 5,000	\$ 5,000	\$ 5,000	\$ -	\$ -
Other (ALUC Fees+doc fees)	\$ 15,000	\$ 15,000	\$ 15,000	\$ -	\$ -
SUBTOTAL	\$ 20,000	\$ 20,000	\$ 20,000	\$ -	\$ -
TOTAL	\$ 10,789,687.40	\$ 11,027,001.70	\$ 13,099,067.28	\$ 2,072,065.58	\$ 2,072,065.58

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 SERVICE AND SUPPLIES

Title	Line Item Description	FY 2019-20	FY 2019-20	FY 2019-20	+/- Change
		Adopted 3/28/19	Amendment #1	Amendment #2	
Office Expense - General	General Supplies	\$ 75,000	\$ 75,000	\$ 75,000	\$ -
	Recognitions	\$ 2,000	\$ 2,000	\$ 2,000	\$ -
	Printing	\$ 12,270	\$ 12,270	\$ 12,270	\$ -
	Noncapital Equip/Furniture	\$ 20,000	\$ 20,000	\$ 20,000	\$ -
	Computer Software & License	\$ 125,000	\$ 125,000	\$ 125,000	\$ -
Office Expense - General Subtotal		\$ 234,270	\$ 234,270	\$ 234,270	\$ -
Office Expense - Postage Subtotal		\$ 18,000	\$ 18,000	\$ 18,000	\$ -
Office Expense - Subscriptions Subtotal		\$ 10,200	\$ 10,200	\$ 10,200	\$ -
Office Expense - Subtotal		\$ 262,470	\$ 262,470	\$ 262,470	\$ -
Communications-Subtotal		\$ 66,000	\$ 66,000	\$ 80,000	\$ 14,000
Memberships - Subtotal		\$ 50,000	\$ 50,000	\$ 50,000	\$ -
Maintenance - Equipment - Subtotal		\$ 10,000	\$ 10,000	\$ 10,000	\$ -
Rents & Leases - Equipment - Subtotal		\$ 225,000	\$ 225,000	\$ 225,000	\$ -
Publications & Legal Notices - Subtotal		\$ 7,500	\$ 7,500	\$ 7,500	\$ -
Insurances - Subtotal		\$ 120,000	\$ 133,000	\$ 133,000	\$ -
Building Maintenance - Subtotal		\$ 200,000	\$ 200,000	\$ 200,000	\$ -
BuildingDebt Service - Principle & Interest		\$ 200,000	\$ 200,000	\$ 200,000	\$ -
TOTAL SERVICES & SUPPLIES		\$ 1,140,970	\$ 1,153,970	\$ 1,167,970	\$ 14,000

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Transportation Travel & Training

	FY 2019-20 Adopted 3/28/19	FY 2019-20 Amendment #1	FY 2019-20 Amendment #2
In and Out of State Travel	\$ 80,000	\$ 80,000	\$ 80,000
Training	\$ 31,500	\$ 31,500	\$ 31,500
Rideshare Incentive	\$ 3,000	\$ 3,000	\$ 3,000
Transportation & Travel - Subtotal	\$ 114,500	\$ 114,500	\$ 114,500

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PROFESSIONAL SERVICES

<i>Work Element No./Project Description</i>	FY 2019-20 Adopted 3/28/19	FY 2019-20 Amendment #1	FY 2019-20 Amendment #2
601.01--Regional Transportation Plan	\$ 200,000	\$ 200,000	\$ 200,000
601.011--Regional Transportation Plan (AHSC)	\$ 39,000	\$ 39,000	\$ 31,066
601.012--SCS Implementation FY 17/18 SB1 grant	\$ 102,500	\$ 135,494	\$ 135,494
601.013--SCS Implementation FY 18/19 SB1 grant	\$ 40,000	\$ 126,828	\$ 126,828
601.014--SCS Implementation FY 19/20 SB1 grant	\$ 125,000	\$ 125,000	\$ 125,000
601.015--19/20 Caltrans Adaptatin Planning grant SB1		\$ 200,000	\$ 200,000
601.02--Regional Planning Studies	\$ 30,000	\$ 30,000	\$ 30,000
602.01--RTIP			
603.01--Road & Street Monitoring	\$ 900,000	\$ 900,000	\$ 900,000
603.015--I-205 Managed Lanes Widening Project			\$ 2,000,000
603.02--Transit Coordination			
603.03--Transportation Air Quality	\$ 200,000	\$ 200,000	\$ 200,000
603.04--Goods Movement			
603.041 --Goods Movement Partnership Planning Grant			
701.01--Technical Assistance			
801.01--Intergovernmental Coordination	\$ 130,000	\$ 130,000	\$ 130,000
801.012--Intergovernmental Coordination (RPA)	\$ 290,886	\$ 190,886	\$ 90,886
801.02--Projections & Forecasts	\$ 62,000	\$ 62,000	\$ 62,000
801.03--Airport Land Use Commission	\$ 5,000	\$ 5,000	\$ 5,000
801.04--Congestion Management	\$ 50,000	\$ 50,000	\$ 50,000
801.05--Regional Planning	\$ 15,000	\$ 15,000	\$ 15,000
801.06--Valley MPO Coordination	\$ 45,000	\$ 45,000	\$ 45,000
801.07--Interregional Partnerships			
801.09--SJCOG Inc		\$ 39,076	\$ 39,076
901.01--Measure K	\$ 50,000	\$ 50,000	\$ 50,000
901.02--RTIF	\$ 15,000	\$ 15,000	\$ 15,000
901.03--Smart Growth			
1001.01--COG OWP	\$ 5,000	\$ 5,000	\$ 5,000
1001.02--TDA Administration	\$ 250,000	\$ 250,000	\$ 250,000
1001.03--Community Involvement	\$ 17,500	\$ 17,500	\$ 17,500
1001.04--FAST ACT Management			
1101.01--Transportation Demand Management	\$ 300,000	\$ 394,160	\$ 574,160
1101.03--Transportation Demand Management			
1201.01--Freeway Service Patrol	\$ 850,000	\$ 1,371,257	\$ 1,371,257
1201.015--Freeway Service Patrol (SB1)	\$ 650,000	\$ -	\$ -
1201.03 - SJCOG Interns			
1301.01 - Performance Based Planning and Programming			
Indirect	\$ 500,000	\$ 500,000	\$ 486,000
TOTAL	\$ 4,871,886	\$ 5,096,200	\$ 7,154,266

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FIXED ASSETS

<i>Title</i>	<i>Description</i>	FY 2019-20	FY 2019-20	FY 2019-20
		Adopted 3/28/19	Amendment #1	Amendment #2
	Office Furniture/Equipment	\$ 25,000	\$ 25,000	\$ 25,000
	Replacement Printers (2)	\$ 5,500	\$ 5,500	\$ 5,500
	Server Upgrade	\$ 30,000	\$ 30,000	\$ 30,000
	ERP Accounting System	\$ -	\$ -	\$ -
	Customer Relation Management	\$ 25,000	\$ 25,000	\$ 25,000
	Computer Upgrades	\$ 20,000	\$ 20,000	\$ 20,000
	Capitalized Building Maintenance/Upgrades	\$ 90,000	\$ 90,000	\$ 90,000
TOTAL		\$ 195,500	\$ 195,500	\$ 195,500