



**San Joaquin Council of Governments  
ANNUAL FINANCIAL PLAN  
Fiscal Year 2020/2021**

**Adopted April 16, 2020**

**CHAIR**

Mayor Doug Kuehne, City of Lodi

**VICE-CHAIR**

Councilmember Sol Jobrack, City of Stockton

**BOARD OF DIRECTORS**

Councilmember Walt Murken  
Mayor Sonny Dhaliwal  
Councilmember Gary Singh  
Councilmember Jesus Andrade  
Vice Mayor Dan Wright  
Supervisor Bob Elliott  
Supervisor Chuck Winn  
Supervisor Katherine Miller  
Councilmember Leo Zuber  
Mayor Robert Rickman

City of Escalon  
City of Lathrop  
City of Manteca  
City of Stockton  
City of Stockton  
County of San Joaquin  
County of San Joaquin  
County of San Joaquin  
City of Ripon  
City of Tracy

**EX OFFICIO DIRECTORS**

Dan McElhinney, Director  
Gary Giovanetti, Director  
Anthony Barkett

Caltrans District 10  
San Joaquin Regional Transit District  
Port of Stockton

**SUBMITTED BY:**

Andrew T. Chesley  
Executive Director

Steve Dial  
Deputy Executive Director/  
Chief Financial Officer

**San Joaquin Council of Governments**  
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REVENUES	FY 2018-19 Actual	FY 2019-20 Amendment #2	FY 2020-21 Adopted 4/16/20	+/- Change	+/- % Change
Federal Grants	\$ 2,968,365	\$ 4,787,233	\$ 5,019,336	\$ 232,103	4.85%
State Grants	\$ 1,170,677	\$ 3,547,225	\$ 3,023,089	\$ (524,136)	-14.78%
Local	\$ 4,238,440	\$ 4,744,609	\$ 5,602,069	\$ 857,460	18.07%
Interest	\$ 19,006	\$ 5,000	\$ 20,000	\$ 15,000	300.00%
Other	\$ 26,712	\$ 15,000	\$ 29,000	\$ 14,000	93.33%
<b>SJCOG OPERATING REVENUE</b>	<b>\$ 8,423,199</b>	<b>\$ 13,099,067</b>	<b>\$ 13,693,494</b>	<b>\$ 594,427</b>	<b>15.82%</b>
<b>EXPENDITURES</b>					
Salaries & Benefits	\$ 4,104,749	\$ 4,466,831	\$ 4,641,882	\$ 175,051	3.92%
Services & Supplies	\$ 1,091,352	\$ 1,282,470	\$ 1,207,300	\$ (75,170)	-5.86%
Office Expense	\$ 229,391	\$ 262,470	\$ 242,500	\$ (19,970)	-7.61%
Communications	\$ 76,144	\$ 80,000	\$ 60,000	\$ (20,000)	-25.00%
Memberships	\$ 40,206	\$ 50,000	\$ 45,000	\$ (5,000)	-10.00%
Maintenance - Equipment	\$ 7,827	\$ 10,000	\$ 10,000	\$ -	0.00%
Rents & Leases - Equipment	\$ 105,285	\$ 225,000	\$ 150,000	\$ (75,000)	-33.33%
Transportation, Travel & Training (In & Out of State)	\$ 144,311	\$ 114,500	\$ 121,000	\$ 6,500	5.68%
Publications & Legal Notices	\$ 2,809	\$ 7,500	\$ 7,500	\$ -	0.00%
Insurance	\$ 110,063	\$ 133,000	\$ 146,300	\$ 13,300	10.00%
Building Operations & Maintenance	\$ 192,173	\$ 200,000	\$ 225,000	\$ 25,000	12.50%
SJCOG Building Debt Service Principal and Interest	\$ 183,144	\$ 200,000	\$ 200,000	\$ -	0.00%
Professional Services	\$ 2,490,577	\$ 7,154,266	\$ 7,648,812	\$ 494,546	6.91%
Capital Outlay	\$ 146,214	\$ 195,500	\$ 195,500	\$ -	0.00%
Unallocated/Reserve					
<b>SJCOG OPERATING EXPENDITURES</b>	<b>\$ 7,832,892</b>	<b>\$ 13,099,067</b>	<b>\$ 13,693,494</b>	<b>\$ 594,427</b>	<b>4.54%</b>

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**REVENUE**

Revenue Source	FY 2018-19 Actual	FY 2019-20 Amendment #2	FY 2020-21 Adopted 4/16/20	+/- Change
<b>FEDERAL GRANTS</b>				
<i>U.S. Department of Transportation:</i>				
Federal Highway Administration (PL)	\$ 1,306,208	\$ 1,320,000	\$ 1,406,262	\$ 86,262
Federal Highway Administration (PL C/O)				\$ -
Federal Transit Administration MPO Planning (FTA 5303)	\$ 423,132	\$ 316,633	\$ 346,272	\$ 29,639
Federal Transit Administration MPO Planning (FTA 5303 C/O)				\$ -
Regional Surface Transportation Program (RSTP) CMP Update				\$ -
RSTP SR99 and SR 120 Ramps: STPL 6088(057)	\$ 414,259	\$ 100,000	\$ -	\$ (100,000)
RSTPCML 6088(068) I-205 Managed Lanes Widening		\$ 1,770,600	\$ 2,421,802	\$ 651,202
CMAQ TDM	\$ 824,766	\$ 1,280,000	\$ 845,000	\$ (435,000)
Federal Earmark Redistribution(DEM06UBL 6088-050)				\$ -
	\$ 2,968,365	\$ 4,787,233	\$ 5,019,336	\$ 232,103
<b>STATE GRANTS</b>				
<i>California Department of Transportation:</i>				
STIP Planning & Programming	\$ 200,000	\$ -	\$ 342,000	\$ 342,000
FY 17/18 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 122,045	\$ 225,994	\$ -	\$ (225,994)
FY 18/19 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 48,174	\$ 305,638	\$ 185,000	\$ (120,638)
FY 19/20 Caltrans Sustainable Transportation Planning Grant (SB1)		\$ 353,812	\$ 125,000	\$ (228,812)
FY 19/20 Caltrans Adaptation Planning Grant (SB1)		\$ 200,000	\$ 100,000	\$ (100,000)
FY 20/21 Caltrans Sustainable Transportation Planning Grant (SB1)			\$ 341,671	
State Planning & Research (Fmrlly CT Rural Planning Assistance)	\$ 52,945	\$ 50,000	\$ 50,000	\$ -
Freeway Service Patrol (FSP13-6088-048)				\$ -
Freeway Service Patrol (FSP14-6088-053)	\$ 394,887	\$ -		\$ -
Caltrans-FSP (I-205) FY 18-19 Carryover FSP19-6088(064)	\$ 92,773	\$ 398,751	\$ 200,000	\$ (198,751)
Caltrans-FSP (I-205) FY 19-20 allocation FSP20-6088(069)		\$ 570,246	\$ 400,000	\$ (170,246)
Freeway Service Patrol (FSP18 SB1 6088-063) Carryover	\$ 109,841	\$ 403,022	\$ 200,000	\$ (203,022)
Caltrans-FSP18SB1 FY 18-19 allocation FSP19 SB1-6088(066)		\$ 429,381	\$ 429,381	\$ -
Caltrans-FSP19SB1 (6088-070) FY 19-20 allocation		\$ 490,646	\$ 490,646	\$ -
Caltrans TDM Partnership Planning Grant				\$ -
Affordable Housing & Sustainable Communities Carryover	\$ 23,064	\$ -		\$ -
Affordable Housing & Sustainable Communities FY 18/19 Carryover		\$ 11,140	\$ -	\$ (11,140)
Affordable Housing & Sustainable Communities FY 19/20 Enterprise		\$ 20,000	\$ 5,000	\$ (15,000)
Affordable Housing & Sustainable Communities FY 20/21 Enterprise			\$ 20,000	
State Transit Assistance	\$ 126,947	\$ 88,597	\$ 134,391	\$ 45,794
<b>SUBTOTAL</b>	<b>\$ 1,170,677</b>	<b>\$ 3,547,225</b>	<b>\$ 3,023,089</b>	<b>\$ (524,136)</b>
<b>LOCAL</b>				
TDA (LTF Planning + TDA Administration)	\$ 1,337,495	\$ 1,299,200	\$ 1,401,289	\$ 102,089
TDA (LTF ALUC Stockton Metro)				\$ -
Measure K Project Management	\$ 1,293,689	\$ 1,000,000	\$ 1,000,000	\$ -
Measure K Administration	\$ 299,511	\$ 662,000	\$ 680,000	\$ 18,000
Measure K dibs			\$ 695,000	
RTIF	\$ 35,647	\$ 257,120	\$ 341,491	\$ 84,371
Valley MPOs Air Quality Planning	\$ 112,734	\$ 181,100	\$ 181,100	\$ -
Fresno Prop 84				\$ -
TDM - Merced CAG CMAQ	\$ 63,794	\$ 85,000	\$ 43,000	\$ (42,000)
TDM - Merced CAG CMAQ		\$ 75,000	\$ 75,000	\$ -
TDM - StanCOG CMAQ	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
Tri-County Travel Demand (Merced, Stanislaus, San Joaquin)	\$ 2,710	\$ 13,189	\$ 13,189	\$ -
SACOG TDM (Trip Planning System, 511, dibs)	\$ 71,468	\$ 150,000	\$ 150,000	\$ -
StanCOG- Modeling				\$ -
Calaveras COG: RTPA Technical Support				\$ -
City of Manteca: SR 99 Project Management Support				\$ -
Cost of Issuance Reimbursement				\$ -
SACOG FSP Match	\$ 186,999	\$ 207,000	\$ 207,000	\$ -
COG Fees	\$ 2,683	\$ 10,000	\$ 10,000	\$ -
SJCOGI	\$ 631,710	\$ 605,000	\$ 605,000	\$ -
<b>SUBTOTAL</b>	<b>\$ 4,238,440</b>	<b>\$ 4,744,609</b>	<b>\$ 5,602,069</b>	<b>\$ 857,460</b>
<b>OTHER</b>				
Interest	\$ 19,006	\$ 5,000	\$ 20,000	\$ 15,000
Other (ALUC Fees+doc fees)	\$ 26,712	\$ 15,000	\$ 29,000	\$ 14,000
<b>SUBTOTAL</b>	<b>\$ 45,717</b>	<b>\$ 20,000</b>	<b>\$ 49,000</b>	<b>\$ 29,000</b>
<b>TOTAL</b>	<b>\$ 8,423,199.23</b>	<b>\$ 13,099,067.28</b>	<b>\$ 13,693,493.84</b>	<b>\$ 594,427</b>

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SERVICE AND SUPPLIES

Title	Line Item Description	FY 2018-19	FY 2019-20	FY 2020-21	+/-
		Actual	Amendment #2	Adopted 4/16/20	Change
Office Expense - General	General Supplies	\$ 51,594	\$ 75,000	\$ 55,000	\$ (20,000)
	Recognitions		\$ 2,000	\$ 2,000	\$ -
	Printing	\$ 8,719	\$ 12,270	\$ 10,000	\$ (2,270)
	Noncapital Equip/Furniture	\$ 8,673	\$ 20,000	\$ 20,000	\$ -
	Computer Software & License	\$ 145,266	\$ 125,000	\$ 140,000	\$ 15,000
<b>Office Expense - General Subtotal</b>		<b>\$ 214,252</b>	<b>\$ 234,270</b>	<b>\$ 227,000</b>	<b>\$ (7,270)</b>
<b>Office Expense - Postage Subtotal</b>		<b>\$ 7,567</b>	<b>\$ 18,000</b>	<b>\$ 7,500</b>	<b>\$ (10,500)</b>
<b>Office Expense - Subscriptions Subtotal</b>		<b>\$ 7,572</b>	<b>\$ 10,200</b>	<b>\$ 8,000</b>	<b>\$ (2,200)</b>
<b>Office Expense - Subtotal</b>		<b>\$ 229,391</b>	<b>\$ 262,470</b>	<b>\$ 242,500</b>	<b>\$ (19,970)</b>
<b>Communications-Subtotal</b>		<b>\$ 76,144</b>	<b>\$ 80,000</b>	<b>\$ 60,000</b>	<b>\$ (20,000)</b>
<b>Memberships - Subtotal</b>		<b>\$ 40,206</b>	<b>\$ 50,000</b>	<b>\$ 45,000</b>	<b>\$ (5,000)</b>
<b>Maintenance - Equipment - Subtotal</b>		<b>\$ 7,827</b>	<b>\$ 10,000</b>	<b>\$ 10,000</b>	<b>\$ -</b>
<b>Rents &amp; Leases - Equipment - Subtotal</b>		<b>\$ 105,285</b>	<b>\$ 225,000</b>	<b>\$ 150,000</b>	<b>\$ (75,000)</b>
<b>Publications &amp; Legal Notices - Subtotal</b>		<b>\$ 2,809</b>	<b>\$ 7,500</b>	<b>\$ 7,500</b>	<b>\$ -</b>
<b>Insurances - Subtotal</b>		<b>\$ 110,063</b>	<b>\$ 133,000</b>	<b>\$ 146,300</b>	<b>\$ 13,300</b>
<b>Building Maintenance - Subtotal</b>		<b>\$ 192,173</b>	<b>\$ 200,000</b>	<b>\$ 225,000</b>	<b>\$ 25,000</b>
<b>BuildingDebt Service - Principle &amp; Interest</b>		<b>\$ 183,144</b>	<b>\$ 200,000</b>	<b>\$ 200,000</b>	<b>\$ -</b>
<b>TOTAL SERVICES &amp; SUPPLIES</b>		<b>\$ 947,041</b>	<b>\$ 1,167,970</b>	<b>\$ 1,086,300</b>	<b>\$ (81,670)</b>

POSITION CLASSIFICATIONS & SALARY SCHEDULE  
ANNUAL

Amended March 26, 2020

<u>Position</u>	12 month Change in CPI	<u>Minimum</u>		<u>Maximum</u>	
		Previous	New	Previous	New
<u>Executive Director</u>		231,969.11	\$ 243,567.56		
<u>GROUP A</u>				85% of Exec. Dir. \$ 197,173.74	\$ 207,032.43
Deputy Executive Director/Chief Financial Officer Deputy Director Planning, Prog. & Project Delivery					
<u>GROUP B</u>	2.79061%	90,274.55	\$ 92,793.76	\$ 138,717.14	\$ 142,588.20
Habitat Conservation Program Manager* Manager of Administrative Services Project Manager					
<u>GROUP C</u>	2.79061%	76,024.06	\$ 78,145.59	124,770.81	\$ 128,252.67
Chief Accountant Payroll Specialist/HR Assistant Senior Regional Planner Senior Program Specialist Information Technology Manager					
<u>GROUP D</u>	2.79061%	67,272.24	\$ 69,149.54	96,943.89	\$ 99,649.21
Associate Regional Planner Associate Habitat Planner Associate Program Specialist Staff Accountant Public Information Officer					
<u>GROUP E</u>	2.79061%	59,401.53	\$ 61,059.19	81,472.58	\$ 83,746.16
Assistant Regional Planner Assistant Program Specialist Assistant Habitat Planner Administrative Analyst Information Technology Technician					
<u>GROUP F</u>	2.79061%	52,773.86	\$ 54,246.57	68,707.48	\$ 70,624.84
Office Administrator					
<u>GROUP G</u>	2.79061%	46,578.50	\$ 47,878.33	65,754.10	\$ 67,589.04
Administrative Technician Accounting Assistant II Planning Technician					
<u>GROUP H</u>	2.79061%	39,913.72	\$ 41,027.55	54,420.08	\$ 55,938.73
Accounting Assistant I Administrative Clerk II					
<u>GROUP I</u>	2.79061%	35,104.57	\$ 36,084.20	47,045.39	\$ 48,358.24
Administrative Clerk I					

\*The Board adopted the findings of the Salary & Classification study in May 2015 grandfathering the Program Manager and Habitat Program Manager at the previously adopted salary range, \$145,000.

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**Transportation Travel & Training**

	FY 2018-19	FY 2019-20	FY 2020-21 Adopted 4/16/20	+/-
	Actual	Amendment #2		Change
In and Out of State Travel		\$ 80,000	\$ 85,000	\$ 5,000
Training		\$ 31,500	\$ 33,000	\$ 1,500
Rideshare Incentive		\$ 3,000	\$ 3,000	\$ -
<b>Transportation &amp; Travel - Subtotal</b>	<b>\$ 144,311</b>	<b>\$ 114,500</b>	<b>\$ 121,000</b>	<b>\$ 6,500</b>

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**PROFESSIONAL SERVICES**

<b>Work Element No./Project Description</b>	<b>FY 2018-19 Actual</b>	<b>FY 2019-20 Amendment #2</b>	<b>FY 2020-21 Adopted 4/16/20</b>	<b>+/- Change</b>
601.01--Regional Transportation Plan	\$ 5,812	\$ 200,000	\$ 300,000	\$ 100,000
601.011--Regional Transportation Plan (AHSC)	\$ 2,918	\$ 31,066	\$ 32,000	\$ 934
601.012--SCS Implementation FY 17/18 SB1 grant	\$ 98,231	\$ 135,494	\$ 135,494	\$ -
601.013--SCS Implementation FY 18/19 SB1 grant	\$ 17,873	\$ 126,828	\$ 126,828	\$ -
601.014--SCS Implementation FY 19/20 SB1 grant		\$ 125,000	\$ 125,000	\$ -
601.015--19/20 Caltrans Adaptation Planning grant SB1		\$ 200,000	\$ 200,000	\$ -
601.02--Regional Planning Studies	\$ 21,898	\$ 30,000	\$ 30,000	\$ -
602.01--RTIP	\$ 150			\$ -
603.01--Road & Street Monitoring	\$ 380,746	\$ 900,000	\$ 900,000	\$ -
603.015--I-205 Managed Lanes Widening Project		\$ 2,000,000	\$ 2,000,000	\$ -
603.02--Transit Coordination	\$ 28,062			\$ -
603.03--Transportation Air Quality	\$ 94,516	\$ 200,000	\$ 200,000	\$ -
603.04--Goods Movement				\$ -
603.041 --Goods Movement Partnership Planning Grant				\$ -
701.01--Technical Assistance				\$ -
801.01--Intergovernmental Coordination	\$ 100,279	\$ 130,000	\$ 130,000	\$ -
801.012--Intergovernmental Coordination (St. Pln. & Reas)	\$ 52,945	\$ 90,886	\$ 50,000	\$ (40,886)
801.02--Projections & Forecasts	\$ 60,000	\$ 62,000	\$ 80,000	\$ 18,000
801.03--Airport Land Use Commission	\$ 323	\$ 5,000	\$ 10,000	\$ 5,000
801.04--Congestion Management	\$ 76,416	\$ 50,000	\$ 50,000	\$ -
801.05--Regional Planning		\$ 15,000	\$ 15,000	\$ -
801.06--Valley MPO Coordination	\$ 27,544	\$ 45,000	\$ 45,000	\$ -
801.07--Interregional Partnerships				\$ -
801.09--SJCOG Inc		\$ 39,076	\$ 85,000	\$ 45,924
901.01--Measure K	\$ 56,483	\$ 50,000	\$ 50,000	\$ -
901.02--RTIF	\$ 10,511	\$ 15,000	\$ 15,000	\$ -
901.03--Smart Growth				\$ -
1001.01--COG OWP		\$ 5,000	\$ 5,000	\$ -
1001.02--TDA Administration	\$ 242,818	\$ 250,000	\$ 250,000	\$ -
1001.03--Community Involvement	\$ 6,801	\$ 17,500	\$ 25,000	\$ 7,500
1001.04--FAST ACT Management				\$ -
1101.01--Transportation Demand Management	\$ 379,154	\$ 574,160	\$ 575,000	\$ 840
1101.03--Transportation Demand Management				\$ -
1201.01--Freeway Service Patrol	\$ 715,713	\$ 1,371,257	\$ 1,720,027	\$ 348,770
1201.015--Freeway Service Patrol (SB1)		\$ -	\$ -	\$ -
1201.03 - SJCOG Interns				\$ -
1301.01 - Performance Based Planning and Programming	\$ 8,588			\$ -
Indirect	\$ 102,796	\$ 486,000	\$ 494,463	\$ 8,463
<b>TOTAL</b>	<b>\$ 2,490,577</b>	<b>\$ 7,154,266</b>	<b>\$ 7,648,812</b>	<b>\$ 494,546</b>

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**FIXED ASSETS**

<b>Title</b>	<b>Description</b>	FY 2018-19 Actual	FY 2019-20 Amendment #2	FY 2020-21 Adopted 4/16/20	+/- Change
	Office Furniture/Equipment	\$ 8,673	\$ 25,000	\$ 10,000	\$ (15,000)
	Replacement Printers (2)	\$ -	\$ 5,500	\$ -	\$ (5,500)
	Server Upgrade	\$ -	\$ 30,000	\$ 20,500	\$ (9,500)
	ERP Accounting System		\$ -	\$ -	\$ -
	Customer Relation Management		\$ 25,000	\$ 25,000	\$ -
	Computer Upgrades	\$ 20,810	\$ 20,000	\$ 20,000	\$ -
	Capitalized Building Maintenance/Upgrades	\$ 116,731	\$ 90,000	\$ 120,000	\$ 30,000
<b>TOTAL</b>		<b>\$ 146,214</b>	<b>\$ 195,500</b>	<b>\$ 195,500</b>	<b>\$ -</b>

**San Joaquin Council of Governments**  
**STAFF ALLOCATION**  
**Adopted March 28, 2019**

<u>Position</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2020-21</u>
Executive Director	1	1	1
Deputy Executive Director/Chief Financial Officer	1	1	1
Deputy Director Planning, Programming & Project Delivery	1	1	1
Project Manager Habitat	1	1	1
Public Communications Officer	1	1	0
Senior Regional Planner	4	4	4
Senior Program Specialist	1	1	1
Chief Accountant	1	1	1
Assistant/Associate Regional Transportation Planner	6	6	8
Assistant/Associate Program Specialist	2	2	1
Assistant/Associate Habitat Planner	1	1	1
Administrative Analyst	1	1	1
Administrative Technician	1	1	1
Manager of Administrative Services	1	1	1
Information Technology Manager	1	1	1
Information Technology Support Technician	1	0	0
Office Administrator	1	1	1
Administrative Clerk I/II	2	2	2
Staff Accountant	1	1	1
Payroll Specialist-HR Assistant	0	1	1
Accounting Assistants I/II	2	1	2
Building Maintenance	0	0	0
<b>TOTAL</b>	<b>31</b>	<b>30</b>	<b>31</b>
Regular Positions:	33	33	33
Interns/Temporary/Part-Time Positions:	2	2	2