



**San Joaquin Council of Governments  
ANNUAL FINANCIAL PLAN  
Fiscal Year 2018/2019**

**Adopted March 22, 2018  
Amendment #1 December 6, 2018  
Amendment #2 April 25, 2019**

**CHAIR**

Mayor Robert Rickman, City of Tracy

**VICE-CHAIR**

Councilmember Doug Kuehne, City of Lodi

**BOARD OF DIRECTORS**

Councilmember Walt Murken  
Councilmember Leo Zuber  
Councilmember Steve Dresser  
Councilmember Jesus Andrade  
Supervisor Bob Elliott  
Supervisor Chuck Winn  
Supervisor Katherine Miller  
Vice Mayor Elbert Holman  
Councilmember Susan Loftus  
Mayor Stephen DeBrum

City of Escalon  
City of Ripon  
City of Lathrop  
City of Stockton  
County of San Joaquin  
County of San Joaquin  
County of San Joaquin  
City of Stockton  
City of Stockton  
City of Manteca

**EX OFFICIO DIRECTORS**

Ken Baxter  
Gary Giovanetti, Director  
Victor Mow, Commissioner

Caltrans District 10  
San Joaquin Regional Transit District  
Port of Stockton

**SUBMITTED BY:**

Andrew T. Chesley  
Executive Director

Steve Dial  
Deputy Executive Director/  
Chief Financial Officer

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REVENUES	FY 2018-19	2018-19	2018-19	+/-	+/-
	Adopted 3/22/18	Amendment #1	Amendment #2	Change	% Change
Federal Grants	\$ 4,019,540	\$ 4,529,361	\$ 3,589,161	\$ 509,821	-26.20%
State Grants	\$ 1,136,466	\$ 1,983,838	\$ 1,978,244	\$ 847,372	-0.28%
Local	\$ 4,157,348	\$ 4,213,331	\$ 4,213,331	\$ 55,983	0.00%
Interest	\$ 5,000	\$ 5,000	\$ 5,000	\$ -	0.00%
Other	\$ 15,000	\$ 15,000	\$ 15,000	\$ -	0.00%
<b>SJCOG OPERATING REVENUE</b>	<b>\$ 9,333,354</b>	<b>\$ 10,746,530</b>	<b>\$ 9,800,736</b>	<b>\$ 1,413,176</b>	<b>13.15%</b>
<b>EXPENDITURES</b>					
Salaries & Benefits	\$ 4,406,539	\$ 4,406,539	\$ 4,406,539	\$ -	0.00%
Services & Supplies	\$ 1,208,700	\$ 1,208,700	\$ 1,208,700	\$ -	0.00%
				\$ -	
Office Expense	\$ 256,200	\$ 256,200	\$ 256,200	\$ -	0.00%
Communications	\$ 66,000	\$ 66,000	\$ 66,000	\$ -	0.00%
Memberships	\$ 56,000	\$ 56,000	\$ 56,000	\$ -	0.00%
Maintenance - Equipment	\$ 13,000	\$ 13,000	\$ 13,000	\$ -	0.00%
Rents & Leases - Equipment	\$ 225,000	\$ 225,000	\$ 225,000	\$ -	0.00%
Transportation, Travel & Training (In & Out of State)	\$ 114,500	\$ 114,500	\$ 114,500	\$ -	0.00%
Publications & Legal Notices	\$ 10,000	\$ 10,000	\$ 10,000	\$ -	0.00%
Insurance	\$ 108,000	\$ 108,000	\$ 108,000	\$ -	0.00%
Building Operations & Maintenance	\$ 185,000	\$ 185,000	\$ 185,000	\$ -	0.00%
SJCOG Building Debt Service Principal and Interest	\$ 175,000	\$ 175,000	\$ 175,000	\$ -	0.00%
				\$ -	
Professional Services	\$ 3,522,615	\$ 4,935,791	\$ 3,989,997	\$ 1,413,176	-23.70%
				\$ -	
Capital Outlay	\$ 195,500	\$ 195,500	\$ 195,500	\$ -	0.00%
Unallocated/Reserve					
<b>SJCOG OPERATING EXPENDITURES</b>	<b>\$ 9,333,354</b>	<b>\$ 10,746,530</b>	<b>\$ 9,800,736</b>	<b>\$ 1,413,176</b>	<b>-9.65%</b>

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**REVENUE**

Revenue Source	FY 2018-19 Adopted 3/22/18	2018-19 Amendment #1	2018-19 Amendment #2	+/- Change
<b>FEDERAL GRANTS</b>				
<i>U.S. Department of Transportation:</i>				
Federal Highway Administration (PL)	\$ 1,268,522.02	\$ 1,268,522	\$ 1,306,208	\$ 37,685.98
Federal Transit Administration MPO Planning (FTA 5303)	\$ 301,018.16	\$ 301,018	\$ 323,132	\$ 22,113.84
Federal Transit Administration MPO Planning (FTA 5303 C/O)		\$ 100,000	\$ 100,000	\$ -
RSTP SR99 and SR 120 Ramps: STPL 6088(057)	\$ 1,500,000.00	\$ 1,500,000	\$ 500,000	\$ (1,000,000.00)
CMAQ TDM	\$ 950,000.00	\$ 1,359,821	\$ 1,359,821	\$ -
				\$ -
	\$ 4,019,540.18	\$ 4,529,361	\$ 3,589,161	\$ (940,200.18)
<b>STATE GRANTS</b>				
STIP Planning & Programming	\$ 200,000.00	\$ 200,000	\$ 200,000	\$ -
FY 17/18 Caltrans Sustainable Transportation Planning Grant (SB1)		\$ 348,039	\$ 348,039	\$ -
FY 18/19 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 341,671.00	\$ 341,671	\$ 353,812	\$ 12,141.00
Caltrans Rural Planning Assistance (RPA)		\$ 53,395	\$ 53,395	\$ -
Freeway Service Patrol (FSP14-6088-053)	\$ 506,198.00	\$ 454,522	\$ 454,522	\$ -
Freeway Service Patrol (FSP18 SB1 6088-063)		\$ 437,741	\$ 437,741	\$ -
Affordable Housing & Sustainable Communities Carryover		\$ 19,873	\$ 11,140	\$ (8,733.40)
Affordable Housing & Sustainable Communities FY 18/19 Enterprise		\$ 40,000	\$ 30,998	\$ (9,001.60)
State Transit Assistance	\$ 88,597.00	\$ 88,597	\$ 88,597	\$ -
<b>SUBTOTAL</b>	<b>\$ 1,136,466.00</b>	<b>\$ 1,983,838</b>	<b>\$ 1,978,244</b>	<b>\$ (5,594.00)</b>
<b>LOCAL</b>				
TDA (LTF Planning + TDA Administration)	\$ 1,214,048.00	\$ 1,214,048	\$ 1,214,048	\$ -
Measure K Project Management	\$ 1,000,000.00	\$ 1,000,000	\$ 1,000,000	\$ -
Measure K Administration	\$ 593,200.00	\$ 593,200	\$ 593,200	\$ -
RTIF	\$ 75,000.00	\$ 75,000	\$ 75,000	\$ -
Valley MPOs Air Quality Planning	\$ 181,100.00	\$ 181,100	\$ 181,100	\$ -
TDM - Merced CAG CMAQ	\$ 83,000.00	\$ 63,794	\$ 63,794	\$ -
TDM - StanCOG CMAQ	\$ 195,000.00	\$ 200,000	\$ 200,000	\$ -
Tri-County Travel Demand (Merced, Stanislaus, San Joaquin)		\$ 13,189	\$ 13,189	\$ -
SACOG TDM (Trip Planning System)	\$ 95,000.00	\$ 95,000	\$ 95,000	\$ -
SAFE	\$ 150,000.00	\$ 207,000	\$ 207,000	\$ -
COG Fees	\$ 10,000.00	\$ 10,000	\$ 10,000	\$ -
SJCOGI	\$ 561,000.00	\$ 561,000	\$ 561,000	\$ -
<b>SUBTOTAL</b>	<b>\$ 4,157,348.00</b>	<b>\$ 4,213,331</b>	<b>\$ 4,213,331</b>	<b>\$ -</b>
<b>OTHER</b>				
Interest	\$ 5,000.00	\$ 5,000	\$ 5,000	\$ -
Other (ALUC Fees+doc fees)	\$ 15,000.00	\$ 15,000	\$ 15,000	\$ -
<b>SUBTOTAL</b>	<b>\$ 20,000.00</b>	<b>\$ 20,000</b>	<b>\$ 20,000</b>	<b>\$ -</b>
<b>TOTAL</b>	<b>\$ 9,333,354.18</b>	<b>\$ 10,746,529.95</b>	<b>\$ 9,800,735.77</b>	<b>\$ (945,794.18)</b>

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SERVICE AND SUPPLIES

Title	Line Item Description	FY 2018-19	2018-19	2018-19	+/-
		Adopted 3/22/18	Amendment #1	Amendment #2	Change
Office Expense - General	General Supplies	\$ 74,000	\$ 74,000	\$ 74,000	\$ -
	Recognitions	\$ 2,000	\$ 2,000	\$ 2,000	\$ -
	Printing	\$ 12,270	\$ 12,270	\$ 12,270	\$ -
	Noncapital Equip/Furniture	\$ 20,000	\$ 20,000	\$ 20,000	\$ -
	Computer Software & License	\$ 119,730	\$ 119,730	\$ 119,730	\$ -
<b>Office Expense - General Subtotal</b>		<b>\$ 228,000</b>	<b>\$ 228,000</b>	<b>\$ 228,000</b>	<b>\$ -</b>
<b>Office Expense - Postage Subtotal</b>		<b>\$ 18,000</b>	<b>\$ 18,000</b>	<b>\$ 18,000</b>	<b>\$ -</b>
<b>Office Expense - Subscriptions Subtotal</b>		<b>\$ 10,200</b>	<b>\$ 10,200</b>	<b>\$ 10,200</b>	<b>\$ -</b>
<b>Office Expense - Subtotal</b>		<b>\$ 256,200</b>	<b>\$ 256,200</b>	<b>\$ 256,200</b>	<b>\$ -</b>
<b>Communications-Subtotal</b>		<b>\$ 66,000</b>	<b>\$ 66,000</b>	<b>\$ 66,000</b>	<b>\$ -</b>
<b>Memberships - Subtotal</b>		<b>\$ 56,000</b>	<b>\$ 56,000</b>	<b>\$ 56,000</b>	<b>\$ -</b>
<b>Maintenance - Equipment - Subtotal</b>		<b>\$ 13,000</b>	<b>\$ 13,000</b>	<b>\$ 13,000</b>	<b>\$ -</b>
<b>Rents &amp; Leases - Equipment - Subtotal</b>		<b>\$ 225,000</b>	<b>\$ 225,000</b>	<b>\$ 225,000</b>	<b>\$ -</b>
<b>Publications &amp; Legal Notices - Subtotal</b>		<b>\$ 10,000</b>	<b>\$ 10,000</b>	<b>\$ 10,000</b>	<b>\$ -</b>
<b>Insurances - Subtotal</b>		<b>\$ 108,000</b>	<b>\$ 108,000</b>	<b>\$ 108,000</b>	<b>\$ -</b>
<b>Building Maintenance - Subtotal</b>		<b>\$ 185,000</b>	<b>\$ 185,000</b>	<b>\$ 185,000</b>	<b>\$ -</b>
<b>Building Debt Service - Principle &amp; Interest</b>		<b>\$ 175,000</b>	<b>\$ 175,000</b>	<b>\$ 175,000</b>	<b>\$ -</b>
<b>TOTAL SERVICES &amp; SUPPLIES</b>		<b>\$ 1,094,200</b>	<b>\$ 1,094,200</b>	<b>\$ 1,094,200</b>	<b>\$ -</b>

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**Tranportation Travel & Training**

	FY 2018-19	2018-19	2018-19
	<b>Adopted 3/22/18</b>	<b>Amendment #1</b>	<b>Amendment #2</b>
In and Out of State Travel	\$ 80,000	\$ 80,000	\$ 80,000
Training	\$ 31,500	\$ 31,500	\$ 31,500
Rideshare Incentive	\$ 3,000	\$ 3,000	\$ 3,000
<b>Transportation &amp; Travel - Subtotal</b>	<b>\$ 114,500</b>	<b>\$ 114,500</b>	<b>\$ 114,500</b>

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**PROFESSIONAL SERVICES**

<i><b>Work Element No./Project Description</b></i>	FY 2018-19		2018-19	
	<b>Adopted 3/22/18</b>		<b>Amendment #1</b>	<b>Amendment #2</b>
601.01--Regional Transportation Plan	\$ 264,592	\$	400,000	\$ 300,000
601.011--Regional Transportation Plan (AHSC)	\$ -	\$	59,873	\$ 59,873
601.012--SCS Implementation	\$ 188,461	\$	884,539	\$ 701,851
601.02--Regional Planning Studies	\$ 30,000	\$	30,000	\$ 30,000
602.01--RTIP	\$ -	\$	-	\$ -
603.01--Road & Street Monitoring	\$ 1,266,944	\$	921,993	\$ 300,000
603.02--Transit Coordination	\$ 50,000	\$	50,000	\$ 50,000
603.03--Transportation Air Quality	\$ 200,000	\$	200,000	\$ 263,000
603.04--Goods Movement	\$ -	\$	-	\$ -
603.041 --Goods Movement Partnership Planning Grant	\$ -	\$	-	\$ -
701.01--Technical Assistance	\$ -	\$	-	\$ -
801.01--Intergovernmental Coordination	\$ 50,000	\$	50,000	\$ 50,000
801.012--Intergovernmental Coordination (RPA)	\$ 80,000	\$	80,000	\$ 80,000
801.02--Projections & Forecasts	\$ 50,000	\$	50,000	\$ 50,000
801.03--Airport Land Use Commission	\$ 50,000	\$	50,000	\$ 50,000
801.04--Congestion Management	\$ 85,000	\$	85,000	\$ 85,000
801.05--Regional Planning	\$ 15,000	\$	15,000	\$ 15,000
801.06--Valley MPO Coordination	\$ 20,000	\$	20,000	\$ 20,000
801.07--Interregional Partnerships	\$ -	\$	-	\$ -
801.09--SJCOG Inc				
901.01--Measure K	\$ 100,000	\$	100,000	\$ 100,000
901.02--RTIF	\$ 46,000	\$	46,000	\$ 46,000
901.03--Smart Growth	\$ -	\$	-	\$ -
1001.01--COG OWP	\$ 5,000	\$	5,000	\$ 5,000
1001.02--TDA Administration	\$ 250,000	\$	250,000	\$ 250,000
1001.03--Community Involvement	\$ 17,500	\$	17,500	\$ 17,500
1001.04--FAST ACT Management	\$ -	\$	-	\$ -
1101.01--Transportation Demand Management	\$ 230,794	\$	250,000	\$ 250,000
1101.03--Transportation Demand Management	\$ -	\$	-	\$ -
1201.01--Freeway Service Patrol	\$ 298,324	\$	708,145	\$ 604,032
1201.015--Freeway Service Patrol (SB1)		\$	437,741	\$ 437,741
Indirect	\$ 225,000	\$	225,000	\$ 225,000
<b>TOTAL</b>	<b>\$ 3,522,615</b>	<b>\$</b>	<b>4,935,791</b>	<b>\$ 3,989,997</b>

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**FIXED ASSETS**

<i><b>Title</b></i>	<i><b>Description</b></i>	FY 2018-19	2018-19	2018-19
		Adopted 3/22/18	Amendment #1	Amendment #2
	Office Furniture/Equipment	\$ 25,000	\$ 25,000	\$ 25,000
	Replacement Printers (2)	\$ 5,500	\$ 5,500	\$ 5,500
	Server Upgrade	\$ 30,000	\$ 30,000	\$ 30,000
	ERP Accounting System	\$ -	\$ -	\$ -
	Customer Relation Management	\$ 25,000	\$ 25,000	\$ 25,000
	Computer Upgrades	\$ 20,000	\$ 20,000	\$ 20,000
	Capitalized Building Maintenance	\$ 90,000	\$ 90,000	\$ 90,000
<b>TOTAL</b>		<b>\$ 195,500</b>	<b>\$ 195,500</b>	<b>\$ 195,500</b>