



**San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2017/2018**

Final Adopted March 23, 2017

CHAIR

Mayor Stephen DeBrum, City of Manteca

VICE-CHAIR

Supervisor Katherine Miller, County of San Joaquin

BOARD OF DIRECTORS

Mayor Jeff Laugero
Mayor Doug Kuehne
Councilmember Leo Zuber
Vice Mayor Steve Dresser
Mayor Michael Tubbs
Supervisor Bob Elliott
Supervisor Chuck Winn
Vice Mayor Elbert Holman
Councilmember Susan Loftus
Mayor Robert Rickman

City of Escalon
City of Lodi
City of Ripon
City of Lathrop
City of Stockton
County of San Joaquin
County of San Joaquin
City of Stockton
City of Stockton
City of Tracy

EX OFFICIO DIRECTORS

Ken Baxter
Gary Giovanetti, Director
Victor Mow, Commissioner

Caltrans District 10
San Joaquin Regional Transit District
Port of Stockton

SUBMITTED BY:

Andrew T. Chesley
Executive Director

Steve Dial
Deputy Executive Director/
Chief Financial Officer

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REVENUES	FY 2015-16 Actual	FY 2016-17 Amendment #1	FY 2017-18 Adopted	+/- Change	+/- % Change
Federal Grants	\$ 3,094,084	\$ 3,555,606	\$ 3,709,166	\$ 153,560	4.14%
State Grants	\$ 2,537,251	\$ 1,498,186	\$ 558,574	\$ (939,612)	-168.22%
Local	\$ 2,522,701	\$ 4,205,191	\$ 4,051,915	\$ (153,276)	-3.78%
Interest	\$ 4,825	\$ 5,000	\$ 5,000	\$ -	0.00%
Other	\$ 15,343	\$ 15,000	\$ 15,000	\$ -	0.00%
SJCOG OPERATING REVENUE	\$ 8,174,204	\$ 9,278,982	\$ 8,339,655	\$ (939,327)	-11.3%
EXPENDITURES					
Salaries & Benefits	\$ 3,436,556	\$ 4,183,048	\$ 4,135,664	\$ (47,384)	-1.1%
Services & Supplies	\$ 977,084	\$ 1,144,259	\$ 1,177,200	\$ 32,941	2.8%
				\$ -	
Office Expense	\$ 192,331	\$ 247,350	\$ 256,200	\$ 8,850	3.5%
Communications	\$ 54,664	\$ 56,000	\$ 56,000	\$ -	0.0%
Memberships	\$ 48,304	\$ 46,000	\$ 56,000	\$ 10,000	17.9%
Maintenance - Equipment	\$ 6,198	\$ 13,000	\$ 13,000	\$ -	0.0%
Rents & Leases - Equipment	\$ 149,377	\$ 300,000	\$ 300,000	\$ -	0.0%
Transportation, Travel & Training (In & Out of State)	\$ 112,276	\$ 110,000	\$ 110,000	\$ -	0.0%
Publications & Legal Notices	\$ 2,087	\$ 3,000	\$ 3,000	\$ -	0.0%
Insurance	\$ 99,018	\$ 103,000	\$ 108,000	\$ 5,000	4.6%
Building Operations & Maintenance	\$ 176,297	\$ 165,909	\$ 175,000	\$ 9,091	5.2%
SJCOG Building Debt Service	\$ 136,532	\$ 100,000	\$ 100,000	\$ -	0.0%
				\$ -	
Professional Services	\$ 2,789,640	\$ 3,631,175	\$ 2,706,291	\$ (924,885)	-34.2%
				\$ -	
Capital Outlay	\$ 397,913	\$ 320,500	\$ 320,500	\$ -	0.0%
Unallocated/Reserve					
SJCOG OPERATING EXPENDITURES	\$ 7,601,193	\$ 9,278,982	\$ 8,339,655	\$ (939,327)	-11.3%
Excess (Deficit) Revenues Over Expenditures (Operating)	\$ 573,011.00	\$ 0.00	\$ -	\$ (0.00)	

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REVENUE

Revenue Source	FY 2015-16 Actual	FY 2016-17 Amendment #1	FY 2017-18 Adopted	+/- Change
FEDERAL GRANTS				
<i>U.S. Department of Transportation:</i>				
Federal Highway Administration (PL)	\$ 1,505,580	\$ 1,243,678	\$ 1,206,368	\$ (37,310)
Federal Highway Administration (PL C/O)	\$ -	\$ -	\$ -	\$ -
Federal Transit Administration MPO Planning (FTA 5303)	\$ 343,739	\$ 304,328	\$ 295,198	\$ (9,130)
Federal Transit Administration MPO Planning (FTA 5303 C/O)	\$ -	\$ -	\$ -	\$ -
Regional Surface Transportation Program (RSTP) CMP Update RSTP SR99 and SR 120 Ramps: STPL 6088(057)	\$ 258,053	\$ 800,000	\$ 1,000,000	\$ 200,000
CMAQ TDM	\$ 845,938	\$ 1,207,600	\$ 1,207,600	\$ -
FTA 5304 Transit Internship Grant (SJRTD) FY 11/12	\$ -	\$ -	\$ -	\$ -
FHWA Goods Movement	\$ 140,774	\$ -	\$ -	\$ -
FTA 5304 Transit Internship Grant (SJRTD) FY 12/13	\$ -	\$ -	\$ -	\$ -
SUBTOTAL	\$ 3,094,084	\$ 3,555,606	\$ 3,709,166	\$ 153,560
STATE GRANTS				
<i>California Department of Transportation:</i>				
SB-45 STIP Planning & Programming 15/16	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
Freeway Service Patrol 11/12	\$ -	\$ -	\$ -	\$ -
Freeway Service Patrol 12/13	\$ -	\$ -	\$ -	\$ -
Freeway Service Patrol 13/14	\$ -	\$ 474,171	\$ 200,000	\$ (274,171)
Freeway Service Patrol 14/15	\$ -	\$ 506,198	\$ 100,000	\$ (406,198)
Construction Freeway Service Patrol	\$ 299,039	\$ 75,000	\$ -	\$ (75,000)
Caltrans TDM Partnership Planning Grant	\$ -	\$ -	\$ -	\$ -
Caltrans SJ Valley Goods Movement Partnership Planning Grant	\$ 295,490	\$ 154,717	\$ -	\$ (154,717)
Affordable Housing & Sustainable Communities	\$ 69,967	\$ 29,526	\$ -	\$ (29,526)
SJVAPCD Electric Vehicle Grant	\$ -	\$ -	\$ -	\$ -
State Transit Assistance	\$ 69,162	\$ 58,574	\$ 58,574	\$ -
SUBTOTAL	\$ 933,658	\$ 1,498,186	\$ 558,574	\$ (939,612)
LOCAL				
TDA (LTF Planning + TDA Administration)	\$ 835,885	\$ 1,095,669	\$ 1,128,229	\$ 32,560
TDA (LTF ALUC Deferred Special Assessment)	\$ 123,695	\$ -	\$ -	\$ -
Measure K Project Management	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ -
Measure K Administration	\$ 295,884	\$ 542,000	\$ 568,586	\$ 26,586
RTIF	\$ 55,566	\$ 85,000	\$ 75,000	\$ (10,000)
Valley MPOs Air Quality Planning	\$ 192,710	\$ 172,000	\$ 181,100	\$ 9,100
Fresno Prop 84	\$ -	\$ -	\$ -	\$ -
TDM - Merced CAG CMAQ	\$ -	\$ -	\$ -	\$ -
TDM - Merced CAG CMAQ (FY 13/14)	\$ 8,327	\$ 75,000	\$ 75,000	\$ -
TDM - StanCOG CMAQ	\$ 195,000	\$ 195,000	\$ 195,000	\$ -
Tri-County Forecasting (Merced, Stanislaus, Fresno)	\$ 15,350	\$ -	\$ -	\$ -
SACOG TDM (Trip Planning System)	\$ 117,970	\$ 80,000	\$ 80,000	\$ -
StanCOG-SB 375 Modeling	\$ -	\$ -	\$ -	\$ -
Calaveras COG: RTPA Technical Support	\$ 21,171	\$ 69,022	\$ 50,000	\$ (19,022)
City of Manteca: SR 99 Project Management Support	\$ 4,908	\$ 50,000	\$ -	\$ (50,000)
Cost of Issuance Reimbursement	\$ -	\$ 142,500	\$ -	\$ (142,500)
SAFE	\$ 100,556	\$ 128,000	\$ 128,000	\$ -
COG Fees	\$ 2,493	\$ 10,000	\$ 10,000	\$ -
SJCOGI (formerly Habitat Funds)	\$ 583,768	\$ 561,000	\$ 561,000	\$ -
SUBTOTAL	\$ 3,553,283	\$ 4,205,191	\$ 4,051,915	\$ (153,276)
OTHER				
Sales Tax Line of Credit and 2011 Bond Issuance	\$ -	\$ -	\$ -	\$ -
Interest	\$ -	\$ 5,000	\$ 5,000	\$ -
Other (ALUC Fees+doc fees)	\$ 20,168	\$ 15,000	\$ 15,000	\$ -
SUBTOTAL	\$ 20,168	\$ 20,000	\$ 20,000	\$ -
TOTAL	\$ 7,601,193	\$ 9,278,982	\$ 8,339,655	\$ (939,327)

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SERVICE AND SUPPLIES

Title	Line Item Description	FY 2016-17 Adopted 3/24/16	FY 2016-17 Amendment #1	FY 2017-18 Adopted
Office Expense - General	General Supplies	\$ 74,000	\$ 74,000	\$ 74,000
	Recognitions	\$ 2,000	\$ 2,000	\$ 2,000
	Printing	\$ 15,000	\$ 15,000	\$ 12,270
	Noncapital Equip/Furniture	\$ 10,000	\$ 20,000	\$ 20,000
	Computer Software & License	\$ 75,000	\$ 117,000	\$ 119,730
Office Expense - General Subtotal		\$ 176,000	\$ 228,000	\$ 228,000
Office Expense - Postage Subtotal		\$ 18,000	\$ 18,000	\$ 18,000
Office Expense - Subscriptions Subtotal		\$ 1,350	\$ 1,350	\$ 10,200
Office Expense - Subtotal		\$ 195,350	\$ 247,350	\$ 256,200
Communications-Subtotal		\$ 52,800	\$ 56,000	\$ 56,000
Memberships - Subtotal		\$ 46,000	\$ 46,000	\$ 56,000
Maintenance - Equipment - Subtotal		\$ 13,000	\$ 13,000	\$ 13,000
Rents & Leases - Equipment - Subtotal		\$ 220,000	\$ 300,000	\$ 300,000
Publications & Legal Notices - Subtotal		\$ 3,000	\$ 3,000	\$ 3,000
Insurances - Subtotal		\$ 103,000	\$ 103,000	\$ 108,000
Building Maintenance - Subtotal		\$ 165,909	\$ 165,909	\$ 175,000
Interest Building Debt Service - Subtotal		\$ 100,000	\$ 100,000	\$ 100,000
TOTAL SERVICES & SUPPLIES		\$ 899,059	\$ 1,034,259	\$ 1,067,200

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Transportation Travel & Training

	FY 2016-17 Adopted 3/24/16	FY 2016-17 Amendment #1	FY 2017-18 Adopted
In and Out of State Travel	\$ 76,700	\$ 76,700	\$ 76,700
Training	\$ 31,500	\$ 31,500	\$ 31,500
Rideshare Incentive	\$ 1,800	\$ 1,800	\$ 1,800
Transportation & Travel - Subtotal	\$ 110,000	\$ 110,000	\$ 110,000

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PROFESSIONAL SERVICES

<i>Work Element No./Project Description</i>	FY 2016-17 Adopted 3/24/16	FY 2016-17 Amendment #1	FY 2017-18 Adopted
601.01--Regional Transportation Plan	\$ 75,000	\$ 75,000	\$ 75,000
601.011--Regional Transportation Plan (AHSC)	\$ 75,000	\$ 75,000	\$ -
601.02--Regional Planning Studies	\$ 50,000	\$ 50,000	\$ 30,000
602.01--RTIP	\$ -	\$ -	\$ -
603.01--Road & Street Monitoring	\$ 25,000	\$ 25,000	\$ 750,000
603.02--Transit Coordination	\$ 100,000	\$ 100,000	\$ 150,000
603.03--Transportation Air Quality	\$ 200,000	\$ 200,000	\$ 235,000
603.04--Goods Movement	\$ -	\$ -	\$ -
603.041 --Goods Movement Partnership Planning Grant	\$ 100,000	\$ 129,243	\$ -
701.01--Technical Assistance	\$ 50,000	\$ 99,022	\$ -
801.01--Intergovernmental Coordination	\$ 94,000	\$ 94,000	\$ 50,000
801.02--Projections & Forecasts	\$ 72,000	\$ 72,000	\$ 50,000
801.03--Airport Land Use Commission	\$ 50,000	\$ 50,000	\$ 70,000
801.04--Congestion Management	\$ 85,000	\$ 85,000	\$ 85,000
801.05--Regional Planning	\$ -	\$ -	\$ 15,000
801.06--Valley MPO Coordination	\$ 35,000	\$ 35,000	\$ 20,000
801.07--Interregional Partnerships	\$ -	\$ -	\$ -
801.09--SJCOG Inc	\$ -	\$ -	\$ -
901.01--Measure K	\$ 65,000	\$ 207,500	\$ 100,000
901.02--RTIF	\$ 34,511	\$ 69,511	\$ 46,000
901.03--Smart Growth	\$ -	\$ -	\$ -
1001.01--COG OWP	\$ 5,000	\$ 5,000	\$ 5,000
1001.02--TDA Administration	\$ 250,000	\$ 250,000	\$ 232,791
1001.03--Community Involvement	\$ 17,500	\$ 17,500	\$ 17,500
1001.04--FAST ACT Management	\$ 10,000	\$ 10,000	\$ -
1101.01--Transportation Demand Management	\$ 35,000	\$ 257,400	\$ 250,000
1101.03--Transportation Demand Management	\$ -	\$ -	\$ -
1201.01--Freeway Service Patrol	\$ 1,200,000	\$ 1,275,000	\$ 300,000
Indirect	\$ 450,000	\$ 450,000	\$ 225,000
TOTAL	\$ 3,078,011	\$ 3,631,175	\$ 2,706,291

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FIXED ASSETS

Title	Description	FY 2016-17 Adopted 3/24/16	FY 2016-17 Amendment #1	FY 2017-18 Adopted
	Office Furniture/Equipment	\$ 25,000	\$ 25,000	\$ 25,000
	Replacement Printers (2)	\$ 5,500	\$ 5,500	\$ 5,500
	Server Upgrade	\$ 30,000	\$ 30,000	\$ 30,000
	Air District Car	\$ -	\$ -	\$ -
	ERP Accounting System	\$ 150,000	\$ 150,000	\$ 150,000
	Computer Upgrades	\$ 20,000	\$ 20,000	\$ 20,000
	Capitalized Building Maintenance	\$ 90,000	\$ 90,000	\$ 90,000
TOTAL		\$ 320,500	\$ 320,500	\$ 320,500