



San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2012/13

Proposed March 22, 2012

CHAIR

Councilmember Chuck Winn, City of Ripon

VICE-CHAIR

Supervisor Ken Vogel, County of San Joaquin

BOARD OF DIRECTORS

Councilmember Jeff Laugero
Vice Mayor Christopher Mateo
Councilmember Larry Hansen
Councilmember Steve DeBrum
Supervisor Carlos Villapudua
Supervisor Steve Bestolarides
Mayor Ann Johnston
Vice Mayor Kathy Miller
Councilmember Dale Fritchen
Mayor Brent Ives

City of Escalon
City of Lathrop
City of Lodi
City of Manteca
County of San Joaquin
County of San Joaquin
City of Stockton
City of Stockton
City of Stockton
City of Tracy

EX OFFICIO DIRECTORS

Carrie Bowen, District Director
Gary Giovanetti, Director

Victor Mow, Commissioner

Caltrans District 10
San Joaquin Regional
Transit District
Port of Stockton

SUBMITTED BY:

Andrew T. Chesley
Executive Director

Steve Dial
Deputy Executive Director/
Chief Financial Officer

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REVENUES	FY 2010-11 Actual	FY 2011-12 Amend. #1 12/15/11	FY 2012-13 Proposed 3/22/12	+/- Change
Federal Grants	\$ 2,230,731	\$ 2,743,270	\$ 3,410,743	\$ 667,473
State Grants	\$ 924,899	\$ 1,540,235	\$ 877,890	\$ (662,345)
Local	\$ 2,849,544	\$ 3,126,182	\$ 3,329,280	\$ 203,098
Interest	\$ 5,400	\$ 5,000	\$ 5,000	\$ -
Other	\$ 1,843	\$ 15,000	\$ 15,000	\$ -
SJCOG OPERATING REVENUE	\$ 6,012,417	\$ 7,429,687	\$ 7,637,913	\$ 208,226
Federal Pass-Through	\$ 189,646,000	\$ 119,553,000	\$ 120,000,000	\$ 447,000
State Pass-Through	\$ 4,587,275	\$ 1,500,000	\$ 4,661,369	\$ 3,161,369
Local Pass-Through	\$ 54,900,000	\$ 56,272,500	\$ 59,142,981	\$ 2,870,481
Commercial Paper	\$ 167,000,000	\$ 75,000,000	\$ 75,000,000	\$ -
TOTAL SJCOG REVENUE	\$ 422,145,692	\$ 259,755,187	\$ 266,442,263	\$ 6,687,076
EXPENDITURES				
Salaries & Benefits	\$ 3,478,495	\$ 3,636,010	\$ 3,631,164	\$ (4,846)
Services & Supplies	\$ 803,931	\$ 1,108,500	\$ 1,169,367	\$ 60,867
Office Expense	\$ 177,609	\$ 256,000	\$ 255,800	\$ (200)
Communications	\$ 65,845	\$ 60,000	\$ 60,000	\$ -
Memberships	\$ 30,254	\$ 42,000	\$ 42,000	\$ -
Maintenance - Equipment	\$ 2,323	\$ 13,000	\$ 13,000	\$ -
Rents & Leases - Equipment	\$ 128,805	\$ 210,000	\$ 210,000	\$ -
Transportation, Travel & Training (In & Out of State)	\$ 91,482	\$ 110,000	\$ 110,000	\$ -
Allocated Service Cost	\$ 1,476	\$ 4,000	\$ 4,000	\$ -
Publications & Legal Notices	\$ 7,291	\$ 27,000	\$ 27,000	\$ -
Insurance	\$ 83,460	\$ 85,000	\$ 85,000	\$ -
Building Maintenance	\$ 129,481	\$ 60,000	\$ 107,700	\$ 47,700
Building Debt Service	\$ 85,905	\$ 241,500	\$ 254,867	\$ 13,367
Professional Services	\$ 1,434,047	\$ 2,544,677	\$ 2,696,882	\$ 152,205
Fixed Assets	\$ 110,957	\$ 140,500	\$ 140,500	\$ -
Unallocated/Reserve	\$ -	\$ -	\$ -	\$ 0
SJCOG OPERATING EXPENDITURES	\$ 5,827,430	\$ 7,429,687	\$ 7,637,913	\$ 208,226
Excess (Deficit) Revenues	\$ 184,987	\$ 0	\$ 0	\$ 0
Over Expenditures (Operating)				

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REVENUE

Revenue Source	FY 2010-11 Actual	FY 2011-12 Amend. #1 12/15/11	FY 2012-13 Proposed 3/22/12
FEDERAL GRANTS			
<i>U.S. Department of Transportation:</i>			
Federal Transit Administration MPO Planning	\$ 267,227	\$ 232,241	\$ 261,731
Federal Transit Administration MPO Planning Toll Credits		\$ 30,089	\$ -
Federal Highway Administration (PL)	\$ 875,829	\$ 992,871	\$ 1,217,737
Federal Highway Administration (PL) Toll Credits		\$ 128,637	\$ -
Federal Highway Administration (PL C/O)		\$ 100,000	\$ -
Regional Surface Transportation Program (RSTP) c/o	\$ 12,031	\$ -	\$ -
Regional Surface Transportation Program (RSTP) CMP Update	\$ 10,479	\$ 215,433	\$ 107,000
CMAQ 6088-016	\$ 759,343	\$ -	\$ -
CMAQ 6088-038	\$ 151,681	\$ 157,826	\$ -
CMAQ 6088-041	\$ 7,783	\$ 660,251	\$ 660,251
CMAQ 12/13	\$ -	\$ -	\$ 934,024
TDM - Merced CAG CMAQ	\$ 2,578	\$ 47,422	\$ 50,000
TDM - StanCOG CMAQ	\$ 143,779	\$ 178,500	\$ 180,000
Federal Pass-Through Administered By COG	\$ 189,646,000	\$ 119,553,000	\$ 120,000,000
SUBTOTAL	\$ 191,876,731	\$ 122,296,270	\$ 123,410,743
STATE GRANTS			
<i>California Department of Transportation:</i>			
SB-45 STIP Planning & Programming 09/10 c/o	\$ 13,941	\$ -	\$ -
SB-45 STIP Planning & Programming 10/11	\$ 205,000	\$ -	\$ -
SB-45 STIP Planning & Programming 11/12		\$ 205,000	\$ 102,500
SB-45 STIP Planning & Programming 12/13		\$ -	\$ 205,000
SJ Valley Blueprint 09/10 4th year funding	\$ 20,884	\$ -	\$ -
Freeway Service Patrol 10/11	\$ 217,845	\$ 212,884	\$ -
Freeway Service Patrol 11/12		\$ 427,068	\$ 300,000
Escalon Match	\$ 17,527	\$ -	\$ -
Caltrans 5304 Internship Grant	\$ 13,067	\$ 16,704	\$ -
Caltrans 5304 Transit Internship Grant (SJRTD) FY 10/11	\$ 3,634	\$ 17,887	\$ 7,870
Caltrans 5304 Transit Internship Grant (SJRTD) FY 11/12		\$ 21,520	\$ 21,520
Caltrans 5304 Regional MM Trip Planning Grant		\$ 120,000	\$ 60,000
Caltrans - Interregional Partnership c/o	\$ 203,998	\$ 4,909	\$ -
Caltrans SP&R - Smart Growth Plan	\$ 90,845	\$ 209,155	\$ -
Caltrans SP&R -Freight Movement Study	\$ 2,595	\$ 52,405	\$ 25,000
FTA 5316 JARC 648679	\$ 375	\$ 15,000	\$ -
FTA 5316 JARC 648628	\$ 63,170	\$ 161,034	\$ 80,000
State Transit Assistance	\$ 72,019	\$ 76,669	\$ 76,000
State Transit Assistance Pass Through Administered By COG	\$ 4,587,275	\$ 1,500,000	\$ 4,661,369
SUBTOTAL	\$ 5,512,174	\$ 3,040,235	\$ 5,539,259
LOCAL			
TDA (LTF Planning + TDA Administration)	\$ 802,078	\$ 762,710	\$ 899,930
LTF ALUP Update Year 4 Legal	\$ 50,000	\$ -	\$ -
Measure K Project Management	\$ 1,113,540	\$ 1,000,000	\$ 1,000,000
Measure K Administration	\$ 252,460	\$ 375,150	\$ 448,350
RTIF	\$ 59,160	\$ 45,000	\$ 15,000
Valley MPOs Air Quality Planning	\$ 99,653	\$ 172,322	\$ 170,000
Amador County Rideshare Software Agreement	\$ 12,000	\$ 12,000	\$ 12,000
SAFE	\$ 79,818	\$ 128,000	\$ 128,000
COG Fees	\$ 47,405	\$ 30,000	\$ 30,000
SJCOGI (formerly Habitat Funds)	\$ 333,429	\$ 561,000	\$ 561,000
Surland Settlement	\$ -	\$ 40,000	\$ 65,000
Measure K Pass-Through Administered By COG	\$ 36,600,000	\$ 37,515,000	\$ 39,428,654
Local Transportation Fund Pass-Through Administered By COG	\$ 18,300,000	\$ 18,757,500	\$ 19,714,327
SUBTOTAL	\$ 57,749,544	\$ 59,398,682	\$ 62,472,261
OTHER			
Sales Tax Commercial Paper & BANS	\$ 287,175,000	\$ 287,175,000	\$ 287,175,000
Interest	\$ 5,400	\$ 5,000	\$ 5,000
Other (ALUC Fees+doc fees)	\$ 1,843	\$ 15,000	\$ 15,000
SUBTOTAL	\$ 287,182,243	\$ 287,195,000	\$ 287,195,000
TOTAL	\$ 542,320,692	\$ 471,930,187	\$ 478,617,263

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SERVICE AND SUPPLIES

Title	Line Item Description	FY 2010-11 Actual	FY 2011-12 Amend. #1 12/15/11	FY 2012-13 Proposed 3/22/12
Office Expense - General	General Supplies	\$ 74,179	\$ 75,000	\$ 75,000
	Recognitions	\$ -	\$ 10,000	\$ 10,000
	Printing	\$ 11,209	\$ 25,000	\$ 25,000
	Noncapital Equip/Furniture	\$ 16,872	\$ 5,000	\$ 5,000
	Computer Software	\$ 57,424	\$ 100,000	\$ 100,000
Office Expense - General Subtotal		\$ 160,089	\$ 215,000	\$ 215,000
Office Expense - Postage Subtotal		\$ 13,673	\$ 36,800	\$ 36,800
Office Expense - Subscriptions Subtotal	Subscriptions & Periodicals	\$ 3,847	\$ 4,000	\$ 4,000
Office Expense - Subtotal		\$ 177,609	\$ 256,000	\$ 255,800
Communications-Subtotal		\$ 65,845	\$ 60,000	\$ 60,000
Memberships - Subtotal		\$ 30,254	\$ 42,000	\$ 42,000
Maintenance - Equipment - Subtotal		\$ 2,323	\$ 13,000	\$ 13,000
Rents & Leases - Equipment - Subtotal		\$ 128,805	\$ 210,000	\$ 210,000
Allocated Service Cost - Subtotal		\$ 1,476	\$ 4,000	\$ 4,000
Publications & Legal Notices - Subtotal		\$ 7,291	\$ 27,000	\$ 27,000
Insurances - Subtotal		\$ 83,460	\$ 85,000	\$ 85,000
Building Maintenance - Subtotal		\$ 129,481	\$ 60,000	\$ 107,700
Rents - Structures & Grounds - Subtotal		\$ 85,905	\$ 241,500	\$ 254,867
TOTAL SERVICES & SUPPLIES		\$ 890,058	\$ 1,254,300	\$ 1,315,167

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	FY 2010-11	FY 2011-12	FY 2012-13
	Actual	Amend. #1 12/15/11	Proposed
In and Out of State, Mileage	\$ 53,390	\$ 77,500	\$ 77,500
Training	\$ 37,642	\$ 31,500	\$ 31,500
Rideshare Incentive	\$ 450	\$ 1,000	\$ 1,000
Transportation & Travel - Subtotal	\$ 91,482	\$ 110,000	\$ 110,000

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PROFESSIONAL SERVICES

<i>Work Element No./Project Description</i>	FY 2010-11		FY 2011-12		FY 2012-13	
	Actual		Amend. #1 12/15/11		Proposed 3/22/12	
601.01--Regional Transportation Plan	\$ 31,874	\$	145,000	\$	145,000	
601.02--Regional Planning Studies	\$ 17,249	\$	205,000	\$	205,000	
602.01--RTIP	\$ -	\$	-	\$	-	
603.01--Road & Street Monitoring	\$ 1,763	\$	50,000	\$	50,000	
603.02--Transit Coordination	\$ 22,279	\$	10,000	\$	10,000	
603.03--Transportation Air Quality	\$ 73,935	\$	150,000	\$	150,000	
603.04--Goods Movement	\$ -	\$	-	\$	-	
701.01--Technical Assistance	\$ -	\$	-	\$	-	
801.01--Intergovernmental Coordination	\$ 80,723	\$	94,000	\$	94,000	
801.02--Projections & Forecasts	\$ 52,219	\$	52,000	\$	54,205	
801.03--Airport Land Use Commission	\$ 61,179	\$	100,000	\$	50,000	
801.04--Congestion Management	\$ 10,504	\$	150,000	\$	120,000	
801.05--Regional Planning	\$ -	\$	-	\$	-	
801.06--Valley MPO Coordination	\$ 10,325	\$	35,000	\$	35,000	
801.07--Interregional Partnerships	\$ 209,630	\$	-	\$	-	
801.08--SJ Valley Blueprint	\$ -	\$	-	\$	-	
801.09--SJCOG Inc	\$ -	\$	-	\$	-	
901.01--Measure K	\$ 73,487	\$	75,000	\$	75,000	
901.02--RTIF	\$ 51,786	\$	65,000	\$	65,000	
901.03--Smart Growth	\$ 79,804	\$	120,000	\$	120,000	
1001.01--COG OWP	\$ 210	\$	5,000	\$	5,000	
1001.02--TDA Administration	\$ 104,837	\$	138,000	\$	188,000	
1001.03--Community Involvement	\$ 35,190	\$	50,000	\$	50,000	
1001.04--SAFETEA-LU Management	\$ -	\$	75,000	\$	75,000	
1101.01--Transportation Demand Management	\$ 126,944	\$	300,000	\$	300,000	
1201.01--Freeway Service Patrol	\$ 257,146	\$	350,000	\$	500,000	
Indirect	\$ 132,963	\$	375,677	\$	405,677	
TOTAL	\$ 1,434,047	\$	2,544,677	\$	2,696,882	

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FIXED ASSETS

<i>Title</i>	<i>Description</i>	FY 2010-11 Actual	FY 2011-12 Amend#1 12/15/11	FY 2012-13 Proposed
	Office Furniture/Equipment	\$	25,000	\$ 25,000
	Replacement Printers (2)	\$	5,500	\$ 5,500
	Server Upgrade	\$	30,000	\$ 30,000
	Computer Upgrades	\$	20,000	\$ 20,000
	Capitalized Building Maintenance	\$	60,000	\$ 60,000
TOTAL		\$ 110,957	\$ 140,500	\$ 140,500