



**San Joaquin Council of Governments  
ANNUAL FINANCIAL PLAN  
Fiscal Year 2011/12**

**Adopted April 28, 2011  
Amendment #1 December 15, 2011**

**CHAIR**

Councilmember Chuck Winn, City of Ripon

**VICE-CHAIR**

Supervisor Ken Vogel, County of San Joaquin

**BOARD OF DIRECTORS**

Councilmember Jeff Laugero	City of Escalon
Vice Mayor Christopher Mateo	City of Lathrop
Councilmember Larry Hansen	City of Lodi
Councilmember Steve DeBrum	City of Manteca
Supervisor Leroy Ornellas	County of San Joaquin
Supervisor Steve Bestolarides	County of San Joaquin
Mayor Ann Johnston	City of Stockton
Vice Mayor Kathy Miller	City of Stockton
Councilmember Dale Fritchen	City of Stockton
Mayor Brent Ives	City of Tracy

**EX OFFICIO DIRECTORS**

Carrie Bowen, District Director	Caltrans District 10
Gary Giovanetti, Director	San Joaquin Regional Transit District
Victor Mow, Commissioner	Port of Stockton

**SUBMITTED BY:**

Andrew T. Chesley  
Executive Director

Steve Dial  
Deputy Executive Director/  
Chief Financial Officer

**San Joaquin Council of Governments**

**ANNUAL FINANCIAL PLAN**

**Fiscal Year 2011/12**

Adopted April 28, 2011

Amendment #1 December 15, 2011

REVENUES	FY 2011-12 Adopted 4/28/11	FY 2011-12 Amend. #1 12/15/11	+/- Change
Federal Grants	\$2,788,250	\$2,743,270	(\$44,980)
State Grants	\$1,217,723	\$1,540,235	\$322,512
Local	\$3,192,875	\$3,126,182	(\$66,693)
Interest	\$5,000	\$5,000	\$0
Other	\$15,000	\$15,000	\$0
<b>SJCOG OPERATING REVENUES</b>	<b>\$7,218,848</b>	<b>\$7,429,687</b>	<b>\$210,839</b>
Federal Pass-Through	\$119,553,000	\$119,553,000	\$0
State Pass-Through	\$1,500,000	\$1,500,000	\$0
Local Pass-Through	\$56,272,500	\$56,272,500	\$0
Commercial Paper	\$75,000,000	\$75,000,000	\$0
<b>TOTAL SJCOG REVENUE</b>	<b>\$259,544,348</b>	<b>\$259,755,187</b>	<b>\$210,839</b>
<b>EXPENDITURES</b>			
Salaries & Benefits	\$3,636,010	\$3,636,010	\$0
Services & Supplies	\$1,108,500	\$1,108,500	\$0
Office Expense	\$256,000	\$256,000	\$0
Communications	\$60,000	\$60,000	\$0
Memberships	\$42,000	\$42,000	\$0
Maintenance - Equipment	\$13,000	\$13,000	\$0
Rents & Leases - Equipment	\$210,000	\$210,000	\$0
Transportation, Travel & Training (In & Out of State)	\$110,000	\$110,000	\$0
Allocated Service Cost	\$4,000	\$4,000	\$0
Publications & Legal Notices	\$27,000	\$27,000	\$0
Insurance	\$85,000	\$85,000	\$0
Building Maintenance	\$60,000	\$60,000	\$0
Building Debt Service	\$241,500	\$241,500	\$0
Professional Services	\$2,333,838	\$2,544,677	\$210,839
Fixed Assets	\$140,500	\$140,500	\$0
Unallocated/Reserve	\$0	\$0	\$0
<b>SJCOG OPERATING EXPENDITURES</b>	<b>\$7,218,848</b>	<b>\$7,429,687</b>	<b>\$210,839</b>
<b>Excess (Deficit) Revenues</b>	\$0	\$0	\$0
<b>Over Expenditures (Operating)</b>			

## San Joaquin Council of Governments

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## REVENUE

Revenue Source	FY 2011-12 Adopted 4/28/11	FY 2011-12 Amend. #1 12/15/11	+/- Change
<b>FEDERAL GRANTS</b>			
<i>U.S. Department of Transportation:</i>			
Federal Transit Administration MPO Planning	\$232,241	\$232,241	\$0
Federal Transit Administration MPO Planning Toll Credits	\$30,089	\$30,089	\$0
Federal Highway Administration (PL)	\$992,871	\$992,871	\$0
Federal Highway Administration (PL) Toll Credits	\$128,637	\$128,637	\$0
Federal Highway Administration (PL C/O)	\$100,000	\$100,000	\$0
Regional Surface Transportation Program (RSTP) c/o	\$0	\$0	\$0
Regional Surface Transportation Program (RSTP) CMP Update	\$150,912	\$215,433	\$64,521
CMAQ 6088-016	\$0	\$0	\$0
CMAQ 6088-038	\$275,000	\$157,826	-\$117,174
CMAQ 6088-041	\$650,000	\$660,251	\$10,251
TDM - Merced CAG CMAQ	\$50,000	\$47,422	-\$2,578
TDM - StanCOG CMAQ	\$178,500	\$178,500	\$0
Federal Pass-Through Administered By COG	\$119,553,000	\$119,553,000	\$0
<b>SUBTOTAL</b>	<b>\$122,341,250</b>	<b>\$122,296,270</b>	<b>-\$44,980</b>
<b>STATE GRANTS</b>			
<i>California Department of Transportation:</i>			
SB-45 STIP Planning & Programming 08/09 (c/o)	\$0	\$0	\$0
SB-45 STIP Planning & Programming 09/10 c/o	\$0	\$0	\$0
SB-45 STIP Planning & Programming 10/11	\$25,000	\$0	-\$25,000
SB-45 STIP Planning & Programming 11/12	\$205,000	\$205,000	\$0
Prop 84 Planning Grant	\$50,000	\$0	-\$50,000
SJ Valley Blueprint 09/10 4th year funding	\$0	\$0	\$0
Freeway Service Patrol 08/09	\$0	\$0	\$0
Freeway Service Patrol 10/11	\$430,729	\$212,884	-\$217,845
Freeway Service Patrol 11/12	\$0	\$427,068	\$427,068
Caltrans - Transit Technical Planning Assistance-Escalon SRTIP	\$0	\$0	\$0
Escalon Match	\$0	\$0	\$0
Caltrans 5304 Internship Grant	\$29,770	\$16,704	-\$13,066
Caltrans 5304 Transit Internship Grant (SJRTD) FY 10/11	\$21,520	\$17,887	-\$3,633
Caltrans 5304 Transit Internship Grant (SJRTD) FY 11/12	\$0	\$21,520	\$21,520
Caltrans 5304 Regional MM Trip Planning Grant	\$30,000	\$120,000	\$90,000
Transit Partner In-Kind for 5304	\$1,500	\$0	-\$1,500
Caltrans - Partnership Planning Grant HOT Lanes Study	\$0	\$0	\$0
Caltrans - Interregional Partnership c/o	\$0	\$4,909	\$4,909
Caltrans SP&R - Smart Growth Plan	\$200,000	\$209,155	\$9,155
Caltrans SP&R -Freight Movement Study	\$50,000	\$52,405	\$2,405
FTA 5316 JARC 648679	\$15,000	\$15,000	\$0
FTA 5316 JARC 648628	\$124,204	\$161,034	\$36,830
State Transit Assistance	\$35,000	\$76,669	\$41,669
State Transit Assistance Pass Through Administered By COG	\$1,500,000	\$1,500,000	\$0
<b>SUBTOTAL</b>	<b>\$2,717,723</b>	<b>\$3,040,235</b>	<b>\$322,512</b>
<b>LOCAL</b>			
TDA (LTF Planning + TDA Administration)	\$762,725	\$762,710	-\$15
LTF ALUP Update Year 2 c/o	\$0	\$0	\$0
LTF ALUP Update Year 3 EIR	\$30,000	\$0	-\$30,000
LTF ALUP Update Year 4 Legal	\$0	\$0	\$0
Measure K Project Management	\$1,000,000	\$1,000,000	\$0
Measure K Administration	\$375,150	\$375,150	\$0
RTIF	\$65,000	\$45,000	-\$20,000
Valley MPOs Air Quality Planning	\$170,000	\$172,322	\$2,322
Amador County Rideshare Software Agreement	\$12,000	\$12,000	\$0
SAFE	\$147,000	\$128,000	-\$19,000
COG Fees	\$30,000	\$30,000	\$0
SJCOGI (formerly Habitat Funds)	\$561,000	\$561,000	\$0
Surland Settlement	\$40,000	\$40,000	\$0
Measure K Pass-Through Administered By COG	\$37,515,000	\$37,515,000	\$0
Local Transportation Fund Pass-Through Administered By COG	\$18,757,500	\$18,757,500	\$0
<b>SUBTOTAL</b>	<b>\$59,465,375</b>	<b>\$59,398,682</b>	<b>-\$66,693</b>
<b>OTHER</b>			
Sales Tax Commercial Paper & BANS	\$287,175,000	\$287,175,000	\$0
Interest	\$5,000	\$5,000	\$0
Other (ALUC Fees+doc fees)	\$15,000	\$15,000	\$0
<b>SUBTOTAL</b>	<b>\$287,195,000</b>	<b>\$287,195,000</b>	<b>\$0</b>
<b>TOTAL</b>	<b>\$471,719,348</b>	<b>\$471,930,187</b>	<b>\$210,839</b>

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**SERVICE AND SUPPLIES**

Title	Line Item Description	FY 2009-10 Actual	FY 2010-11 Adopted Amend. #1	FY 2011-12 Adopted 4/28/11	FY 2011-12 Amend. #1 12/15/11
Office Expense - General	General Supplies		\$100,000	\$75,000	\$75,000
	Recognitions		\$10,000	\$10,000	\$10,000
	Printing		\$50,000	\$25,000	\$25,000
	Noncapital Equip/Furniture		\$5,000	\$5,000	\$5,000
	Computer Software		\$156,000	\$100,000	\$100,000
<b>Office Expense - General Subtotal</b>			<b>\$321,000</b>	<b>\$215,000</b>	<b>\$215,000</b>
<b>Office Expense - Postage Subtotal</b>			<b>\$35,000</b>	<b>\$35,000</b>	<b>\$35,000</b>
<b>Office Expense - Subscriptions Subtotal</b>			<b>\$6,000</b>	<b>\$6,000</b>	<b>\$6,000</b>
<b>Office Expense - Subtotal</b>		<b>\$176,064</b>	<b>\$362,000</b>	<b>\$256,000</b>	<b>\$256,000</b>
<b>Communications-Subtotal</b>		<b>\$80,913</b>	<b>\$60,000</b>	<b>\$60,000</b>	<b>\$60,000</b>
<b>Memberships - Subtotal</b>		<b>\$31,613</b>	<b>\$42,000</b>	<b>\$42,000</b>	<b>\$42,000</b>
<b>Maintenance - Equipment - Subtotal</b>		<b>\$2,242</b>	<b>\$13,000</b>	<b>\$13,000</b>	<b>\$13,000</b>
<b>Rents &amp; Leases - Equipment - Subtotal</b>		<b>\$200,136</b>	<b>\$210,000</b>	<b>\$210,000</b>	<b>\$210,000</b>
<b>Allocated Service Cost - Subtotal</b>		<b>\$18,936</b>	<b>\$4,000</b>	<b>\$4,000</b>	<b>\$4,000</b>
<b>Publications &amp; Legal Notices - Subtotal</b>		<b>\$16,279</b>	<b>\$27,000</b>	<b>\$27,000</b>	<b>\$27,000</b>
<b>Insurances - Subtotal</b>		<b>\$82,661</b>	<b>\$85,000</b>	<b>\$85,000</b>	<b>\$85,000</b>
<b>Building Maintenance - Subtotal</b>		<b>\$127,280</b>	<b>\$120,000</b>	<b>\$60,000</b>	<b>\$60,000</b>
<b>Rents - Structures &amp; Grounds - Subtotal</b>		<b>\$198,600</b>	<b>\$241,500</b>	<b>\$241,500</b>	<b>\$241,500</b>
<b>TOTAL SERVICES &amp; SUPPLIES</b>		<b>\$934,724</b>	<b>\$1,164,500</b>	<b>\$998,500</b>	<b>\$998,500</b>

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**Fiscal Year 2011/12**

	FY 2009-10 Actual	FY 2010-11 Adopted Amend. #1	FY 2011-12 Adopted 4/28/11	FY 2011-12 Amend. #1 12/15/11
Out of state		\$43,500	\$43,500	\$43,500
In-state		\$34,000	\$34,000	\$34,000
Training		\$17,700	\$17,700	\$17,700
Rideshare Incentive		\$1,000	\$1,000	\$1,000
PC Incentive Program		\$7,500	\$7,500	\$7,500
Motorpool		\$300	\$300	\$300
Mileage		\$6,000	\$6,000	\$6,000
<b>Transportation &amp; Travel</b>	\$117,298	\$110,000	\$110,000	\$110,000

Out of State and In State travel may be pooled and includes all costs of travel including meals.

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**PROFESSIONAL SERVICES**

<i>Work Element No./Project Description</i>	FY 2009-10 Actual	FY 2010-11 Adopted Amend. #1	FY 2011-12 Adopted 4/28/11	FY 2011-12 Amend. #1 12/15/11
601.01--Regional Transportation Plan	\$141,565	\$125,000	\$145,000	\$145,000
601.02--Regional Planning Studies	\$201,139	\$100,000	\$205,000	\$205,000
602.01--RTIP	\$0	\$100,000	\$0	\$0
603.01--Road & Street Monitoring	\$0	\$50,000	\$0	\$50,000
603.02--Transit Coordination	\$5,897	\$10,000	\$10,000	\$10,000
603.03--Transportation Air Quality	\$152,197	\$150,000	\$150,000	\$150,000
603.04--Goods Movement	\$0	\$30,000	\$0	\$0
701.01--Technical Assistance	\$0	\$0	\$0	\$0
801.01--Intergovernmental Coordination	\$77,330	\$94,000	\$94,000	\$94,000
801.02--Projections & Forecasts	\$51,600	\$52,000	\$52,000	\$52,000
801.03--Airport Land Use Commission	\$79,428	\$100,000	\$100,000	\$100,000
801.04--Congestion Management	\$149,905	\$50,000	\$150,000	\$150,000
801.05--Regional Planning	\$0	\$0	\$0	\$0
801.06--Valley MPO Coordination	\$27,719	\$35,000	\$35,000	\$35,000
801.07--Interregional Partnerships	\$0	\$91,698	\$0	\$0
801.08--SJ Valley Blueprint	\$18,931	\$39,450	\$0	\$0
801.09--SJCOG Inc	\$0	\$0	\$0	\$0
901.01--Measure K	\$66,977	\$75,000	\$75,000	\$75,000
901.02--RTIF	\$7,424	\$5,000	\$65,000	\$65,000
901.03--Smart Growth	\$0	\$60,000	\$120,000	\$120,000
1001.01--COG OWP	\$0	\$5,000	\$5,000	\$5,000
1001.02--TDA Administration	\$130,374	\$140,000	\$138,000	\$138,000
1001.03--Community Involvement	\$32,198	\$50,000	\$50,000	\$50,000
1001.04--SAFETEA-LU Management	\$65,760	\$0	\$75,000	\$75,000
1101.01--Transportation Demand Management	\$13,558	\$300,000	\$300,000	\$300,000
1201.01--Freeway Service Patrol	\$246,457	\$525,000	\$350,000	\$350,000
Indirect	\$169,145	\$125,000	\$214,838	\$375,677
<b>TOTAL</b>	<b>\$1,618,668</b>	<b>\$2,312,148</b>	<b>\$2,333,838</b>	<b>\$2,544,677</b>

**San Joaquin Council of Governments**

**ANNUAL FINANCIAL PLAN**

**Fiscal Year 2011/12**

**FIXED ASSETS**

<b>Title</b>	<b>Description</b>	<b>FY 2009-10 Adopted 4/23/09</b>	<b>FY 2010-11 Adopted Amend. #1</b>	<b>FY 2011-12 Adopted 4/28/11</b>	<b>FY 2011-12 Amend. #1 12/15/11</b>
	Office Furniture/Equipment		\$25,000	\$25,000	\$25,000
	Replacement Printers (2)		\$5,500	\$5,500	\$5,500
	Server Upgrade		\$30,000	\$30,000	\$30,000
	Computer Upgrades		\$20,000	\$20,000	\$20,000
	Capitalized Building Maintenance		\$0	\$60,000	\$60,000
<b>TOTAL</b>		\$13,732	\$80,500	\$140,500	\$140,500

**San Joaquin Council of Governments**  
**ANNUAL FINANCIAL PLAN**  
**Fiscal Year 2011/12**  
**PASS THROUGH**

<b>Fund Type</b>	<b>FY 2009-10</b>	<b>FY 2010-11</b>	<b>FY 2011-12</b>	<b>FY 2011-12</b>
	<b>Actual</b>	<b>Adopted Amend. #1</b>	<b>Adopted 4/28/11</b>	<b>Amend. #1 12/15/11</b>
Federal SAFETEA-LU & State	\$63,969,742	\$189,646,000	\$119,553,000	\$119,553,000
Local Transportation Fund	\$17,953,026	\$18,300,000	\$18,757,500	\$18,757,500
State Transit Assistance Fund	\$4,587,275	\$0	\$1,500,000	\$1,500,000
Local Transportation Authority	\$35,549,764	\$36,600,000	\$37,515,000	\$37,515,000
Sales Tax Bond Anticipation Notes	\$210,000,000	\$210,000,000	\$212,175,000	\$212,175,000
Sales Tax Commercial Paper	\$167,000,000	\$167,000,000	\$75,000,000	\$75,000,000
<b><i>TOTAL</i></b>	<b>\$499,059,807</b>	<b>\$621,546,000</b>	<b>\$464,500,500</b>	<b>\$464,500,500</b>