



**San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2014/15**

**Adopted March 27, 2014
Amendment #1 September 25, 2014
Amendment #2 March 26, 2015
Amendment #3 August 27, 2015**

CHAIR

Mayor Anthony Silva, City of Stockton

VICE-CHAIR

Mayor Steve DeBrum, City of Manteca

BOARD OF DIRECTORS

Mayor Pro Tem Jeff Laugero	City of Escalon
Vice Mayor Steve Dresser	City of Lathrop
Mayor Doug Kuehne	City of Lodi
Supervisor Leo Zuber	City of Ripon
Supervisor Chuck Winn	City of Ripon
Supervisor Kathy Miller	County of San Joaquin
Supervisor Steve Bestolarides	County of San Joaquin
Councilmember Elbert Holman	City of Stockton
Councilmember Moses Zapien	City of Stockton
Mayor Michael Maciel	City of Tracy

EX OFFICIO DIRECTORS

Ken Baxter	Caltrans District 10
Gary Giovanetti, Director	San Joaquin Regional Transit District
Victor Mow, Commissioner	Port of Stockton

SUBMITTED BY:

Andrew T. Chesley
Executive Director

Steve Dial
Deputy Executive Director/
Chief Financial Officer

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REVENUES	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1	FY 2014-15 Amend #2	FY 2014-15 Amend #3	+/- Change
Federal Grants	\$ 2,398,348	\$ 2,461,988	\$ 2,996,488	\$ 2,996,488	\$ -
State Grants	\$ 1,753,700	\$ 2,238,503	\$ 2,038,503	\$ 2,038,503	\$ -
Local	\$ 3,750,818	\$ 3,922,228	\$ 3,945,679	\$ 3,955,679	\$ 10,000
Interest	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000	\$ -
Other	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ -
SJCOG OPERATING REVENUE	\$ 7,922,866	\$ 8,642,719	\$ 9,000,670	\$ 9,010,670	\$ 10,000
EXPENDITURES					
Salaries & Benefits	\$ 3,803,775	\$ 3,873,164	\$ 3,889,906	\$ 3,889,906	\$ -
Services & Supplies	\$ 1,091,600	\$ 1,127,600	\$ 1,127,600	\$ 1,127,600	\$ -
Office Expense	\$ 255,800	\$ 265,800	\$ 265,800	\$ 265,800	\$ -
Communications	\$ 60,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ -
Memberships	\$ 42,000	\$ 42,000	\$ 42,000	\$ 42,000	\$ -
Maintenance - Equipment	\$ 13,000	\$ 13,000	\$ 13,000	\$ 13,000	\$ -
Rents & Leases - Equipment	\$ 210,000	\$ 226,800	\$ 226,800	\$ 226,800	\$ -
Transportation, Travel & Training (In & Out of State)	\$ 110,000	\$ 110,000	\$ 110,000	\$ 110,000	\$ -
Publications & Legal Notices	\$ 27,000	\$ 27,000	\$ 27,000	\$ 27,000	\$ -
Insurance	\$ 103,000	\$ 103,000	\$ 103,000	\$ 103,000	\$ -
Building Maintenance	\$ 170,800	\$ 180,000	\$ 180,000	\$ 180,000	\$ -
Debt Service	\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000	\$ -
Professional Services	\$ 2,886,991	\$ 3,471,455	\$ 3,812,664	\$ 3,822,664	\$ 10,000
Capital Outlay	\$ 140,500	\$ 170,500	\$ 170,500	\$ 170,500	\$ -
Unallocated/Reserve					\$ -
SJCOG OPERATING EXPENDITURES	\$ 7,922,866	\$ 8,642,719	\$ 9,000,670	\$ 9,010,670	\$ 10,000
Excess (Deficit) Revenues	\$ 0	\$ -	\$ -	\$ -	\$ -
Over Expenditures (Operating)					

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REVENUE

Revenue Source	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1	FY 2014-15 Amend #2	FY 2014-15 Amend #3	+/- Change
FEDERAL GRANTS					
<i>U.S. Department of Transportation:</i>					
Federal Highway Administration (PL)	\$ 1,199,197	\$ 1,199,197	\$ 1,199,197	\$ 1,199,197	\$ -
Federal Highway Administration (PL C/O)			\$ 394,500	\$ 394,500	\$ -
Federal Transit Administration MPO Planning (FTA 5303)	\$ 296,169	\$ 296,169		\$ 296,169	\$ -
Federal Transit Administration MPO Planning (FTA 5303 C/O)			\$ 140,000	\$ 140,000	\$ -
Regional Surface Transportation Program (RSTP) CMP Update					\$ -
RSTP CMP Implementation (FY 13-14)	\$ 200,000	\$ -			\$ -
CMAQ TDM	\$ 125,000	\$ -			\$ -
CMAQ TDM	\$ 522,982	\$ 961,190	\$ 961,190	\$ 961,190	\$ -
Federal Earmark Redistribution (DEM06UBL 6088-050)	\$ 50,000	\$ -			\$ -
FTA 5304 Transit Internship Grant (SJRTD) FY 11/12	\$ -				\$ -
FTA 5304 Transit Internship Grant (SJRTD) FY 12/13	\$ 5,000	\$ 5,432	\$ 5,432	\$ 5,432	\$ -
Federal Pass-Through Administered By COG	\$ 75,559,795	\$ 75,692,000	\$ 75,692,000	\$ 75,692,000	\$ -
SUBTOTAL	\$ 77,958,143	\$ 78,153,988	\$ 78,688,488	\$ 78,688,488	\$ -
STATE GRANTS					
<i>California Department of Transportation:</i>					
SB-45 STIP Planning & Programming 12/13	\$ -	\$ -			\$ -
SB-45 STIP Planning & Programming 13/14	\$ 200,000	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
Freeway Service Patrol 11/12	\$ -	\$ -			\$ -
Freeway Service Patrol 12/13	\$ 200,000	\$ 475,961	\$ 475,961	\$ 475,961	\$ -
Freeway Service Patrol 13/14	\$ 474,171	\$ 474,171	\$ 474,171	\$ 474,171	\$ -
Construction Freeway Service Patrol	\$ 650,000	\$ 650,000	\$ 650,000	\$ 650,000	\$ -
Caltrans TDM Partnership Planning Grant	\$ 125,000	\$ 69,209	\$ 69,209	\$ 69,209	\$ -
Caltrans SJ Valley Goods Movement Partnership Planning Grant	\$ -	\$ 300,000	\$ 100,000	\$ 100,000	\$ -
Caltrans State Public Transportation Account	\$ 18,000	\$ -			\$ -
SJVAPCD Electric Vehicle Grant	\$ -	\$ -			\$ -
State Transit Assistance	\$ 86,529	\$ 69,162	\$ 69,162	\$ 69,162	\$ -
State Transit Assistance Pass Through Administered By COG	\$ 5,354,966	\$ 5,354,966	\$ 5,354,966	\$ 5,354,966	\$ -
SUBTOTAL	\$ 7,108,666	\$ 7,593,469	\$ 7,393,469	\$ 7,393,469	\$ -
LOCAL					
TDA (LTF Planning + TDA Administration)	\$ 942,000	\$ 941,549	\$ 993,000	\$ 993,000	\$ -
TDA (LTF ALUC Special Assessment)	\$ -	\$ 180,000	\$ 180,000	\$ 180,000	\$ -
Measure K Project Management	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ -
Measure K Administration	\$ 505,000	\$ 505,000	\$ 505,000	\$ 505,000	\$ -
RTIF	\$ 15,000	\$ 15,000	\$ 7,000	\$ 7,000	\$ -
Valley MPOs Air Quality Planning	\$ 149,818	\$ 149,818	\$ 149,818	\$ 149,818	\$ -
Fresno Prop 84	\$ -	\$ -			\$ -
TDM - Merced CAG CMAQ	\$ -	\$ -			\$ -
TDM - Merced CAG CMAQ (FY 13/14)	\$ 100,000	\$ 75,000	\$ 75,000	\$ 75,000	\$ -
TDM - StanCOG CMAQ	\$ 190,000	\$ 195,000	\$ 195,000	\$ 195,000	\$ -
Tri-County Forecasting (Merced, Stanislaus, Fresno)	\$ -	\$ -	\$ -	\$ 10,000	\$ 10,000
StanCOG-SB 375 Modeling	\$ 60,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ -
Calaveras COG: RTPA Technical Support	\$ 30,000	\$ 30,000	\$ 30,000	\$ 30,000	\$ -
City of Manteca: SR 99 Project Management Support	\$ 40,000	\$ 51,861	\$ 51,861	\$ 51,861	\$ -
SAFE	\$ 128,000	\$ 128,000	\$ 128,000	\$ 128,000	\$ -
COG Fees	\$ 30,000	\$ 30,000	\$ 10,000	\$ 10,000	\$ -
SJCOGI (formerly Habitat Funds)	\$ 561,000	\$ 561,000	\$ 561,000	\$ 561,000	\$ -
Measure K Pass-Through Administered By COG	\$ 52,241,909	\$ 52,241,909	\$ 52,241,909	\$ 52,241,909	\$ -
Local Transportation Fund Pass-Through Administered By COG	\$ 23,884,980	\$ 23,884,980	\$ 23,884,980	\$ 23,884,980	\$ -
SUBTOTAL	\$ 79,877,707	\$ 80,049,117	\$ 80,072,568	\$ 80,082,568	\$ 10,000
OTHER					
Sales Tax Line of Credit and 2011 Bond Issuance	\$ 287,175,000	\$ 287,175,000	\$ 287,175,000	\$ 287,175,000	\$ -
Interest	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000	\$ -
Other (ALUC Fees+doc fees)	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ -
SUBTOTAL	\$ 287,195,000	\$ 287,195,000	\$ 287,195,000	\$ 287,195,000	\$ -
TOTAL	\$ 452,139,516	\$ 452,991,574	\$ 453,349,525	\$ 453,359,525	\$ 10,000

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SERVICE AND SUPPLIES

Title	Line Item Description	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1	FY 2014-15 Amend #2	FY 2014-15 Amend #3
Office Expense - General	General Supplies	\$ 75,000	\$ 80,000	\$ 80,000	\$ 80,000
	Recognitions	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000
	Printing	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000
	Noncapital Equip/Furniture	\$ 5,000	\$ 10,000	\$ 10,000	\$ 10,000
	Computer Software	\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000
Office Expense - General Subtotal		\$ 215,000	\$ 225,000	\$ 225,000	\$ 225,000
Office Expense - Postage Subtotal		\$ 36,800	\$ 36,800	\$ 36,800	\$ 36,800
Office Expense - Subscriptions Subtotal		\$ 4,000	\$ 4,000	\$ 4,000	\$ 4,000
Office Expense - Subtotal		\$ 255,800	\$ 265,800	\$ 265,800	\$ 265,800
Communications-Subtotal		\$ 60,000	\$ 60,000	\$ 60,000	\$ 60,000
Memberships - Subtotal		\$ 42,000	\$ 42,000	\$ 42,000	\$ 42,000
Maintenance - Equipment - Subtotal		\$ 13,000	\$ 13,000	\$ 13,000	\$ 13,000
Rents & Leases - Equipment - Subtotal		\$ 210,000	\$ 226,800	\$ 226,800	\$ 226,800
Publications & Legal Notices - Subtotal		\$ 27,000	\$ 27,000	\$ 27,000	\$ 27,000
Insurances - Subtotal		\$ 103,000	\$ 103,000	\$ 103,000	\$ 103,000
Building Maintenance - Subtotal		\$ 170,800	\$ 180,000	\$ 180,000	\$ 180,000
Interest Building Debt Service - Subtotal		\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000
TOTAL SERVICES & SUPPLIES		\$ 981,600	\$ 1,017,600	\$ 1,017,600	\$ 1,017,600

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	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1	FY 2014-15 Amend #2	FY 2014-15 Amend #3
In and Out of State Travel	\$ 77,500	\$ 77,500	\$ 77,500	\$ 77,500
Training	\$ 31,500	\$ 31,500	\$ 31,500	\$ 31,500
Rideshare Incentive	\$ 1,000	\$ 1,000	\$ 1,000	\$ 1,000
Transportation & Travel - Subtotal	\$ 110,000	\$ 110,000	\$ 110,000	\$ 110,000

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FIXED ASSETS

<i>Title</i>	<i>Description</i>	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1	FY 2014-15 Amend #2	FY 2014-15 Amend #3
	Office Furniture/Equipment	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000
	Replacement Printers (2)	\$ 5,500	\$ 5,500	\$ 5,500	\$ 5,500
	Server Upgrade	\$ 30,000	\$ 30,000	\$ 30,000	\$ 30,000
	Air District Car	\$ -	\$ -	\$ -	\$ -
	Computer Upgrades	\$ 20,000	\$ 20,000	\$ 20,000	\$ 20,000
	Capitalized Building Maintenance	\$ 60,000	\$ 90,000	\$ 90,000	\$ 90,000
TOTAL		\$ 140,500	\$ 170,500	\$ 170,500	\$ 170,500

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PROFESSIONAL SERVICES

Work Element No./Project Description	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1	FY 2014-15 Amend #2	FY 2014-15 Amend #3
601.01--Regional Transportation Plan	\$ 50,000	\$ 50,000	\$ 79,759	\$ 79,759
601.02--Regional Planning Studies	\$ 50,000	\$ 25,000	\$ 25,000	\$ 25,000
602.01--RTIP	\$ -	\$ 25,000	\$ 25,000	\$ 25,000
603.01--Road & Street Monitoring	\$ 75,000	\$ 75,000	\$ 75,000	\$ 75,000
603.02--Transit Coordination	\$ 50,000	\$ 50,000	\$ 250,000	\$ 250,000
603.03--Transportation Air Quality	\$ 275,200	\$ 275,200	\$ 275,200	\$ 275,200
603.04--Goods Movement	\$ -	\$ -	\$ -	\$ -
603.041 --Goods Movement Partnership Planning Grant	\$ -	\$ 300,000	\$ 300,000	\$ 300,000
701.01--Technical Assistance	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000
801.01--Intergovernmental Coordination	\$ 94,000	\$ 94,000	\$ 94,000	\$ 94,000
801.02--Projections & Forecasts	\$ 55,000	\$ 70,000	\$ 70,000	\$ 70,000
801.03--Airport Land Use Commission	\$ 117,000	\$ 180,000	\$ 180,000	\$ 180,000
801.04--Congestion Management	\$ 100,000	\$ 85,000	\$ 85,000	\$ 85,000
801.05--Regional Planning	\$ -	\$ -	\$ -	\$ 10,000
801.06--Valley MPO Coordination	\$ 35,000	\$ 35,000	\$ 35,000	\$ 35,000
801.07--Interregional Partnerships	\$ -	\$ -	\$ -	\$ -
801.09--SJCOG Inc	\$ -	\$ -	\$ -	\$ -
901.01--Measure K	\$ 35,000	\$ 35,000	\$ 65,000	\$ 65,000
901.02--RTIF	\$ -	\$ -	\$ 30,000	\$ 30,000
901.03--Smart Growth	\$ -	\$ -	\$ -	\$ -
1001.01--COG OWP	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000
1001.02--TDA Administration	\$ 175,000	\$ 195,000	\$ 246,451	\$ 246,451
1001.03--Community Involvement	\$ 17,500	\$ 17,500	\$ 17,500	\$ 17,500
1001.04--MAP-21 Management	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000
1101.01--Transportation Demand Management	\$ 125,000	\$ 35,000	\$ 35,000	\$ 35,000
1101.03--Transportation Demand Management	\$ -	\$ 90,000	\$ 90,000	\$ 90,000
1201.01--Freeway Service Patrol	\$ 1,268,100	\$ 1,360,753	\$ 1,360,753	\$ 1,360,753
Indirect	\$ 300,191	\$ 409,001	\$ 409,001	\$ 409,001
TOTAL	\$ 2,886,991	\$ 3,471,455	\$ 3,812,664	\$ 3,822,664

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PASS THROUGH

Fund Type	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1	FY 2014-15 Amend #2	FY 2014-15 Amend #3
Federal MAP-21 & State	75,559,795	75,692,000	75,692,000	75,692,000
Local Transportation Fund	\$ 23,884,980	\$ 23,884,980	\$ 23,884,980	\$ 23,884,980
State Transit Assistance Fund	5,354,966	5,354,966	5,354,966	5,354,966
Local Transportation Authority	52,241,909	52,241,909	52,241,909	52,241,909
Sales Tax Revenue Bonds	212,175,000	212,175,000	212,175,000	212,175,000
BANA Line of Credit	75,000,000	75,000,000	75,000,000	75,000,000
TOTAL	444,216,650	444,348,855	444,348,855	444,348,855