



**San Joaquin Council of Governments  
ANNUAL FINANCIAL PLAN  
Fiscal Year 2014/15**

**Adopted March 27, 2014  
Amendment #1 September 25, 2014**

**CHAIR**

Councilmember Steve Dresser, City of Lathrop

**VICE-CHAIR**

Mayor Anthony Silva, City of Stockton

**BOARD OF DIRECTORS**

Mayor Pro Tem Larry Hansen  
Mayor Pro Tem Steve DeBrum  
Mayor Chuck Winn  
Supervisor Ken Vogel  
Supervisor Carlos Villapudua  
Supervisor Steve Bestolarides  
Mayor Anthony Silva  
Councilmember Elbert Holman  
Councilmember Moses Zapien  
Mayor Brent Ives

City of Lodi  
City of Manteca  
City of Ripon  
County of San Joaquin  
County of San Joaquin  
County of San Joaquin  
City of Stockton  
City of Stockton  
City of Stockton  
City of Tracy

**EX OFFICIO DIRECTORS**

Ken Baxter  
Gary Giovanetti, Director  
Victor Mow, Commissioner

Caltrans District 10  
San Joaquin Regional Transit District  
Port of Stockton

**SUBMITTED BY:**

Andrew T. Chesley  
Executive Director

Steve Dial  
Deputy Executive Director/  
Chief Financial Officer

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REVENUES	FY 2013-14 Amend. #2	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1	+/- Change
Federal Grants	\$ 2,712,270	\$ 2,398,348	\$ 2,461,988	\$ 63,640
State Grants	\$ 2,175,050	\$ 1,753,700	\$ 2,238,503	\$ 484,803
Local	\$ 3,668,971	\$ 3,750,818	\$ 3,922,228	\$ 171,410
Interest	\$ 5,000	\$ 5,000	\$ 5,000	\$ -
Other	\$ 15,000	\$ 15,000	\$ 15,000	\$ -
<b>SJCOG OPERATING REVENUE</b>	<b>\$ 8,576,293</b>	<b>\$ 7,922,866</b>	<b>\$ 8,642,719</b>	<b>\$ 719,853</b>
<b>EXPENDITURES</b>				
Salaries & Benefits	\$ 3,682,482	\$ 3,803,775	\$ 3,873,164	\$ 69,390
Services & Supplies	\$ 1,091,600	\$ 1,091,600	\$ 1,127,600	\$ 36,000
				\$ -
Office Expense	\$ 255,800	\$ 255,800	\$ 265,800	\$ 10,000
Communications	\$ 60,000	\$ 60,000	\$ 60,000	\$ -
Memberships	\$ 42,000	\$ 42,000	\$ 42,000	\$ -
Maintenance - Equipment	\$ 13,000	\$ 13,000	\$ 13,000	\$ -
Rents & Leases - Equipment	\$ 210,000	\$ 210,000	\$ 226,800	\$ 16,800
Transportation, Travel & Training (In & Out of State)	\$ 110,000	\$ 110,000	\$ 110,000	\$ -
Publications & Legal Notices	\$ 27,000	\$ 27,000	\$ 27,000	\$ -
Insurance	\$ 103,000	\$ 103,000	\$ 103,000	\$ -
Building Maintenance	\$ 170,800	\$ 170,800	\$ 180,000	\$ 9,200
Debt Service	\$ 100,000	\$ 100,000	\$ 100,000	\$ -
				\$ -
Professional Services	\$ 3,641,711	\$ 2,886,991	\$ 3,471,455	\$ 584,464
				\$ -
Capital Outlay	\$ 160,500	\$ 140,500	\$ 170,500	\$ 30,000
				\$ -
Unallocated/Reserve				\$ -
<b>SJCOG OPERATING EXPENDITURES</b>	<b>\$ 8,576,293</b>	<b>\$ 7,922,866</b>	<b>\$ 8,642,719</b>	<b>\$ 719,853</b>
Excess (Deficit) Revenues	\$ 0	\$ 0	\$ -	
Over Expenditures (Operating)				

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REVENUE

Revenue Source	FY 2013-14 Amend. #2	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1	+/- Change
<b>FEDERAL GRANTS</b>				
<i>U.S. Department of Transportation:</i>				
Federal Highway Administration (PL)	\$ 1,225,073	\$ 1,199,197	\$ 1,199,197	\$ -
Federal Highway Administration (PL C/O)				\$ -
Federal Transit Administration MPO Planning	\$ 302,278	\$ 296,169	\$ 296,169	\$ -
Regional Surface Transportation Program (RSTP) CMP Update				\$ -
RSTP CMP Implementation (FY 13-14)	\$ 200,000	\$ 200,000	\$ -	\$ (200,000)
CMAQ TDM	\$ 522,982	\$ 125,000	\$ -	\$ (125,000)
CMAQ TDM	\$ -	\$ 522,982	\$ 961,190	\$ 438,208
Federal Earmark Redistribution (DEM06UBL 6088-050)	\$ 436,536	\$ 50,000	\$ -	\$ (50,000)
FTA 5304 Transit Internship Grant (SJRTD) FY 11/12	\$ 4,154	\$ -	\$ -	\$ -
FTA 5304 Transit Internship Grant (SJRTD) FY 12/13	\$ 21,247	\$ 5,000	\$ 5,432	\$ 432
Federal Pass-Through Administered By COG	\$ 75,559,795	\$ 75,559,795	\$ 75,692,000	\$ 132,205
<b>SUBTOTAL</b>	<b>\$ 78,272,065</b>	<b>\$ 77,958,143</b>	<b>\$ 78,153,988</b>	<b>\$ 195,845</b>
<b>STATE GRANTS</b>				
<i>California Department of Transportation:</i>				
SB-45 STIP Planning & Programming 12/13	\$ -	\$ -	\$ -	\$ -
SB-45 STIP Planning & Programming 13/14	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
Freeway Service Patrol 11/12	\$ 205,062	\$ -	\$ -	\$ -
Freeway Service Patrol 12/13	\$ 497,653	\$ 200,000	\$ 475,961	\$ 275,961
Freeway Service Patrol 13/14	\$ -	\$ 474,171	\$ 474,171	\$ -
Construction Freeway Service Patrol	\$ 1,000,000	\$ 650,000	\$ 650,000	\$ -
Caltrans TDM Partnership Planning Grant	\$ 147,807	\$ 125,000	\$ 69,209	\$ (55,791)
Caltrans SJ Valley Goods Movement Partnership Planning Grant	\$ -	\$ -	\$ 300,000	\$ 300,000
Caltrans State Public Transportation Account	\$ 18,000	\$ 18,000	\$ -	\$ (18,000)
SJVAPCD Electric Vehicle Grant	\$ 20,000	\$ -	\$ -	\$ -
State Transit Assistance	\$ 86,529	\$ 86,529	\$ 69,162	\$ (17,367)
State Transit Assistance Pass Through Administered By COG	\$ 5,354,966	\$ 5,354,966	\$ 5,354,966	\$ -
<b>SUBTOTAL</b>	<b>\$ 7,530,016</b>	<b>\$ 7,108,666</b>	<b>\$ 7,593,469</b>	<b>\$ 484,803</b>
<b>LOCAL</b>				
TDA (LTF Planning + TDA Administration)	\$ 907,428	\$ 942,000	\$ 941,549	\$ (451)
TDA (LTF ALUC Special Assessment)	\$ -	\$ -	\$ 180,000	\$ 180,000
Measure K Project Management	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ -
Measure K Administration	\$ 490,000	\$ 505,000	\$ 505,000	\$ -
RTIF	\$ 15,000	\$ 15,000	\$ 15,000	\$ -
Valley MPOs Air Quality Planning	\$ 149,818	\$ 149,818	\$ 149,818	\$ -
Fresno Prop 84	\$ 4,850	\$ -	\$ -	\$ -
TDM - Merced CAG CMAQ	\$ -	\$ -	\$ -	\$ -
TDM - Merced CAG CMAQ (FY 13/14)	\$ 50,000	\$ 100,000	\$ 75,000	\$ (25,000)
TDM - StanCOG CMAQ	\$ 190,000	\$ 190,000	\$ 195,000	\$ 5,000
StanCOG-SB 375 Modeling	\$ 60,000	\$ 60,000	\$ 60,000	\$ -
Calaveras COG: RTPA Technical Support	\$ 30,000	\$ 30,000	\$ 30,000	\$ -
City of Manteca: SR 99 Project Management Support	\$ 52,875	\$ 40,000	\$ 51,861	\$ 11,861
SAFE	\$ 128,000	\$ 128,000	\$ 128,000	\$ -
COG Fees	\$ 30,000	\$ 30,000	\$ 30,000	\$ -
SJCOGI (formerly Habitat Funds)	\$ 561,000	\$ 561,000	\$ 561,000	\$ -
Measure K Pass-Through Administered By COG	\$ 49,754,199	\$ 52,241,909	\$ 52,241,909	\$ (0)
Local Transportation Fund Pass-Through Administered By COG	\$ 22,747,600	\$ 23,884,980	\$ 23,884,980	\$ -
<b>SUBTOTAL</b>	<b>\$ 76,170,770</b>	<b>\$ 79,877,707</b>	<b>\$ 80,049,117</b>	<b>\$ 171,410</b>
<b>OTHER</b>				
Sales Tax Line of Credit and 2011 Bond Issuance	\$ 287,175,000	\$ 287,175,000	\$ 287,175,000	\$ -
Interest	\$ 5,000	\$ 5,000	\$ 5,000	\$ -
Other (ALUC Fees+doc fees)	\$ 15,000	\$ 15,000	\$ 15,000	\$ -
<b>SUBTOTAL</b>	<b>\$ 287,195,000</b>	<b>\$ 287,195,000</b>	<b>\$ 287,195,000</b>	<b>\$ -</b>
<b>TOTAL</b>	<b>\$ 449,167,852</b>	<b>\$ 452,139,516</b>	<b>\$ 452,991,574</b>	<b>\$ 852,057</b>

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**SERVICE AND SUPPLIES**

Title	Line Item Description	FY 2013-14 Amend. #2	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1
Office Expense - General	General Supplies	\$ 75,000	\$ 75,000	\$ 80,000
	Recognitions	\$ 10,000	\$ 10,000	\$ 10,000
	Printing	\$ 25,000	\$ 25,000	\$ 25,000
	Noncapital Equip/Furniture	\$ 5,000	\$ 5,000	\$ 10,000
	Computer Software	\$ 100,000	\$ 100,000	\$ 100,000
Office Expense - General Subtotal		\$ 215,000	\$ 215,000	\$ 225,000
Office Expense - Postage Subtotal		\$ 36,800	\$ 36,800	\$ 36,800
Office Expense - Subscriptions Subtotal		\$ 4,000	\$ 4,000	\$ 4,000
Office Expense - Subtotal		\$ 255,800	\$ 255,800	\$ 265,800
Communications-Subtotal		\$ 60,000	\$ 60,000	\$ 60,000
Memberships - Subtotal		\$ 42,000	\$ 42,000	\$ 42,000
Maintenance - Equipment - Subtotal		\$ 13,000	\$ 13,000	\$ 13,000
Rents & Leases - Equipment - Subtotal		\$ 210,000	\$ 210,000	\$ 226,800
Publications & Legal Notices - Subtotal		\$ 27,000	\$ 27,000	\$ 27,000
Insurances - Subtotal		\$ 92,000	\$ 103,000	\$ 103,000
Building Maintenance - Subtotal		\$ 170,800	\$ 170,800	\$ 180,000
Interest Building Debt Service - Subtotal		\$ 100,000	\$ 100,000	\$ 100,000
<b>TOTAL SERVICES &amp; SUPPLIES</b>		<b>\$ 970,600</b>	<b>\$ 981,600</b>	<b>\$ 1,017,600</b>

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	<b>FY 2013-14</b>	<b>FY 2014-15</b>	<b>FY 2014-15</b>
	<b>Amend. #2</b>	<b>Adopted 3/27/14</b>	<b>Amend #1</b>
In and Out of State Travel	\$ 77,500	\$ 77,500	\$ 77,500
Training	\$ 31,500	\$ 31,500	\$ 31,500
Rideshare Incentive	\$ 1,000	\$ 1,000	\$ 1,000
<b>Transportation &amp; Travel - Subtotal</b>	<b>\$ 110,000</b>	<b>\$ 110,000</b>	<b>\$ 110,000</b>

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**PROFESSIONAL SERVICES**

<i>Work Element No./Project Description</i>	FY 2013-14 Amend. #2	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1
601.01--Regional Transportation Plan	\$ 450,000	\$ 50,000	\$ 50,000
601.02--Regional Planning Studies	\$ 150,000	\$ 50,000	\$ 25,000
602.01--RTIP		\$ -	\$ 25,000
603.01--Road & Street Monitoring	\$ 75,000	\$ 75,000	\$ 75,000
603.02--Transit Coordination	\$ 50,000	\$ 50,000	\$ 50,000
603.03--Transportation Air Quality	\$ 283,833	\$ 275,200	\$ 275,200
603.04--Goods Movement	\$ -	\$ -	\$ -
603.041 --Goods Movement Partnership Planning Grant	\$ -	\$ -	\$ 300,000
701.01--Technical Assistance	\$ 72,900	\$ 50,000	\$ 50,000
801.01--Intergovernmental Coordination	\$ 94,000	\$ 94,000	\$ 94,000
801.02--Projections & Forecasts	\$ 55,000	\$ 55,000	\$ 70,000
801.03--Airport Land Use Commission	\$ 25,000	\$ 117,000	\$ 180,000
801.04--Congestion Management	\$ 150,000	\$ 100,000	\$ 85,000
801.05--Regional Planning	\$ -	\$ -	\$ -
801.06--Valley MPO Coordination	\$ 35,000	\$ 35,000	\$ 35,000
801.07--Interregional Partnerships	\$ -	\$ -	\$ -
801.09--SJCOG Inc	\$ 25,000	\$ -	\$ -
901.01--Measure K	\$ 75,000	\$ 35,000	\$ 35,000
901.02--RTIF	\$ -	\$ -	\$ -
901.03--Smart Growth	\$ -	\$ -	\$ -
1001.01--COG OWP	\$ 5,000	\$ 5,000	\$ 5,000
1001.02--TDA Administration	\$ 175,000	\$ 175,000	\$ 195,000
1001.03--Community Involvement	\$ 18,958	\$ 17,500	\$ 17,500
1001.04--MAP-21 Management	\$ 10,000	\$ 10,000	\$ 10,000
1101.01--Transportation Demand Management	\$ 200,000	\$ 125,000	\$ 35,000
1101.03--Transportation Demand Management	\$ -	\$ -	\$ 90,000
1201.01--Freeway Service Patrol	\$ 1,268,100	\$ 1,268,100	\$ 1,360,753
Indirect	\$ 423,920	\$ 300,191	\$ 409,001
<b>TOTAL</b>	<b>\$ 3,641,711</b>	<b>\$ 2,886,991</b>	<b>\$ 3,471,455</b>

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**FIXED ASSETS**

<i>Title</i>	<i>Description</i>	FY 2013-14 Amend. #2	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1
	Office Furniture/Equipment	\$ 25,000	\$ 25,000	\$ 25,000
	Replacement Printers (2)	\$ 5,500	\$ 5,500	\$ 5,500
	Server Upgrade	\$ 30,000	\$ 30,000	\$ 30,000
	Air District Car	\$ 20,000	\$ -	\$ -
	Computer Upgrades	\$ 20,000	\$ 20,000	\$ 20,000
	Capitalized Building Maintenance	\$ 60,000	\$ 60,000	\$ 90,000
<b>TOTAL</b>		<b>\$ 160,500</b>	<b>\$ 140,500</b>	<b>\$ 170,500</b>



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**PASS THROUGH**

<b>Fund Type</b>	FY 2013-14 Amend. #2	FY 2014-15 Adopted 3/27/14	FY 2014-15 Amend #1
Federal MAP-21 & State	75,559,795	75,559,795	75,692,000
Local Transportation Fund	\$ 22,747,600	\$ 23,884,980	\$ 23,884,980
State Transit Assistance Fund	5,354,966	5,354,966	5,354,966
Local Transportation Authority	49,754,199	52,241,909	52,241,909
Sales Tax Revenue Bonds	212,175,000	212,175,000	212,175,000
BANA Line of Credit	75,000,000	75,000,000	75,000,000
<b>TOTAL</b>	<b>440,591,560</b>	<b>444,216,650</b>	<b>444,348,855</b>