



**San Joaquin Council of Governments  
ANNUAL FINANCIAL PLAN  
Fiscal Year 2013/14**

**Proposed March 28, 2013**

**CHAIR**

Supervisor Ken Vogel, County of San Joaquin

**VICE-CHAIR**

Councilmember Jeff Laugero, City of Escalon

**BOARD OF DIRECTORS**

Councilman Steve Dresser	City of Lathrop
Councilmember Larry Hansen	City of Lodi
Councilmember Steve DeBrum	City of Manteca
Vice Mayor Chuck Winn	City of Ripon
Supervisor Carlos Villapudua	County of San Joaquin
Supervisor Steve Bestolarides	County of San Joaquin
Mayor Anthony Silva	City of Stockton
Councilmember Elbert Holman	City of Stockton
Councilmember Moses Zapien	City of Stockton
Mayor Brent Ives	City of Tracy

**EX OFFICIO DIRECTORS**

Carrie Bowen, District Director	Caltrans District 10
Gary Giovanetti, Director	San Joaquin Regional Transit District
Victor Mow, Commissioner	Port of Stockton

**SUBMITTED BY:**

Andrew T. Chesley  
Executive Director

Steve Dial  
Deputy Executive Director/  
Chief Financial Officer

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REVENUES	FY 2011-12 Actual	FY 2012-13 Amend.#2	FY 2013-14 Proposed	+/- Change
Federal Grants	\$ 2,289,790	\$ 2,583,846	\$ 2,396,700	\$ (187,146)
State Grants	\$ 711,013	\$ 1,750,995	\$ 1,428,732	\$ (322,263)
Local	\$ 3,176,929	\$ 3,601,935	\$ 3,501,070	\$ (100,865)
Interest	\$ 5,929	\$ 5,000	\$ 5,000	\$ -
Other	\$ 30,543	\$ 15,000	\$ 15,000	\$ -
<b>SJCOG OPERATING REVENUE</b>	<b>\$ 6,214,204</b>	<b>\$ 7,956,776</b>	<b>\$ 7,346,502</b>	<b>\$ (610,274)</b>
<b>EXPENDITURES</b>				
Salaries & Benefits	\$ 3,550,834	\$ 3,631,164	\$ 3,682,482	\$ 51,318
Services & Supplies	\$ 772,852	\$ 1,070,600	\$ 1,070,600	\$ -
Office Expense	\$ 153,123	\$ 255,800	\$ 255,800	\$ -
Communications	\$ 53,229	\$ 60,000	\$ 60,000	\$ -
Memberships	\$ 31,407	\$ 42,000	\$ 42,000	\$ -
Maintenance - Equipment	\$ 3,981	\$ 13,000	\$ 13,000	\$ -
Rents & Leases - Equipment	\$ 118,762	\$ 210,000	\$ 210,000	\$ -
Transportation, Travel & Training (In & Out of State)	\$ 96,324	\$ 110,000	\$ 110,000	\$ -
Publications & Legal Notices	\$ 4,763	\$ 27,000	\$ 27,000	\$ -
Insurance	\$ 85,227	\$ 92,000	\$ 92,000	\$ -
Building Maintenance	\$ 158,513	\$ 160,800	\$ 160,800	\$ -
Debt Service	\$ 67,523	\$ 100,000	\$ 100,000	\$ -
Professional Services	\$ 1,767,860	\$ 3,094,512	\$ 2,452,920	\$ (641,592)
Capital Outlay	\$ 74,373	\$ 160,500	\$ 140,500	\$ (20,000)
Unallocated/Reserve	\$ -			0
<b>SJCOG OPERATING EXPENDITURES</b>	<b>\$ 6,165,919</b>	<b>\$ 7,956,776</b>	<b>\$ 7,346,502</b>	<b>\$ (610,274)</b>
Excess (Deficit) Revenues	\$ 48,285	\$ (0)		
Over Expenditures (Operating)				

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REVENUE				
Revenue Source	FY 2011-12 Actual	FY 2012-13 Amend.#2	FY 2013-14 Proposed	+/- Change
<b>FEDERAL GRANTS</b>				
<i>U.S. Department of Transportation:</i>				
Federal Transit Administration MPO Planning	\$ 271,510	\$ 292,846	\$ 265,460	\$ (27,386)
Federal Transit Administration MPO Planning Toll Credits				\$ -
Federal Highway Administration (PL)	\$ 1,208,862	\$ 1,154,608	\$ 1,053,258	\$ (101,350)
Federal Highway Administration (PL) Toll Credits	\$ -			\$ -
Federal Highway Administration (PL C/O)	\$ -			\$ -
Regional Surface Transportation Program (RSTP) c/o	\$ -			\$ -
Regional Surface Transportation Program (RSTP) CMP Update	\$ 129,813	\$ 99,622		\$ (99,622)
RSTP CMP Implementation (FY 13-14)			\$ 200,000	\$ 200,000
CMAQ 6088-016	\$ -			\$ -
CMAQ 6088-038	\$ 157,825			\$ -
CMAQ 6088-041	\$ 387,312	\$ 272,938	\$ -	\$ (272,938)
CMAQ TDM	\$ -	\$ -	\$ 522,982	\$ 522,982
Federal Earmark Redistribution(DEM06UBL 6088-050)	\$ -	\$ 595,000	\$ 350,000	\$ (245,000)
FTA 5304 Internship Grant	\$ -	\$ 7,257		\$ (7,257)
FTA 5304 Transit Internship Grant (SJRTD) FY 10/11		\$ -		\$ -
FTA 5304 Transit Internship Grant (SJRTD) FY 11/12	\$ 29,100	\$ 20,328	\$ -	\$ (20,328)
FTA 5304 Transit Internship Grant (SJRTD) FY 12/13	\$ -	\$ 21,247	\$ 5,000	\$ (16,247)
FTA 5304 Regional MM Trip Planning Grant	\$ -	\$ 120,000	\$ -	\$ (120,000)
SP&R -Freight Movement Study	\$ 4,909	\$ -		\$ -
FTA 5316 JARC 648679	\$ 2,575			\$ -
FTA 5316 JARC 648628	\$ 97,884	\$ -		\$ -
Federal Pass-Through Administered By COG	\$ 119,553,000	\$ 75,559,795	\$ 75,559,795	\$ -
<b>SUBTOTAL</b>	<b>\$ 121,842,790</b>	<b>\$ 78,143,641</b>	<b>\$ 77,956,495</b>	<b>\$ (187,146)</b>
<b>STATE GRANTS</b>				
<i>California Department of Transportation:</i>				
SB-45 STIP Planning & Programming 09/10 c/o	\$ -			\$ -
SB-45 STIP Planning & Programming 10/11	\$ -			\$ -
SB-45 STIP Planning & Programming 11/12	\$ 205,000	\$ 205,000		\$ (205,000)
SB-45 STIP Planning & Programming 12/13	\$ -	\$ -	\$ 205,000	\$ 205,000
Caltrans SP&R - Smart Growth Plan	\$ 209,155			\$ -
SJ Valley Blueprint 09/10 4th year funding	\$ -			\$ -
Freeway Service Patrol 10/11	\$ -			\$ -
Freeway Service Patrol 11/12	\$ 218,774	\$ 421,178		\$ (421,178)
Freeway Service Patrol 12/13	\$ -	\$ 497,653	\$ 497,653	\$ -
Construction Freeway Service Patrol	\$ -	\$ 487,635	\$ 487,635	\$ -
Caltrans - Interregional Partnership c/o	\$ 4,909			\$ -
Caltrans TDM Partnership Planning Grant	\$ -	\$ 15,000	\$ 133,915	\$ 118,915
Caltrans State Public Transportation Account	\$ -	\$ 18,000	\$ 18,000	\$ -
SJVAPCD Electric Vehicle Grant	\$ -	\$ 20,000	\$ -	\$ (20,000)
State Transit Assistance	\$ 73,175	\$ 86,529	\$ 86,529	\$ -
State Transit Assistance Pass Through Administered By COG	\$ 4,600,495	\$ 5,354,966	\$ 5,354,966	\$ -
<b>SUBTOTAL</b>	<b>\$ 5,311,508</b>	<b>\$ 7,105,961</b>	<b>\$ 6,783,698</b>	<b>\$ (322,263)</b>
<b>LOCAL</b>				
TDA (LTF Planning + TDA Administration)	\$ 925,816	\$ 899,930	\$ 907,428	\$ 7,498
LTF ALUP Update Year 4 Legal	\$ -			\$ -
Measure K Project Management	\$ 1,203,635	\$ 1,000,000	\$ 1,000,000	\$ -
Measure K Administration	\$ 171,515	\$ 448,350	\$ 490,000	\$ 41,650
RTIF	\$ 60,565	\$ 15,000	\$ 15,000	\$ -
Valley MPOs Air Quality Planning	\$ 190,061	\$ 170,000	\$ 124,642	\$ (45,358)
Tuolumne County Rideshare Software Agreement	\$ 12,000	\$ 4,000	\$ -	\$ (4,000)
TDM - Merced CAG CMAQ	\$ 36,867	\$ 60,555		\$ (60,555)
TDM - Merced CAG CMAQ (FY 13/14)	\$ -	\$ -	\$ 50,000	\$ 50,000
TDM - StanCOG CMAQ	\$ 152,978	\$ 155,000	\$ 155,000	\$ -
StanCOG-SB 375 Modeling	\$ -	\$ 60,000	\$ 30,000	\$ (30,000)
Calaveras COG: RTPA Technical Support	\$ -	\$ 10,000	\$ 10,000	\$ -
City of Manteca: SR 99 Project Management Support	\$ -	\$ 60,100		\$ (60,100)
SAFE	\$ 79,602	\$ 128,000	\$ 128,000	\$ -
COG Fees	\$ 31,717	\$ 30,000	\$ 30,000	\$ -
SJCOGI (formerly Habitat Funds)	\$ 292,690	\$ 561,000	\$ 561,000	\$ -
Surland Settlement	\$ 30,542	\$ -		\$ -
Measure K Pass-Through Administered By COG	\$ 43,374,926	\$ 43,328,670	\$ 49,754,199	\$ 6,425,529
Local Transportation Fund Pass-Through Administered By COG	\$ 22,056,739	\$ 21,014,405	\$ 22,747,600	\$ 1,733,195
<b>SUBTOTAL</b>	<b>\$ 68,619,653</b>	<b>\$ 67,945,010</b>	<b>\$ 76,002,869</b>	<b>\$ 8,057,859</b>
<b>OTHER</b>				
Sales Tax Commercial Paper & BANS	\$ 287,175,000	\$ 287,175,000	\$ 287,175,000	\$ -
Interest	\$ 5,929	\$ 5,000	\$ 5,000	\$ -
Other (ALUC Fees+doc fees)	\$ 30,543	\$ 15,000	\$ 15,000	\$ -
<b>SUBTOTAL</b>	<b>\$ 287,211,472</b>	<b>\$ 287,195,000</b>	<b>\$ 287,195,000</b>	<b>\$ -</b>
<b>TOTAL</b>	<b>\$ 482,985,423</b>	<b>\$ 440,389,612</b>	<b>\$ 447,938,062</b>	<b>\$ 7,548,450</b>

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**SERVICE AND SUPPLIES**

Title	Line Item Description	FY 2011-12 Actual	FY 2012-13 Amend.#2	FY 2013-14 Proposed
Office Expense - General	General Supplies	\$ 74,424	\$ 75,000	\$ 75,000
	Recognitions	\$ -	\$ 10,000	\$ 10,000
	Printing	\$ 1,054	\$ 25,000	\$ 25,000
	Noncapital Equip/Furniture	\$ 13,442	\$ 5,000	\$ 5,000
	Computer Software	\$ 46,768	\$ 100,000	\$ 100,000
<b>Office Expense - General Subtotal</b>		<b>\$ 135,687</b>	<b>\$ 215,000</b>	<b>\$ 215,000</b>
<b>Office Expense - Postage Subtotal</b>		<b>\$ 6,187</b>	<b>\$ 36,800</b>	<b>\$ 36,800</b>
<b>Office Expense - Subscriptions Subtotal</b>		<b>\$ 2,250</b>	<b>\$ 4,000</b>	<b>\$ 4,000</b>
<b>Office Expense - Subtotal</b>		<b>\$ 144,124</b>	<b>\$ 255,800</b>	<b>\$ 255,800</b>
<b>Communications-Subtotal</b>		<b>\$ 53,229</b>	<b>\$ 60,000</b>	<b>\$ 60,000</b>
<b>Memberships - Subtotal</b>		<b>\$ 31,407</b>	<b>\$ 42,000</b>	<b>\$ 42,000</b>
<b>Maintenance - Equipment - Subtotal</b>		<b>\$ 3,981</b>	<b>\$ 13,000</b>	<b>\$ 13,000</b>
<b>Rents &amp; Leases - Equipment - Subtotal</b>		<b>\$ 150,138</b>	<b>\$ 210,000</b>	<b>\$ 210,000</b>
<b>Publications &amp; Legal Notices - Subtotal</b>		<b>\$ 4,763</b>	<b>\$ 27,000</b>	<b>\$ 27,000</b>
<b>Insurances - Subtotal</b>		<b>\$ 85,227</b>	<b>\$ 92,000</b>	<b>\$ 92,000</b>
<b>Building Maintenance - Subtotal</b>		<b>\$ 145,879</b>	<b>\$ 160,800</b>	<b>\$ 160,800</b>
<b>Rents - Structures &amp; Grounds - Subtotal</b>		<b>\$ 48,781</b>	<b>\$ 100,000</b>	<b>\$ 100,000</b>
<b>TOTAL SERVICES &amp; SUPPLIES</b>		<b>\$ 811,652</b>	<b>\$ 1,216,400</b>	<b>\$ 1,216,400</b>

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	<b>FY 2011-12</b>	<b>FY 2012-13</b>	<b>FY 2013-14</b>
	<b>Actual</b>	<b>Amend.#2</b>	<b>Proposed</b>
In and Out of State Travel	\$ 53,593	\$ 77,500	\$ 77,500
Training	\$ 42,731	\$ 31,500	\$ 31,500
Rideshare Incentive	\$ 900	\$ 1,000	\$ 1,000
<b>Transportation &amp; Travel - Subtotal</b>	<b>\$ 97,224</b>	<b>\$ 110,000</b>	<b>\$ 110,000</b>

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**PROFESSIONAL SERVICES**

<b>Work Element No./Project Description</b>	<b>FY 2011-12 Actual</b>	<b>FY 2012-13 Amend.#2</b>	<b>FY 2013-14 Proposed</b>
601.01--Regional Transportation Plan	\$ 98,751	\$ 165,000	\$ 165,000
601.02--Regional Planning Studies	\$ -	\$ 194,490	\$ 150,000
602.01--RTIP	\$ -	\$ -	\$ -
603.01--Road & Street Monitoring	\$ -	\$ 50,000	\$ 75,000
603.02--Transit Coordination	\$ 19,655	\$ 135,500	\$ 50,000
603.03--Transportation Air Quality	\$ 195,931	\$ 200,000	\$ 250,000
603.04--Goods Movement	\$ 26,069	\$ -	\$ -
701.01--Technical Assistance	\$ -	\$ -	\$ -
801.01--Intergovernmental Coordination	\$ -	\$ 94,000	\$ 94,000
801.02--Projections & Forecasts	\$ 54,877	\$ 54,205	\$ 55,000
801.03--Airport Land Use Commission	\$ 32,802	\$ 50,000	\$ 25,000
801.04--Congestion Management	\$ 129,852	\$ 164,640	\$ 150,000
801.05--Regional Planning	\$ -	\$ -	\$ -
801.06--Valley MPO Coordination	\$ 18,073	\$ 53,000	\$ 35,000
801.07--Interregional Partnerships	\$ 15,396	\$ -	\$ -
801.08--SJ Valley Blueprint	\$ -	\$ -	\$ -
801.09--SJCOG Inc	\$ -	\$ -	\$ 25,000
901.01--Measure K	\$ 91,795	\$ 75,000	\$ 75,000
901.02--RTIF	\$ 51,111	\$ 65,000	\$ -
901.03--Smart Growth	\$ 209,793	\$ 120,000	\$ -
1001.01--COG OWP	\$ -	\$ 5,000	\$ 5,000
1001.02--TDA Administration	\$ 187,765	\$ 188,000	\$ 175,000
1001.03--Community Involvement	\$ 4,369	\$ 50,000	\$ 10,000
1001.04--MAP-21 Management	\$ -	\$ 75,000	\$ 10,000
1101.01--Transportation Demand Management	\$ 183,551	\$ 400,000	\$ 150,000
1201.01--Freeway Service Patrol	\$ 259,731	\$ 550,000	\$ 550,000
Indirect	\$ 90,752	\$ 405,677	\$ 403,920
<b>TOTAL</b>	<b>\$ 1,670,273</b>	<b>\$ 3,094,512</b>	<b>\$ 2,452,920</b>

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**FIXED ASSETS**

<i><b>Title</b></i>	<i><b>Description</b></i>	FY 2011-12 Actual	FY 2012-13 Amend.#2	FY 2013-14 Proposed
	Office Furniture/Equipment		\$ 25,000	\$ 25,000
	Replacement Printers (2)		\$ 5,500	\$ 5,500
	Server Upgrade		\$ 30,000	\$ 30,000
	Air District Car		\$ 20,000	
	Computer Upgrades		\$ 20,000	\$ 20,000
	Capitalized Building Maintenance		\$ 60,000	\$ 60,000
<b>TOTAL</b>		<b>\$ 39,783</b>	<b>\$ 160,500</b>	<b>\$ 140,500</b>

**San Joaquin Council of Governments  
STAFF ALLOCATION**

**Proposed March 28, 2013**

<u>Position</u>	<b>Amended</b>						<b>2013-14</b>
	<b>2007-08</b>	<b>2008-09</b>	<b>2009-10</b>	<b>2010-11</b>	<b>2011-12</b>	<b>2012-13</b>	
Executive Director	1	1	1	1	1	1	1
Deputy Executive Director/Chief Financial Officer	1	1	1	1	1	1	1
Deputy Director	1	1	1	1	1	1	1
Project Manager	1	1	1	1	1	1	1
Public Communications Officer	0	0	1	1	1	1	1
Senior Regional Planner	7	7	6	6	6	6	6
Chief Accountant	1	1	1	1	1	1	1
Associate Regional Transportation Planner	9	9	7	7	8	8	8
Regional Transportation Planner	0	0	1	2	1	1	1
Planner Technician	2	2	2	1	1	2	2
Manager of Administrative Services	1	1	1	1	1	1	1
Information Services Manager	1	1	1	1	1	1	1
Information Technology Support Technician	1	1	1	1	1	1	1
Office Services Supervisor	1	1	1	1	1	1	1
Office Assistant I/II	2	2	3	3	3	2	2
Staff Auditor	0	0	0	0	0	1	1
Financial Assistant I/II	3	3	3	3	3	2	2
Building Maintenance	1	1	1	1	1	1	1
<b>TOTAL</b>	<b>33</b>						
Regular Positions:	33	33	33	33	33	33	33
Temporary/Part-Time Positions:	1	1	1	1	1	1	1

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**PASS THROUGH**

<b>Fund Type</b>	FY 2012-13 Amend.#2	FY 2013-14 Proposed
Federal MAP-21 & State	75,559,795	75,559,795
Local Transportation Fund	21,014,405	\$ 22,747,600
State Transit Assistance Fund	5,354,966	5,354,966
Local Transportation Authority	43,328,670	49,754,199
Sales Tax Revenue Bonds	212,175,000	212,175,000
BANA Line of Credit	75,000,000	75,000,000
<b>TOTAL</b>	<b>432,432,836</b>	<b>440,591,560</b>