



**San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2012/13 Amendment #2**

Proposed March 28, 2013

CHAIR

Supervisor Ken Vogel, County of San Joaquin

VICE-CHAIR

Councilmember Jeff Laugero, City of Escalon

BOARD OF DIRECTORS

Councilman Steve Dresser
Councilmember Larry Hansen
Councilmember Steve DeBrum
Vice Mayor Chuck Winn
Supervisor Carlos Villapudua
Supervisor Steve Bestolarides
Mayor Anthony Silva
Councilmember Elbert Holman
Councilmember Moses Zapien
Mayor Brent Ives

City of Lathrop
City of Lodi
City of Manteca
City of Ripon
County of San Joaquin
County of San Joaquin
City of Stockton
City of Stockton
City of Stockton
City of Tracy

EX OFFICIO DIRECTORS

Carrie Bowen, District Director
Gary Giovanetti, Director
Victor Mow, Commissioner

Caltrans District 10
San Joaquin Regional Transit District
Port of Stockton

SUBMITTED BY:

Andrew T. Chesley
Executive Director

Steve Dial
Deputy Executive Director/
Chief Financial Officer

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REVENUES	FY 2011-12 Actual	FY 2012-13 Amend.#1	FY 2012-13 Amend.#2	+/- Change
Federal Grants	\$ 2,289,790	\$ 2,570,116	\$ 2,583,846	\$ 13,730
State Grants	\$ 711,013	\$ 1,712,995	\$ 1,750,995	\$ 38,000
Local	\$ 3,176,929	\$ 3,471,025	\$ 3,601,935	\$ 130,910
Interest	\$ 5,929	\$ 5,000	\$ 5,000	\$ -
Other	\$ 30,543	\$ 15,000	\$ 15,000	\$ -
SJCOG OPERATING REVENUE	\$ 6,214,204	\$ 7,774,136	\$ 7,956,776	\$ 182,640
Federal Pass-Through	\$ 119,553,000	\$ 75,559,795	\$ 75,559,795	\$ -
State Pass-Through	\$ 4,600,495	\$ 5,354,966	\$ 7,105,961	\$ 1,750,995
Local Pass-Through	\$ 65,431,665	\$ 64,343,075	\$ 64,343,075	\$ -
Commercial Paper & BANS	\$ 287,175,000	\$ 287,175,000	\$ 287,175,000	\$ -
TOTAL SJCOG REVENUE	\$ 482,974,364	\$ 432,432,836	\$ 434,183,831	\$ 1,750,995
EXPENDITURES				
Salaries & Benefits	\$ 3,550,834	\$ 3,631,164	\$ 3,631,164	\$ -
Services & Supplies	\$ 772,852	\$ 1,070,600	\$ 1,070,600	\$ -
Office Expense	\$ 153,123	\$ 255,800	\$ 255,800	\$ -
Communications	\$ 53,229	\$ 60,000	\$ 60,000	\$ -
Memberships	\$ 31,407	\$ 42,000	\$ 42,000	\$ -
Maintenance - Equipment	\$ 3,981	\$ 13,000	\$ 13,000	\$ -
Rents & Leases - Equipment	\$ 118,762	\$ 210,000	\$ 210,000	\$ -
Transportation, Travel & Training (In & Out of State)	\$ 96,324	\$ 110,000	\$ 110,000	\$ -
Publications & Legal Notices	\$ 4,763	\$ 27,000	\$ 27,000	\$ -
Insurance	\$ 85,227	\$ 92,000	\$ 92,000	\$ -
Building Maintenance	\$ 158,513	\$ 160,800	\$ 160,800	\$ -
Debt Service	\$ 67,523	\$ 100,000	\$ 100,000	\$ -
Professional Services	\$ 1,767,860	\$ 2,931,872	\$ 3,094,512	\$ 162,640
Capital Outlay	\$ 74,373	\$ 140,500	\$ 160,500	\$ 20,000
Unallocated/Reserve	\$ -			\$ -
SJCOG OPERATING EXPENDITURES	\$ 6,165,919	\$ 7,774,136	\$ 7,956,776	\$ 182,640
Excess (Deficit) Revenues Over Expenditures (Operating)	\$ 48,285		\$ (0)	

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REVENUE

Revenue Source	FY 2011-12 Actual	FY 2012-13 Amend.#1	FY 2012-13 Amend.#2	+/- Change
FEDERAL GRANTS				
<i>U.S. Department of Transportation:</i>				
Federal Transit Administration MPO Planning	\$ 271,510	\$ 292,846	\$ 292,846	\$ -
Federal Transit Administration MPO Planning Toll Credits				\$ -
Federal Highway Administration (PL)	\$ 1,208,862	\$ 1,154,608	\$ 1,154,608	\$ -
Federal Highway Administration (PL) Toll Credits	\$ -			\$ -
Federal Highway Administration (PL C/O)	\$ -			\$ -
Regional Surface Transportation Program (RSTP) c/o	\$ -			\$ -
Regional Surface Transportation Program (RSTP) CMP Update	\$ 129,813	\$ 85,619	\$ 99,622	\$ 14,003
RSTP CMP Implementation (FY 13-14)				\$ -
CMAQ 6088-016	\$ -			\$ -
CMAQ 6088-038	\$ 157,825			\$ -
CMAQ 6088-041	\$ 387,312	\$ 272,938	\$ 272,938	\$ -
CMAQ TDM	\$ -	\$ -	\$ -	\$ -
Federal Earmark Redistribution(DEM06UBL 6088-050)	\$ -	\$ 595,000	\$ 595,000	\$ -
FTA 5304 Internship Grant	\$ -	\$ 7,257	\$ 7,257	\$ -
FTA 5304 Transit Internship Grant (SJRTD) FY 10/11		\$ -	\$ -	\$ -
FTA 5304 Transit Internship Grant (SJRTD) FY 11/12	\$ 29,100	\$ 20,328	\$ 20,328	\$ -
FTA 5304 Transit Internship Grant (SJRTD) FY 12/13	\$ -	\$ 21,520	\$ 21,247	\$ (273)
FTA 5304 Regional MM Trip Planning Grant	\$ -	\$ 120,000	\$ 120,000	\$ -
SP&R -Freight Movement Study	\$ 4,909	\$ -	\$ -	\$ -
FTA 5316 JARC 648679	\$ 2,575			\$ -
FTA 5316 JARC 648628	\$ 97,884	\$ -	\$ -	\$ -
Federal Pass-Through Administered By COG	\$ 119,553,000	\$ 75,559,795	\$ 75,559,795	\$ -
SUBTOTAL	\$ 121,842,790	\$ 78,129,911	\$ 78,143,641	\$ 13,730
STATE GRANTS				
<i>California Department of Transportation:</i>				
SB-45 STIP Planning & Programming 09/10 c/o	\$ -			\$ -
SB-45 STIP Planning & Programming 10/11	\$ -			\$ -
SB-45 STIP Planning & Programming 11/12	\$ 205,000	\$ -	\$ 205,000	\$ 205,000
SB-45 STIP Planning & Programming 12/13	\$ -	\$ 205,000	\$ -	\$ (205,000)
Caltrans SP&R - Smart Growth Plan	\$ 209,155			\$ -
SJ Valley Blueprint 09/10 4th year funding	\$ -			\$ -
Freeway Service Patrol 10/11	\$ -			\$ -
Freeway Service Patrol 11/12	\$ 218,774	\$ 421,178	\$ 421,178	\$ -
Freeway Service Patrol 12/13	\$ -	\$ 497,653	\$ 497,653	\$ -
Construction Freeway Service Patrol	\$ -	\$ 487,635	\$ 487,635	\$ -
Caltrans - Interregional Partnership c/o	\$ 4,909			\$ -
Caltrans TDM Partnership Planning Grant	\$ -	\$ 15,000	\$ 15,000	\$ -
Caltrans State Public Transportation Account	\$ -	\$ -	\$ 18,000	\$ 18,000
SJVAPCD Electric Vehicle Grant	\$ -	\$ -	\$ 20,000	\$ 20,000
State Transit Assistance	\$ 73,175	\$ 86,529	\$ 86,529	\$ -
State Transit Assistance Pass Through Administered By COG	\$ 4,600,495	\$ 5,354,966	\$ 5,354,966	\$ -
SUBTOTAL	\$ 5,311,508	\$ 7,067,961	\$ 7,105,961	\$ 38,000
LOCAL				
TDA (LTF Planning + TDA Administration)	\$ 925,816	\$ 899,930	\$ 899,930	\$ -
LTF ALUP Update Year 4 Legal	\$ -			\$ -
Measure K Project Management	\$ 1,203,635	\$ 1,000,000	\$ 1,000,000	\$ -
Measure K Administration	\$ 171,515	\$ 448,350	\$ 448,350	\$ -
RTIF	\$ 60,565	\$ 15,000	\$ 15,000	\$ -
Valley MPOs Air Quality Planning	\$ 190,061	\$ 170,000	\$ 170,000	\$ -
Tuolumne County Rideshare Software Agreement	\$ 12,000	\$ 12,000	\$ 4,000	\$ (8,000)
TDM - Merced CAG CMAQ	\$ 36,867	\$ 41,745	\$ 60,555	\$ 18,810
TDM - Merced CAG CMAQ (FY 13/14)	\$ -	\$ -	\$ -	\$ -
TDM - StanCOG CMAQ	\$ 152,978	\$ 155,000	\$ 155,000	\$ -
StanCOG-SB 375 Modeling	\$ -	\$ -	\$ 60,000	\$ 60,000
Calaveras COG: RTPA Technical Support	\$ -	\$ 10,000	\$ 10,000	\$ -
City of Manteca: SR 99 Project Management Support	\$ -	\$ -	\$ 60,100	\$ 60,100
SAFE	\$ 79,602	\$ 128,000	\$ 128,000	\$ -
COG Fees	\$ 31,717	\$ 30,000	\$ 30,000	\$ -
SJCOGI (formerly Habitat Funds)	\$ 292,690	\$ 561,000	\$ 561,000	\$ -
Surland Settlement	\$ 30,542	\$ -	\$ -	\$ -
Measure K Pass-Through Administered By COG	\$ 43,374,926	\$ 43,328,670	\$ 43,328,670	\$ -
Local Transportation Fund Pass-Through Administered By COG	\$ 22,056,739	\$ 21,014,405	\$ 21,014,405	\$ -
SUBTOTAL	\$ 68,619,653	\$ 67,814,100	\$ 67,945,010	\$ 130,910
OTHER				
Sales Tax Commercial Paper & BANS	\$ 287,175,000	\$ 287,175,000	\$ 287,175,000	\$ -
Interest	\$ 5,929	\$ 5,000	\$ 5,000	\$ -
Other (ALUC Fees+doc fees)	\$ 30,543	\$ 15,000	\$ 15,000	\$ -
SUBTOTAL	\$ 287,211,472	\$ 287,195,000	\$ 287,195,000	\$ -
TOTAL	\$ 482,985,423	\$ 440,206,972	\$ 440,389,612	\$ 182,640

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SERVICE AND SUPPLIES

Title	Line Item Description	FY 2012-13 Adopted 3/22/12	FY 2012-13 Amend.#1	FY 2012-13 Amend.#2
Office Expense - General	General Supplies	\$ 75,000	\$ 75,000	\$ 75,000
	Recognitions	\$ 10,000	\$ 10,000	\$ 10,000
	Printing	\$ 25,000	\$ 25,000	\$ 25,000
	Noncapital Equip/Furniture	\$ 5,000	\$ 5,000	\$ 5,000
	Computer Software	\$ 100,000	\$ 100,000	\$ 100,000
Office Expense - General Subtotal		\$ 215,000	\$ 215,000	\$ 215,000
Office Expense - Postage Subtotal		\$ 36,800	\$ 36,800	\$ 36,800
Office Expense - Subscriptions Subtotal	Subscriptions & Periodicals	\$ 4,000	\$ 4,000	\$ 4,000
Office Expense - Subtotal		\$ 255,800	\$ 255,800	\$ 255,800
Communications-Subtotal		\$ 60,000	\$ 60,000	\$ 60,000
Memberships - Subtotal		\$ 42,000	\$ 42,000	\$ 42,000
Maintenance - Equipment - Subtotal		\$ 13,000	\$ 13,000	\$ 13,000
Rents & Leases - Equipment - Subtotal		\$ 210,000	\$ 210,000	\$ 210,000
Publications & Legal Notices - Subtotal		\$ 27,000	\$ 27,000	\$ 27,000
Insurances - Subtotal		\$ 85,000	\$ 92,000	\$ 92,000
Building Maintenance - Subtotal		\$ 107,700	\$ 160,800	\$ 160,800
Rents - Structures & Grounds - Subtotal		\$ 254,867	\$ 100,000	\$ 100,000
TOTAL SERVICES & SUPPLIES		\$ 1,311,167	\$ 1,216,400	\$ 1,216,400

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	FY 2012-13 Adopted	FY 2012-13 Amend #1	FY 2012-13 Amend.#2
In and Out of State, Mileage	\$ 77,500	\$ 77,500	\$ 77,500
Training	\$ 31,500	\$ 31,500	\$ 31,500
Rideshare Incentive	\$ 1,000	\$ 1,000	\$ 1,000
Transportation & Travel - Subtotal	\$ 110,000	\$ 110,000	\$ 110,000

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PROFESSIONAL SERVICES

<i>Work Element No./Project Description</i>	FY 2012-13 Adopted 3/22/12	FY 2012-13 Amend.#1	FY 2012-13 Amend.#2
601.01--Regional Transportation Plan	\$ 145,000	\$ 165,000	\$ 165,000
601.02--Regional Planning Studies	\$ 205,000	\$ 194,490	\$ 194,490
602.01--RTIP	\$ -	\$ -	\$ -
603.01--Road & Street Monitoring	\$ 50,000	\$ 50,000	\$ 50,000
603.02--Transit Coordination	\$ 10,000	\$ 135,500	\$ 135,500
603.03--Transportation Air Quality	\$ 150,000	\$ 200,000	\$ 200,000
603.04--Goods Movement	\$ -	\$ -	\$ -
701.01--Technical Assistance	\$ -	\$ -	\$ -
801.01--Intergovernmental Coordination	\$ 94,000	\$ 94,000	\$ 94,000
801.02--Projections & Forecasts	\$ 54,205	\$ 54,205	\$ 54,205
801.03--Airport Land Use Commission	\$ 50,000	\$ 50,000	\$ 50,000
801.04--Congestion Management	\$ 120,000	\$ 120,000	\$ 164,640
801.05--Regional Planning	\$ -	\$ -	\$ -
801.06--Valley MPO Coordination	\$ 35,000	\$ 35,000	\$ 53,000
801.07--Interregional Partnerships	\$ -	\$ -	\$ -
801.08--SJ Valley Blueprint	\$ -	\$ -	\$ -
801.09--SJCOG Inc	\$ -	\$ -	\$ -
901.01--Measure K	\$ 75,000	\$ 75,000	\$ 75,000
901.02--RTIF	\$ 65,000	\$ 65,000	\$ 65,000
901.03--Smart Growth	\$ 120,000	\$ 120,000	\$ 120,000
1001.01--COG OWP	\$ 5,000	\$ 5,000	\$ 5,000
1001.02--TDA Administration	\$ 188,000	\$ 188,000	\$ 188,000
1001.03--Community Involvement	\$ 50,000	\$ 50,000	\$ 50,000
1001.04--MAP-21 Management	\$ 75,000	\$ 75,000	\$ 75,000
1101.01--Transportation Demand Management	\$ 300,000	\$ 300,000	\$ 400,000
1201.01--Freeway Service Patrol	\$ 500,000	\$ 550,000	\$ 550,000
Indirect	\$ 405,677	\$ 405,677	\$ 405,677
TOTAL	\$ 2,696,882	\$ 2,931,872	\$ 3,094,512

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FIXED ASSETS

<i>Title</i>	<i>Description</i>	FY 2012-13 Adopted	FY 2012-13 Amend #1	FY 2012-13 Amend.#2
	Office Furniture/Equipment	\$ 25,000	\$ 25,000	\$ 25,000
	Replacement Printers (2)	\$ 5,500	\$ 5,500	\$ 5,500
	Server Upgrade	\$ 30,000	\$ 30,000	\$ 30,000
	Air District Hybrid Car			\$ 20,000
	Computer Upgrades	\$ 20,000	\$ 20,000	\$ 20,000
	Capitalized Building Maintenance	\$ 60,000	\$ 60,000	\$ 60,000
TOTAL		\$ 140,500	\$ 140,500	\$ 160,500

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PASS THROUGH

Fund Type	FY 2012-13	FY 2012-13 Amend#1	FY 2012-13 Amend.#2
Federal MAP-21 & State	120,000,000	75,559,795	75,559,795
Local Transportation Fund	19,714,327	21,014,405	21,014,405
State Transit Assistance Fund	4,661,369	5,354,966	5,354,966
Local Transportation Authority	39,428,654	43,328,670	43,328,670
Sales Tax Revenue Bonds	212,175,000	212,175,000	212,175,000
BANA Line of Credit	75,000,000	75,000,000	75,000,000
TOTAL	470,979,350	432,432,836	432,432,836