



**San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2012/13**

**Adopted March 22, 2012
Amendment #1 Proposed January 24, 2013**

CHAIR

Supervisor Ken Vogel, County of San Joaquin

VICE-CHAIR

BOARD OF DIRECTORS

Councilmember Jeff Laugero
Councilman Steve Dresser
Councilmember Larry Hansen
Councilmember Steve DeBrum
Supervisor Carlos Villapudua
Supervisor Steve Bestolarides

Vice Mayor Kathy Miller

Mayor Brent Ives

City of Escalon
City of Lathrop
City of Lodi
City of Manteca
County of San Joaquin
County of San Joaquin
City of Stockton
City of Stockton
City of Stockton
City of Tracy

EX OFFICIO DIRECTORS

Carrie Bowen, District Director
Gary Giovanetti, Director

Victor Mow, Commissioner

Caltrans District 10
San Joaquin Regional
Transit District
Port of Stockton

SUBMITTED BY:

Andrew T. Chesley
Executive Director

Steve Dial
Deputy Executive Director/
Chief Financial Officer

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REVENUES	FY 2012-13 Adopted 3/22/12	FY 2012-13 Amend.#1	+/- Change
Federal Grants	\$ 3,410,743	\$ 2,597,756	\$ (812,987)
State Grants	\$ 877,890	\$ 1,882,100	\$ 1,004,210
Local	\$ 3,329,280	\$ 3,274,280	\$ (55,000)
Interest	\$ 5,000	\$ 5,000	\$ -
Other	\$ 15,000	\$ 15,000	\$ -
SJCOG OPERATING REVENUE	\$ 7,637,913	\$ 7,774,136	\$ 136,223
Federal Pass-Through	\$ 120,000,000	\$ 75,559,795	\$ (44,440,205)
State Pass-Through	\$ 4,661,369	\$ 5,354,966	\$ 693,597
Local Pass-Through	\$ 59,142,981	\$ 64,343,075	\$ 5,200,094
Commercial Paper & BANS	\$ 287,175,000	\$ 287,175,000	\$ -
TOTAL SJCOG REVENUE	\$ 470,979,350	\$ 432,432,836	\$ (38,546,514)
	\$	-	
EXPENDITURES			
Salaries & Benefits	\$ 3,631,164	\$ 3,631,164	\$ -
Services & Supplies	\$ 1,169,367	\$ 1,070,600	\$ (98,767)
			\$ -
Office Expense	\$ 255,800	\$ 255,800	\$ -
Communications	\$ 60,000	\$ 60,000	\$ -
Memberships	\$ 42,000	\$ 42,000	\$ -
Maintenance - Equipment	\$ 13,000	\$ 13,000	\$ -
Rents & Leases - Equipment	\$ 210,000	\$ 210,000	\$ -
Transportation, Travel & Training (In & Out of State)	\$ 110,000	\$ 110,000	\$ -
Allocated Service Cost	\$ 4,000	\$ -	\$ (4,000)
Publications & Legal Notices	\$ 27,000	\$ 27,000	\$ -
Insurance	\$ 85,000	\$ 92,000	\$ 7,000
Building Maintenance	\$ 107,700	\$ 160,800	\$ 53,100
Building Debt Service	\$ 254,867	\$ 100,000	\$ (154,867)
			\$ -
Professional Services	\$ 2,696,882	\$ 2,931,872	\$ 234,990
			\$ -
Fixed Assets	\$ 140,500	\$ 140,500	\$ -
			\$ -
Unallocated/Reserve	\$ -		\$ 0
SJCOG OPERATING EXPENDITURES	\$ 7,637,913	\$ 7,774,136	\$ 136,223
Excess (Deficit) Revenues Over Expenditures (Operating)	\$ 0	\$ 0	\$ -

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REVENUE				
Revenue Source	FY 2012-13 Adopted 3/22/12	FY 2012-13 Amend.#1	+/- Change	
FEDERAL GRANTS				
<i>U.S. Department of Transportation:</i>				
Federal Transit Administration MPO Planning	\$ 261,731	\$ 292,846	\$	\$ 31,115
Federal Transit Administration MPO Planning Toll Credits	\$ -	\$ -	\$	\$ -
Federal Highway Administration (PL)	\$ 1,217,737	\$ 1,154,608	\$	\$ (63,129)
Federal Highway Administration (PL) Toll Credits	\$ -	\$ -	\$	\$ -
Federal Highway Administration (PL C/O)	\$ -	\$ -	\$	\$ -
Regional Surface Transportation Program (RSTP) c/o	\$ -	\$ -	\$	\$ -
Regional Surface Transportation Program (RSTP) CMP Update	\$ 107,000	\$ 85,619	\$	\$ (21,381)
CMAQ 6088-016	\$ -	\$ -	\$	\$ -
CMAQ 6088-038	\$ -	\$ -	\$	\$ -
CMAQ 6088-041	\$ 660,251	\$ 272,938	\$	\$ (387,313)
Federal Earmark Redistribution(DEM06UBL 6088-050)	\$ 934,024	\$ 595,000	\$	\$ (339,024)
TDM - Merced CAG CMAQ	\$ 50,000	\$ 41,745	\$	\$ (8,255)
TDM - StanCOG CMAQ	\$ 180,000	\$ 155,000	\$	\$ (25,000)
Federal Pass-Through Administered By COG	\$ 120,000,000	\$ 75,559,795	\$	\$ (44,440,205)
SUBTOTAL	\$ 123,410,743	\$ 78,157,551	\$	\$ (45,253,192)
STATE GRANTS				
<i>California Department of Transportation:</i>				
SB-45 STIP Planning & Programming 09/10 c/o	\$ -	\$ -	\$	\$ -
SB-45 STIP Planning & Programming 10/11	\$ -	\$ -	\$	\$ -
SB-45 STIP Planning & Programming 11/12	\$ 102,500	\$ -	\$	\$ (102,500)
SB-45 STIP Planning & Programming 12/13	\$ 205,000	\$ 205,000	\$	\$ -
SI Valley Blueprint 09/10 4th year funding	\$ -	\$ -	\$	\$ -
Freeway Service Patrol 10/11	\$ -	\$ -	\$	\$ -
Freeway Service Patrol 11/12	\$ 300,000	\$ 421,178	\$	\$ 121,178
Freeway Service Patrol 12/13	\$ -	\$ 497,653	\$	\$ 497,653
Construction Freeway Service Patrol	\$ -	\$ 487,635	\$	\$ 487,635
Caltrans 5304 Internship Grant	\$ -	\$ 7,257	\$	\$ 7,257
Caltrans 5304 Transit Internship Grant (SJRTD) FY 10/11	\$ 7,870	\$ -	\$	\$ (7,870)
Caltrans 5304 Transit Internship Grant (SJRTD) FY 11/12	\$ 21,520	\$ 20,328	\$	\$ (1,192)
Caltrans 5304 Transit Internship Grant (SJRTD) FY 12/13	\$ -	\$ 21,520	\$	\$ 21,520
Caltrans 5304 Regional MM Trip Planning Grant	\$ 60,000	\$ 120,000	\$	\$ 60,000
Caltrans - Interregional Partnership c/o	\$ -	\$ -	\$	\$ -
Caltrans TDM Partnership Planning Grant	\$ -	\$ 15,000	\$	\$ 15,000
Caltrans SP&R - Smart Growth Plan	\$ -	\$ -	\$	\$ -
Caltrans SP&R -Freight Movement Study	\$ 25,000	\$ -	\$	\$ (25,000)
FTA 5316 JARC 648679	\$ -	\$ -	\$	\$ -
FTA 5316 JARC 648628	\$ 80,000	\$ -	\$	\$ (80,000)
State Transit Assistance	\$ 76,000	\$ 86,529	\$	\$ 10,529
State Transit Assistance Pass Through Administered By COG	\$ 4,661,369	\$ 5,354,966	\$	\$ 693,597
SUBTOTAL	\$ 5,539,259	\$ 7,237,066	\$	\$ 1,697,807
LOCAL				
TDA (LTF Planning + TDA Administration)	\$ 899,930	\$ 899,930	\$	\$ -
LTF ALUP Update Year 4 Legal	\$ -	\$ -	\$	\$ -
Measure K Project Management	\$ 1,000,000	\$ 1,000,000	\$	\$ -
Measure K Administration	\$ 448,350	\$ 448,350	\$	\$ -
RTIF	\$ 15,000	\$ 15,000	\$	\$ -
Valley MPOs Air Quality Planning	\$ 170,000	\$ 170,000	\$	\$ -
Tuolumne County Rideshare Software Agreement	\$ 12,000	\$ 12,000	\$	\$ -
Calaveras COG: RTPA Technical Support	\$ -	\$ 10,000	\$	\$ 10,000
SAFE	\$ 128,000	\$ 128,000	\$	\$ -
COG Fees	\$ 30,000	\$ 30,000	\$	\$ -
SICOGI (formerly Habitat Funds)	\$ 561,000	\$ 561,000	\$	\$ -
Surland Settlement	\$ 65,000	\$ -	\$	\$ (65,000)
Measure K Pass-Through Administered By COG	\$ 39,428,654	\$ 43,328,670	\$	\$ 3,900,016
Local Transportation Fund Pass-Through Administered By COG	\$ 19,714,327	\$ 21,014,405	\$	\$ 1,300,078
SUBTOTAL	\$ 62,472,261	\$ 67,617,355	\$	\$ 5,145,094
OTHER				
Sales Tax Commercial Paper & BANS	\$ 287,175,000	\$ 287,175,000	\$	\$ -
Interest	\$ 5,000	\$ 5,000	\$	\$ -
Other (ALUC Fees+doc fees)	\$ 15,000	\$ 15,000	\$	\$ -
SUBTOTAL	\$ 287,195,000	\$ 287,195,000	\$	\$ -
TOTAL	\$ 478,617,263	\$ 440,206,972	\$	\$ (38,410,291)

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PASS THROUGH

Fund Type	FY 2012-12	FY 2012-12 Amend#1
Federal SAFETEA-LU & State	120,000,000	75,559,795
Local Transportation Fund	19,714,327	21,014,405
State Transit Assistance Fund	4,661,369	5,354,966
Local Transportation Authority	39,428,654	43,328,670
Sales Tax Bond Anticipation Notes	212,175,000	212,175,000
Sales Tax Commercial Paper	75,000,000	75,000,000
TOTAL	470,979,350	432,432,836

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SERVICE AND SUPPLIES

Title	Line Item Description	FY 2011-12	FY 2012-13	FY 2012-13
		Amend. #1 12/15/11	Adopted 3/22/12	Amend.#1
Office Expense - General	General Supplies	\$ 75,000	\$ 75,000	\$ 75,000
	Recognitions	\$ 10,000	\$ 10,000	\$ 10,000
	Printing	\$ 25,000	\$ 25,000	\$ 25,000
	Noncapital Equip/Furniture	\$ 5,000	\$ 5,000	\$ 5,000
	Computer Software	\$ 100,000	\$ 100,000	\$ 100,000
Office Expense - General Subtotal		\$ 215,000	\$ 215,000	\$ 215,000
Office Expense - Postage Subtotal		\$ 36,800	\$ 36,800	\$ 36,800
Office Expense - Subscriptions Subtotal	Subscriptions & Periodicals	\$ 4,000	\$ 4,000	\$ 4,000
Office Expense - Subtotal		\$ 256,000	\$ 255,800	\$ 255,800
Communications-Subtotal		\$ 60,000	\$ 60,000	\$ 60,000
Memberships - Subtotal		\$ 42,000	\$ 42,000	\$ 42,000
Maintenance - Equipment - Subtotal		\$ 13,000	\$ 13,000	\$ 13,000
Rents & Leases - Equipment - Subtotal		\$ 210,000	\$ 210,000	\$ 210,000
Allocated Service Cost - Subtotal		\$ 4,000	\$ 4,000	\$ -
Publications & Legal Notices - Subtotal		\$ 27,000	\$ 27,000	\$ 27,000
Insurances - Subtotal		\$ 85,000	\$ 85,000	\$ 92,000
Building Maintenance - Subtotal		\$ 60,000	\$ 107,700	\$ 160,800
Rents - Structures & Grounds - Subtotal		\$ 241,500	\$ 254,867	\$ 100,000
TOTAL SERVICES & SUPPLIES		\$ 1,254,300	\$ 1,315,167	\$ 1,216,400

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PROFESSIONAL SERVICES

Work Element No./Project Description	FY 2011-12	FY 2012-13	FY 2012-13
	Amend. #1 12/15/11	Adopted 3/22/12	Amend.#1
601.01--Regional Transportation Plan	\$ 145,000	\$ 145,000	\$ 195,000
601.02--Regional Planning Studies	\$ 205,000	\$ 205,000	\$ 95,723
602.01--RTIP	\$ -	\$ -	\$ -
603.01--Road & Street Monitoring	\$ 50,000	\$ 50,000	\$ 50,000
603.02--Transit Coordination	\$ 10,000	\$ 10,000	\$ 174,267
603.03--Transportation Air Quality	\$ 150,000	\$ 150,000	\$ 200,000
603.04--Goods Movement	\$ -	\$ -	\$ -
701.01--Technical Assistance	\$ -	\$ -	\$ -
801.01--Intergovernmental Coordination	\$ 94,000	\$ 94,000	\$ 124,000
801.02--Projections & Forecasts	\$ 52,000	\$ 54,205	\$ 54,205
801.03--Airport Land Use Commission	\$ 100,000	\$ 50,000	\$ 50,000
801.04--Congestion Management	\$ 150,000	\$ 120,000	\$ 120,000
801.05--Regional Planning	\$ -	\$ -	\$ -
801.06--Valley MPO Coordination	\$ 35,000	\$ 35,000	\$ 35,000
801.07--Interregional Partnerships	\$ -	\$ -	\$ -
801.08--SJ Valley Blueprint	\$ -	\$ -	\$ -
801.09--SJCOG Inc	\$ -	\$ -	\$ -
901.01--Measure K	\$ 75,000	\$ 75,000	\$ 75,000
901.02--RTIF	\$ 65,000	\$ 65,000	\$ 65,000
901.03--Smart Growth	\$ 120,000	\$ 120,000	\$ 120,000
1001.01--COG OWP	\$ 5,000	\$ 5,000	\$ 5,000
1001.02--TDA Administration	\$ 138,000	\$ 188,000	\$ 188,000
1001.03--Community Involvement	\$ 50,000	\$ 50,000	\$ 50,000
1001.04--SAFETEA-LU Management	\$ 75,000	\$ 75,000	\$ 75,000
1101.01--Transportation Demand Management	\$ 300,000	\$ 300,000	\$ 300,000
1201.01--Freeway Service Patrol	\$ 350,000	\$ 500,000	\$ 550,000
Indirect	\$ 375,677	\$ 405,677	\$ 405,677
TOTAL	\$ 2,544,677	\$ 2,696,882	\$ 2,931,872