



**San Joaquin Council of Governments  
ANNUAL FINANCIAL PLAN  
Fiscal Year 2016/2017**

**Proposed March 24, 2016**

**CHAIR**

Mayor Anthony Silva, City of Stockton

**VICE-CHAIR**

Mayor Steve DeBrum, City of Manteca

**BOARD OF DIRECTORS**

Mayor Pro Tem Jeff Laugero  
Mayor Pro Tem Doug Kuehne  
Vice Mayor Steve Dresser  
Councilmember Leo Zuber  
Supervisor Kathy Miller  
Supervisor Carlos Villapudua  
Supervisor Chuck Winn  
Councilmember Elbert Holman  
Councilmember Susan Loftus  
Mayor Michael Maciel

City of Escalon  
City of Lodi  
City of Lathrop  
City of Ripon  
County of San Joaquin  
County of San Joaquin  
County of San Joaquin  
City of Stockton  
City of Stockton  
City of Tracy

**EX OFFICIO DIRECTORS**

Ken Baxter  
Gary Giovanetti, Director  
Victor Mow, Commissioner

Caltrans District 10  
San Joaquin Regional Transit District  
Port of Stockton

**SUBMITTED BY:**

Andrew T. Chesley  
Executive Director

Steve Dial  
Deputy Executive Director/  
Chief Financial Officer

**San Joaquin Council of Governments**  
**ANNUAL FINANCIAL PLAN**  
**Fiscal Year 2016/17**  
**Proposed Adoption March 24, 2016**

REVENUES	FY 2015-16 Adopted 3/26/15	FY 2015-16 Amendment#1	FY 2016-17 Proposed	+/- Change	+/- % Change
Federal Grants	\$ 2,613,509	\$ 3,820,519	\$ 3,198,006	\$ (622,513)	-19.5%
State Grants	\$ 1,793,333	\$ 1,781,916	\$ 1,393,943	\$ (387,973)	-27.8%
Local	\$ 4,196,000	\$ 4,170,477	\$ 3,978,669	\$ (191,808)	-4.8%
Interest	\$ 5,000	\$ 5,000	\$ 5,000	\$ -	0.0%
Other	\$ 15,000	\$ 15,000	\$ 15,000	\$ -	0.0%
<b>SJCOG OPERATING REVENUE</b>	<b>\$ 8,622,842</b>	<b>\$ 9,792,912</b>	<b>\$ 8,590,618</b>	<b>\$ (1,202,294)</b>	<b>-14.0%</b>
<b>EXPENDITURES</b>					
Salaries & Benefits	\$ 4,004,906	\$ 4,004,906	\$ 4,183,048	\$ 178,142	4.3%
Services & Supplies	\$ 1,060,300	\$ 1,060,300	\$ 1,009,059	\$ (51,241)	-5.1%
Office Expense	\$ 198,500	\$ 198,500	\$ 195,350	\$ (3,150)	-1.6%
Communications	\$ 60,000	\$ 60,000	\$ 52,800	\$ (7,200)	-13.6%
Memberships	\$ 42,000	\$ 42,000	\$ 46,000	\$ 4,000	8.7%
Maintenance - Equipment	\$ 13,000	\$ 13,000	\$ 13,000	\$ -	0.0%
Rents & Leases - Equipment	\$ 226,800	\$ 226,800	\$ 220,000	\$ (6,800)	-3.1%
Transportation, Travel & Training (In & Out of State)	\$ 110,000	\$ 110,000	\$ 110,000	\$ -	0.0%
Publications & Legal Notices	\$ 27,000	\$ 27,000	\$ 3,000	\$ (24,000)	-800.0%
Insurance	\$ 103,000	\$ 103,000	\$ 103,000	\$ -	0.0%
Building Operations & Maintenance	\$ 180,000	\$ 180,000	\$ 165,909	\$ (14,091)	-8.5%
SJCOG Building Debt Service	\$ 100,000	\$ 100,000	\$ 100,000	\$ -	0.0%
Professional Services	\$ 3,387,136	\$ 4,407,206	\$ 3,078,011	\$ (1,329,195)	-43.2%
Capital Outlay	\$ 170,500	\$ 320,500	\$ 320,500	\$ -	0.0%
Unallocated/Reserve					
<b>SJCOG OPERATING EXPENDITURES</b>	<b>\$ 8,622,842</b>	<b>\$ 9,792,912</b>	<b>\$ 8,590,618</b>	<b>\$ (1,202,294)</b>	<b>-14.0%</b>
<b>Excess (Deficit) Revenues</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 0.00</b>	

San Joaquin Council of Governments  
**ANNUAL FINANCIAL PLAN**  
 Fiscal Year 2016/17  
 Proposed Adoption March 24, 2016

REVENUE				
Revenue Source	FY 2015-16 Adopted 3/26/15	FY 2015-16 Amendment#1	FY 2016-17 Proposed	+/- Change
<b>FEDERAL GRANTS</b>				
<i>U.S. Department of Transportation:</i>				
Federal Highway Administration (PL)	\$ 1,253,580	\$ 1,253,580	\$ 1,243,678	\$ (9,902)
Federal Highway Administration (PL C/O)	\$ 90,000	\$ 252,000	\$ -	\$ (252,000)
Federal Transit Administration MPO Planning (FTA 5303)	\$ 308,739	\$ 308,739	\$ 304,328	\$ (4,411)
Federal Transit Administration MPO Planning (FTA 5303 C/O)	\$ -	\$ 35,000	\$ -	\$ (35,000)
Regional Surface Transportation Program (RSTP) CMP Update			\$ -	\$ -
RSTP SR99 and SR 120 Ramps: STPL 6088(057)		\$ 800,000	\$ 800,000	\$ -
CMAQ TDM	\$ 961,190	\$ 1,171,200	\$ 850,000	\$ (321,200)
FTA 5304 Transit Internship Grant (SJRTD) FY 12/13	\$ -	\$ -	\$ -	\$ -
<b>SUBTOTAL</b>	\$ 2,613,509	\$ 3,820,519	\$ 3,198,006	\$ (622,513)
<b>STATE GRANTS</b>				
<i>California Department of Transportation:</i>				
SB-45 STIP Planning & Programming 15/16	\$ 200,000	\$ 200,000	\$ 200,000	\$ -
Freeway Service Patrol 12/13	\$ 250,000	\$ 248,600	\$ -	\$ (248,600)
Freeway Service Patrol 13/14	\$ 474,171	\$ 474,171	\$ 474,171	\$ -
Freeway Service Patrol 14/15	\$ -	\$ -	\$ 506,198	\$ 506,198
Construction Freeway Service Patrol	\$ 600,000	\$ 400,000	\$ -	\$ (400,000)
Caltrans TDM Partnership Planning Grant	\$ -	\$ -	\$ -	\$ -
Caltrans SJ Valley Goods Movement Partnership Planning Grant	\$ 200,000	\$ 295,490	\$ 125,000	\$ (170,490)
Affordable Housing & Sustainable Communities		\$ 94,493	\$ 30,000	\$ (64,493)
SJVAPCD Electric Vehicle Grant			\$ -	\$ -
State Transit Assistance	\$ 69,162	\$ 69,162	\$ 58,574	\$ (10,588)
<b>SUBTOTAL</b>	\$ 1,793,333	\$ 1,781,916	\$ 1,393,943	\$ (387,973)
<b>LOCAL</b>				
TDA (LTF Planning + TDA Administration)	\$ 993,000	\$ 993,000	\$ 1,095,669	\$ 102,669
TDA (LTF ALUC Deferred Special Assessment)	\$ 180,000	\$ 148,000	\$ -	\$ (148,000)
Measure K Project Management	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ -
Measure K Administration	\$ 505,000	\$ 505,000	\$ 542,000	\$ 37,000
RTIF	\$ 137,000	\$ 137,000	\$ 50,000	\$ (87,000)
Valley MPOs Air Quality Planning	\$ 172,000	\$ 172,000	\$ 172,000	\$ -
Fresno Prop 84			\$ -	\$ -
TDM - Merced CAG CMAQ			\$ -	\$ -
TDM - Merced CAG CMAQ (FY 13/14)	\$ 75,000	\$ 83,327	\$ 75,000	\$ (8,327)
TDM - StanCOG CMAQ	\$ 195,000	\$ 195,000	\$ 195,000	\$ -
Tri-County Forecasting (Merced, Stanislaus, Fresno)		\$ 15,350	\$ -	\$ (15,350)
SACOG TDM (Trip Planning System)	\$ 150,000	\$ 150,000	\$ 80,000	\$ (70,000)
StanCOG-SB 375 Modeling	\$ -	\$ -	\$ -	\$ -
Calaveras COG: RTPA Technical Support	\$ 30,000	\$ 21,000	\$ 20,000	\$ (1,000)
City of Manteca: SR 99 Project Management Support	\$ 40,000	\$ 51,800	\$ 50,000	\$ (1,800)
SAFE	\$ 128,000	\$ 128,000	\$ 128,000	\$ -
COG Fees	\$ 30,000	\$ 10,000	\$ 10,000	\$ -
SJCOGI (formerly Habitat Funds)	\$ 561,000	\$ 561,000	\$ 561,000	\$ -
<b>SUBTOTAL</b>	\$ 4,196,000	\$ 4,170,477	\$ 3,978,669	\$ (191,808)
<b>OTHER</b>				
Sales Tax Line of Credit and 2011 Bond Issuance	\$ 287,175,000	\$ 287,175,000	\$ -	\$ (287,175,000)
Interest	\$ 5,000	\$ 5,000	\$ 5,000	\$ -
Other (ALUC Fees+doc fees)	\$ 15,000	\$ 15,000	\$ 15,000	\$ -
<b>SUBTOTAL</b>	\$ 287,195,000	\$ 287,195,000	\$ 20,000	\$ (287,175,000)
<b>TOTAL</b>	\$ 295,797,842	\$ 296,967,912	\$ 8,590,618	\$ (288,377,294)

**San Joaquin Council of Governments  
ANNUAL FINANCIAL PLAN  
Fiscal Year 2016/17**

**SERVICE AND SUPPLIES**

Title	Line Item Description	FY 2015-16 Adopted 3/26/15	FY 2015-16 Amendment#1	FY 2016-17 Proposed
Office Expense - General	General Supplies	\$ 74,000	\$ 74,000	\$ 74,000
	Recognitions	\$ 2,000	\$ 2,000	\$ 2,000
	Printing	\$ 15,000	\$ 15,000	\$ 15,000
	Noncapital Equip/Furniture	\$ 10,000	\$ 10,000	\$ 10,000
	Computer Software	\$ 75,000	\$ 75,000	\$ 75,000
<b>Office Expense - General Subtotal</b>		<b>\$ 176,000</b>	<b>\$ 176,000</b>	<b>\$ 176,000</b>
<b>Office Expense - Postage Subtotal</b>		<b>\$ 20,000</b>	<b>\$ 20,000</b>	<b>\$ 18,000</b>
<b>Office Expense - Subscriptions Subtotal</b>		<b>\$ 2,500</b>	<b>\$ 2,500</b>	<b>\$ 1,350</b>
<b>Office Expense - Subtotal</b>		<b>\$ 198,500</b>	<b>\$ 198,500</b>	<b>\$ 195,350</b>
<b>Communications-Subtotal</b>		<b>\$ 60,000</b>	<b>\$ 60,000</b>	<b>\$ 52,800</b>
<b>Memberships - Subtotal</b>		<b>\$ 42,000</b>	<b>\$ 42,000</b>	<b>\$ 46,000</b>
<b>Maintenance - Equipment - Subtotal</b>		<b>\$ 13,000</b>	<b>\$ 13,000</b>	<b>\$ 13,000</b>
<b>Rents &amp; Leases - Equipment - Subtotal</b>		<b>\$ 226,800</b>	<b>\$ 226,800</b>	<b>\$ 220,000</b>
<b>Publications &amp; Legal Notices - Subtotal</b>		<b>\$ 27,000</b>	<b>\$ 27,000</b>	<b>\$ 3,000</b>
<b>Insurances - Subtotal</b>		<b>\$ 103,000</b>	<b>\$ 103,000</b>	<b>\$ 103,000</b>
<b>Building Maintenance - Subtotal</b>		<b>\$ 180,000</b>	<b>\$ 180,000</b>	<b>\$ 165,909</b>
<b>Interest Building Debt Service - Subtotal</b>		<b>\$ 100,000</b>	<b>\$ 100,000</b>	<b>\$ 100,000</b>
<b>TOTAL SERVICES &amp; SUPPLIES</b>		<b>\$ 950,300</b>	<b>\$ 950,300</b>	<b>\$ 899,059</b>

**San Joaquin Council of Governments**  
**ANNUAL FINANCIAL PLAN**  
**Fiscal Year 2016/17**

**PROFESSIONAL SERVICES**

<b><i>Work Element No./Project Description</i></b>	<b>FY 2015-16 Adopted 3/26/15</b>	<b>FY 2015-16 Amendment#1</b>	<b>FY 2016-17 Proposed</b>
601.01--Regional Transportation Plan	\$ 79,759	\$ 100,000	\$ 75,000
601.011--Regional Transportation Plan (AHSC)		\$ 94,494	\$ 75,000
601.02--Regional Planning Studies	\$ 25,000	\$ 50,000	\$ 50,000
602.01--RTIP	\$ 25,000	\$ 25,000	\$ -
603.01--Road & Street Monitoring	\$ 75,000	\$ 75,000	\$ 25,000
603.02--Transit Coordination	\$ 250,000	\$ 250,000	\$ 100,000
603.03--Transportation Air Quality	\$ 275,200	\$ 275,200	\$ 200,000
603.04--Goods Movement	\$ -	\$ -	\$ -
603.041 --Goods Movement Partnership Planning Grant	\$ 100,000	\$ 100,000	\$ 100,000
701.01--Technical Assistance	\$ 50,000	\$ 50,000	\$ 50,000
801.01--Intergovernmental Coordination	\$ 94,000	\$ 94,000	\$ 94,000
801.02--Projections & Forecasts	\$ 70,000	\$ 70,000	\$ 72,000
801.03--Airport Land Use Commission	\$ 180,000	\$ 180,000	\$ 50,000
801.04--Congestion Management	\$ 85,000	\$ 85,000	\$ 85,000
801.05--Regional Planning	\$ -	\$ -	\$ -
801.06--Valley MPO Coordination	\$ 35,000	\$ 35,000	\$ 35,000
801.07--Interregional Partnerships	\$ -	\$ -	\$ -
801.09--SJCOG Inc	\$ -	\$ -	\$ -
901.01--Measure K	\$ 65,000	\$ 65,000	\$ 65,000
901.02--RTIF	\$ 30,000	\$ 35,335	\$ 34,511
901.03--Smart Growth	\$ -	\$ -	\$ -
1001.01--COG OWP	\$ 5,000	\$ 5,000	\$ 5,000
1001.02--TDA Administration	\$ 246,451	\$ 246,451	\$ 250,000
1001.03--Community Involvement	\$ 17,500	\$ 17,500	\$ 17,500
1001.04--FAST ACT Management	\$ 10,000	\$ 10,000	\$ 10,000
1101.01--Transportation Demand Management	\$ 35,000	\$ 35,000	\$ 35,000
1101.03--Transportation Demand Management	\$ 90,000	\$ 90,000	\$ -
1201.01--Freeway Service Patrol	\$ 1,182,925	\$ 1,982,925	\$ 1,200,000
Indirect	\$ 361,301	\$ 436,301	\$ 450,000
<b>TOTAL</b>	<b>\$ 3,387,136</b>	<b>\$ 4,407,206</b>	<b>\$ 3,078,011</b>

**San Joaquin Council of Governments**  
**ANNUAL FINANCIAL PLAN**  
**Fiscal Year 2016/17**  
**Transportation Travel & Training**

	FY 2015-16 Adopted 3/26/15	FY 2015-16 Amendment#1	FY 2016-17 Proposed
In and Out of State Travel	\$ 77,500	\$ 77,500	\$ 76,700
Training	\$ 31,500	\$ 31,500	\$ 31,500
Rideshare Incentive	\$ 1,000	\$ 1,000	\$ 1,800
<b>Transportation &amp; Travel - Subtotal</b>	<b>\$ 110,000</b>	<b>\$ 110,000</b>	<b>\$ 110,000</b>

**San Joaquin Council of Governments**  
**ANNUAL FINANCIAL PLAN**  
**Fiscal Year 2016/17**

**FIXED ASSETS**

<i>Title</i>	<i>Description</i>	FY 2015-16 Adopted 3/26/15	FY 2015-16 Amendment#1	FY 2016-17 Proposed
	Office Furniture/Equipment	\$ 25,000	\$ 25,000	\$ 25,000
	Replacement Printers (2)	\$ 5,500	\$ 5,500	\$ 5,500
	Server Upgrade	\$ 30,000	\$ 30,000	\$ 30,000
	Air District Car	\$ -	\$ -	\$ -
	ERP Accounting System	\$ -	\$ 150,000	\$ 150,000
	Computer Upgrades	\$ 20,000	\$ 20,000	\$ 20,000
	Capitalized Building Maintenance	\$ 90,000	\$ 90,000	\$ 90,000
<b>TOTAL</b>		<b>\$ 170,500</b>	<b>\$ 320,500</b>	<b>\$ 320,500</b>